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**Liaisons dangereuses: sexual recombination among pathogenic
trypanosomes**

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Abstract

Sexual recombination between pathogenic microbes has the potential to mobilise genes for harmful traits into new genetic backgrounds creating new pathogen strains. Since 1986 we have known that genetic exchange can occur in trypanosomes, but we are only now starting to unravel details of the process. In *Trypanosoma brucei* genetic exchange occurs in the tsetse vector, but is not an obligatory part of the life cycle. The process involves meiosis and production of haploid gametes, and thus appears to be true sexual reproduction. This review looks at the experimental evidence concerning genetic exchange and identifies current gaps in our knowledge.

1. Introduction

Trypanosomes are protozoan parasites with a single flagellum that are commonly found in the blood of vertebrates, typically appearing as elongated, writhing organisms among the red blood cells in a wet blood smear. Though some trypanosomes show tissue-tropism or have intracellular stages, it is these blood-dwelling parasites that are transmitted from one vertebrate to another by blood-sucking arthropods or leeches. The drastic change from the environment of the vertebrate bloodstream to the invertebrate gut must be successfully accomplished within seconds, and this transition usually initiates a complex cycle of differentiation and development within the invertebrate host before infective trypanosomes are ready for transfer back to another vertebrate.

Of the hundreds of trypanosome species described, few are known to be pathogenic to their vertebrate hosts, and only two cause human disease:

- *Trypanosoma cruzi* is the parasite responsible for Chagas disease in Latin America and is transmitted by blood-sucking triatomine bugs. Infective parasites are excreted in bug faeces and gain entry into the vertebrate host via contamination of abraded skin or mucosal surfaces such as the conjunctiva of the eye. A number of domestic (e.g. cats, dogs) and wild animals (e.g. opossums) have been implicated as reservoir hosts, allowing the disease to circulate in domestic or sylvatic transmission cycles where suitable triatomine vectors are present.
- *T. brucei* is the causative agent of sleeping sickness or human African trypanosomiasis (HAT) and is transmitted by the bite of blood-sucking tsetse flies, large dipteran flies found mainly in tropical Africa. Besides humans, *T. brucei* infects a wide range of mammals, both wild and domesticated, that serve as food sources for tsetse; some of these animals can act as reservoir hosts of HAT, if the parasites they harbour are infective to humans. However, only some *T. brucei* strains are human-infective and these are conventionally recognised as two subspecies: *T. b. rhodesiense* in East Africa and *T. b. gambiense* in West and Central Africa. *T. b. gambiense* is further divided by both phenotype and genotype into two groups; the majority of isolates from patients belong to type 1.

Trypanosomes are kinetoplastid flagellates, characterised by the unique conformation of the mitochondrial DNA, which is packaged into an organelle called the kinetoplast. Kinetoplastids belong to the eukaryote supergroup Excavata, which is considered to be an early diverging branch of the eukaryote tree [1, 2]. Although biologists now believe that sex and meiosis were present in basal eukaryotes, evidence to support this contention has been lacking with respect to the excavate group. Some form of genetic

exchange has been experimentally demonstrated in a few representative genera: the kinetoplastids, *Trypanosoma* [3, 4], *Leishmania* [5] and *Crithidia* [6], and the diplomonad *Giardia* [7]; in addition, genetic recombination in *Trichomonas vaginalis* is suggested by population genetics analysis [8]. While genes associated with the mechanics of meiotic division have been identified in several excavate genera by phylogenomic analysis [9, 10], experimental confirmation of function has been carried out only in *Giardia* [7] and *Trypanosoma brucei* [11].

Why is it important to find out more about the mechanisms of genetic recombination used by the excavates? This will increase understanding of the evolution of sex in eukaryotes, because of the assumed early divergence of this group and its basal position in eukaryote trees [1]. Furthermore, as several important human and animal parasites are found among the Excavata, it is imperative to find out if and how virulence genes can be transferred between different pathogen strains and whether new pathogen strains are generated by genetic exchange. For example, two of the six recognised genetic lineages (or discrete typing units, DTUs) of *T. cruzi* are hybrids that have combined genetic material from other DTUs; these hybrid DTUs occur with high prevalence in patients with Chagas disease in southern countries of South America such as Bolivia, Paraguay, Chile and Argentina [12]. Regarding human African trypanosomiasis the virulence gene, *SRA*, is responsible for human infectivity in *T. b. rhodesiense* [13]. In the laboratory transfer of this single gene can convert a strain of *T. b. brucei* to human infectivity [13] and evidence from the field suggests that this has occurred through genetic recombination between *T. b. rhodesiense* and *T. b. brucei* in East Africa [14]. These two examples serve to demonstrate

how genetic recombination between pathogen strains can have profound epidemiological consequences and hence is of more than academic interest.

2. Genetic exchange in trypanosomes

Genetic exchange has been studied in depth in *Trypanosoma brucei* and *T. cruzi* by performing experimental crosses in the laboratory. Results to date suggest that the process is quite different in the two species. *T. brucei* mates in its tsetse fly vector rather than the mammalian host [3], whereas *T. cruzi* appears to mate in the mammalian host rather than the insect vector, since hybrids appeared in cultures of mammalian cells infected with two different trypanosome strains [4]. *T. cruzi* hybrids appear to result from fusion of parental trypanosomes with subsequent random loss of DNA [4]. While early experiments suggested that *T. brucei* hybrids were also produced by fusion, because hybrid progeny had raised DNA contents [15, 16], subsequent results contributed to the present consensus that Mendelian inheritance and diploid progeny are the norm [17-24]. To date only a single *T. cruzi* cross has resulted in production of hybrids [4], whereas many successful *T. brucei* crosses have been carried out (Table 1), and consequently more is known about genetic exchange in *T. brucei*, which is therefore the focus of the rest of this review.

That said, analysis of genetic exchange in *T. brucei* is not without challenges. In contrast to other parasitic protists such as *Plasmodium*, where sexual reproduction in the mosquito vector is an obligatory part of the transmission cycle, genetic exchange in *T. brucei* appears to be a non-essential event in the trypanosome life cycle. As mating takes place in the tsetse fly among life cycle stages that are not amenable to *in vitro* culture, experimental crosses require access to specialist facilities for tsetse fly transmission. Tsetse are relatively

refractory to trypanosome infection [25], with an extensive arsenal of immune defences that counter each stage of the trypanosome's developmental cycle in the insect [26-28]. This severely restricts the number of infected flies that are produced, and on top of this, genetic exchange can, of course, only occur in flies infected with not just one, but two *T. brucei* strains, further reducing the likelihood of finding flies containing hybrids.

The development of approaches to overcome these obstacles has been crucial to progress on elucidating the mechanism of genetic exchange in *T. brucei*. For example, methods to enhance trypanosome infection through inhibition of tsetse immune defences [29-32] have greatly increased the numbers of infected flies available for analysis, while techniques to facilitate the identification of hybrids have diminished effort wasted on analysis of parental genotypes. In the first *T. brucei* crosses, hybrids were found by isolating trypanosome clones at random, a labour-intensive and time-consuming "needle in a haystack" approach [3, 18, 33]. With the advent of techniques to genetically engineer trypanosomes in the 1990's, it became possible first to select hybrids by double drug resistance [22, 34], and subsequently to identify trypanosome hybrids directly inside the tsetse fly by the use of fluorescent proteins to visualize the living cells [35-37]. Using parental lines distinguishable by fluorescence had the additional advantage that visual inspection could detect co-infected flies. This overturned the belief that genetic exchange was an infrequent event in the *T. brucei* life cycle, because hybrids were almost invariably found in tsetse flies with a mixed infection of the two parental trypanosomes in the salivary glands [37].

In addition to these advances, progress in understanding the developmental cycle of *T. brucei* in the tsetse fly, particularly the role of the foregut migratory stages, has been

crucial to interpretation [38-40]. The various developmental stages of *T. brucei* are shown in Fig. 1. While it has taken many years of research effort to put all these individual pieces in place, research is now able to move forward rapidly.

3. Mating in *Trypanosoma brucei*

The first experimental cross of *T. brucei* established that mating took place during the trypanosome's developmental cycle in the tsetse fly [3], but definitive answers to the questions "where" and "when" were not forthcoming until crosses with genetically modified trypanosomes were carried out.

During the life cycle of *T. brucei* in the fly, trypanosomes first differentiate and multiply as procyclics in the midgut before migrating via the foregut to the salivary glands, where the infective metacyclic forms are produced [38, 39]. Comparison of trypanosome populations from the midgut and salivary glands of flies with a mixed infection of parental lines with different antibiotic-resistance genes showed that hybrids were only recovered from salivary glands not midguts [22, 34, 41]. The occurrence of hybrids solely in the salivary glands was confirmed by analysis of a cross where one of the parental strains had the gene for green fluorescent protein (GFP) under control of the bacterial Tet repressor, such that segregation of the GFP and Tet repressor genes produced fluorescent hybrids [35]. Furthermore this experiment indicated that genetic exchange happened at or before the attached epimastigote stage in the salivary glands, as these life cycle stages, as well as metacyclics, were observed to be fluorescent [35].

In crosses with red and green fluorescent trypanosomes, no yellow fluorescent hybrids were observed among trypanosomes obtained from the midgut or foregut via examination of regurgitated material from salivating flies [37], demonstrating that mating takes place only after the migratory trypanosomes have reached the salivary glands as epimastigotes. The earliest this happened was 13 days after the infective feed when the first yellow hybrids were detected in the salivary glands [37]. Previous experiments have shown that mating continues through the duration of the infection [33], perhaps dependent on the arrival of the second parent in the salivary glands [41]. Meiotic stages have been detected from 14 to 38 days after infection [42], showing that production of mating stages is not synchronous or limited to a particular phase of establishment of infection in the salivary glands. Thus, although a mixed infection is a prerequisite for production of hybrids, both trypanosomes do not necessarily have to be picked up during the same feed from a single animal. Mixed infections of two or more genotypes were found among 9.5% of laboratory isolates of *T. brucei* from vertebrates [43], suggesting that the prevalence of multiple strain infections in nature may be quite high.

In summary, the where and when questions have been answered: mating takes place in the salivary glands as soon as trypanosomes arrive there; this can be as early as day 13 after flies take the infective feed, but hybrid production can continue for weeks afterwards, possibly for the lifespan of the fly.

4. Mechanism of genetic exchange

Evidence that the mechanism of genetic exchange involves meiosis was deduced indirectly from comparison of parental and progeny genotypes, which showed that

inheritance of alleles largely obeyed Mendelian rules [17]. The frequent observation of triploid hybrids, potentially explicable as errors in fusion of haploid and diploid nuclei, also suggested the presence of haploid nuclei at some stage during genetic exchange [22, 44]. The discovery that trypanosome genomes contain genes encoding meiosis-specific proteins [9] suggested a more direct experimental approach: to test for gene expression. Accordingly, four meiosis-associated proteins (SPO11, MND1, DMC1, HOP1) were tagged with yellow fluorescent protein (YFP) to examine timing and place of expression in the fly [11]. Three of the four proteins were expressed in the nucleus of a dividing epimastigote stage found attached or free in the salivary glands [11]. These dividing epimastigotes were atypical, lacking the characteristic long posterior protrusion seen in attached epimastigotes [45, 46] and having the nucleus in a posterior rather than central position in the cell (Fig. 2). This putative meiotic stage was found in the largest numbers early in establishment of the salivary gland infection (around 20 days after the infective feed), but continued to be found up to 38 days (when the experiment terminated) [11, 42]. The meiotic stage was observed in single infections of *T. b. brucei*, *T. b. rhodesiense* and *T. b. gambiense* types 1 and 2 [42], indicating that meiosis is not triggered by the presence of a mixed trypanosome infection in the salivary glands, but is a normal part of the developmental cycle. In an experimental cross, it was observed that hybrid trypanosomes were seldom found to co-express a YFP-tagged meiosis-specific protein together with cytoplasmic RFP obtained from the other parental trypanosome, indicating that meiosis takes place before cell fusion [11].

The discovery of a putative meiotic stage led to a search for haploid gametes, targeting the period of maximal production of meiotic stages around day 20 following the infective feed [42]. Measurement of DNA contents of salivary gland stages revealed a

population of haploid cells. These cells had a peculiar morphology with a long free flagellum and pear-shaped body (Fig. 3), reminiscent of the promastigote cell morphology that is characteristic of other trypanosomatids such as *Leishmania*; the haploid cells were therefore referred to as promastigote-like [42]. These cells were present in relatively small numbers inside the lumen of the salivary gland, and were more easily found during the early phase of salivary gland establishment before epimastigotes and metacyclics became numerous. When salivary gland derived, red and green fluorescent trypanosomes of mating-compatible strains were mixed *in vitro*, the promastigote-like cells were observed to interact by intertwining their flagella in behaviour suggestive of the interaction of gametes prior to fusion, and yellow fluorescent hybrid cells appeared within 30 minutes of mixing [42]. In contrast, mixtures of red and green fluorescent trypanosomes of a single strain rarely produced yellow fluorescent hybrid cells, but the promastigote-like cells were still observed to interact via their intertwined flagella [47]. This suggests that fusion depends on the expression of additional factors that allow non-self gamete recognition (see below). The fate of the haploid gametes in single infections is unknown, but presumably those that do not fuse eventually die. Intermediate stages between the meiosis 1 dividing epimastigotes and the putative haploid gametes have yet to be described.

The mechanics of DNA exchange also await elucidation. In the simplest model, the haploid nuclei would combine after fusion of two promastigote-like cells, but there is as yet no proof of this. Early experiments concluded that inheritance of kinetoplast DNA (kDNA) was uniparental, because analysis of the maxicircles of hybrid progeny clones showed identity to one or other of the parental genotypes [18, 19, 48], but subsequent analysis of the minicircle component, which consists of about 5000 intercalated 1kb circular DNA

molecules [49], showed that hybrid progeny clones had a mixture of minicircles derived from the two parents [50, 51]. Therefore, contrary to initial ideas, kDNA is indeed exchanged during mating, and this was confirmed by PCR-based analysis of maxicircles of hybrid clones [37, 52]. In theory, random partitioning of the small number of maxicircles relative to minicircles (estimated ratio of 50 maxicircles to 5000 minicircles per kinetoplast) would lead to uniformity of the maxicircle component after several generations without affecting the heterogeneity of the minicircles [53], but there are other explanations consistent with the experimental observations [49]. The fact that kDNA is exchanged implies fusion of mitochondria, since the kDNA resides within the mitochondrial membrane, and this in turn requires fusion of cell membranes. To date, kDNA exchange is the key piece of evidence supporting the idea that cell fusion occurs during trypanosome mating rather than just exchange of nuclei [50, 51].

5. Mating compatibility

The factors that allow mating between different strains of *T. brucei* are not yet understood. It has proved possible to cross different subspecies in the lab, except for *T. b. gambiense* type 1 (Table 1). This is in line with the consensus from population genetic analyses that *T. b. gambiense* type 1 is genetically homogeneous and reproduces clonally [54, 55], whereas the other *T. brucei* subspecies, including *T. b. gambiense* type 2, are genetically heterogeneous [14, 56, 57]. But note that *T. b. gambiense* type 1 expresses meiosis-specific genes in common with the other *T. brucei* subspecies [42], and so it remains a possibility that, given the right circumstances of tsetse fly host and compatible mating partner, this trypanosome too might be capable of genetic recombination. Despite the fact

that *T. b. gambiense* type 2 combines human infectivity with the fly transmissibility and virulence of *T. b. brucei*, there is no evidence to support the idea that this trypanosome is a hybrid between *T. b. gambiense* type 1 and *T. b. brucei* [14, 58]. However, *T. b. gambiense* type 2 itself probably undergoes genetic recombination with *T. b. brucei* in nature. The similarity of *T. b. gambiense* type 2 to West African isolates of *T. b. brucei*, together with the heterogeneity of the few isolates that have been genotyped, are both suggestive of genetic exchange with *T. b. brucei*, and this idea is backed up by several successful laboratory crosses with *T. b. brucei* and *T. b. rhodesiense* (Table 1).

Whether *T. brucei* has a system of mating types or sexes that govern mating compatibility has yet to be established. Three different *T. brucei* strains were shown to cross in all pairwise combinations [20], indicating flexibility in mating type determination. However, as noted above, intraclonal crosses are far less successful than out crosses of different *T. brucei* strains [41, 59, 60], supporting the hypothesis that trypanosomes have some means of distinguishing self and non-self. This appears to act at the level of the gamete, because red and green fluorescent gametes of the same trypanosome strain failed to fuse even though they displayed the cell-cell interactions with intertwining flagella typical of compatible parental trypanosomes [47]. While F1 and F2 crosses, as well as back crosses of F1 or F2 progeny with parental trypanosomes, produced hybrids with varying levels of success, systematic analysis failed to elucidate any pattern of mating indicative of mating types [47].

It has been assumed that mating in *T. brucei* is a non-obligatory event during the life cycle, but the finding that production of meiotic forms and gametes is a normal part of the trypanosome's development in the salivary glands throws this assumption into doubt.

However, it has long been established that *T. brucei* clones can be transmitted through tsetse with no evidence of recombination [61], suggesting that the sexual cycle is simply by-passed.

6. Transfer of virulence

Analysis of experimental crosses could help to elucidate the genetic basis of key phenotypic characters, such as drug resistance or human infectivity, but up to now identification of such genes has relied on molecular genetic approaches [13, 62-65]. These approaches were very successful in discovering the genetic basis of human infectivity in the pathogens *T. b. rhodesiense* and *T. b. gambiense* type 1 [66]. While a single gene, *SRA*, is responsible for human infectivity in *T. b. rhodesiense* [13], three different loci (TgsGP, HpHbR, cysteine protease [63]) contribute to the ability of *T. b. gambiense* type 1 to survive in human blood [66]. Crosses of *T. b. gambiense* type 2 with non-human-infective *T. b. brucei* have produced potentially human infective (as judged by resistance to lysis by human serum) hybrid progeny, allowing linkage analysis with microsatellite markers [23, 24], but this has not yet led to identification of a particular gene or genes associated with human infectivity in *T. b. gambiense* type 2.

Several crosses of *T. b. rhodesiense* with *T. b. brucei* have yielded hybrid progeny, making it possible to examine the inheritance of human infectivity, both at the phenotype and genotype levels. Some hybrid clones inherit the human infective phenotype, manifested in their ability to resist lysis by human serum [67, 68] and these progeny clones have generally inherited one or more copies of the *SRA* gene [68]. The *SRA* protein interacts directly with the trypanolytic protein contained in human serum, Apolipoprotein L1,

preventing the formation of pores in the lysosomal membrane [69], and thus rendering the trypanosome resistant to lysis by human serum. As noted earlier, there is abundant population genetics evidence of gene flow between *T. b. rhodesiense* and *T. b. brucei* in nature [14, 70, 71].

7. Conclusions

While we have come a long way in understanding the process of genetic exchange in *Trypanosoma brucei* since the first experimental cross in 1986 [3], important details still remain to be worked out. For example, we now know where and when genetic exchange takes place and that it is true sexual reproduction involving a meiotic division and production of haploid gametes, but details of the second meiotic division, nuclear and kinetoplast DNA exchange and zygote formation are current gaps in our knowledge. Nevertheless, the epidemiological importance of genetic exchange in the generation of new strains of the human pathogens *T. b. rhodesiense*, and also *T. b. gambiense* type 2, are clear.

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477 **Legends to Figures**

478 **Figure 1** Developmental stages of *Trypanosoma brucei*. The classic life cycle of *T. brucei* [46]
479 has been augmented with foregut migratory stages [38, 39], meiotic dividers [11] and
480 gametes [42].

481 **Figure 2** Meiotic stage of *Trypanosoma brucei rhodesiense*. Dividing epimastigote recovered
482 from the salivary glands. Panel A, phase contrast image. Panel B, YFP fluorescence showing
483 nuclear expression of YFP-tagged DMC1. Panel C, DAPI stain showing nucleus and two
484 smaller kinetoplasts. Panel D, merge. Scale bar 10 μm .

485 **Figure 3** Promastigote-like gametes of *Trypanosoma brucei brucei*. Two different
486 trypanosomes recovered from tsetse salivary glands are shown in rows A and B. The
487 trypanosome in row A has one nucleus and two kinetoplasts, while that in row B has a single
488 nucleus and kinetoplast. Left, phase contrast image; right, DAPI image. Scale bar 5 μm .

489

490 Tables

491 Table 1

492 Experimental crosses of *Trypanosoma brucei* ssp. that produced hybrid progeny

Parents ^a	Hybrid selection	References
<i>Tbb</i> STIB 247 x <i>Tbg</i> 2 STIB 386	None	[3, 15, 16, 19, 48]
<i>Tbb</i> STIB 247 x <i>Tbb</i> TREU 927/4	None	[20]
<i>Tbb</i> TREU 927/4 x <i>Tbg</i> 2 STIB 386	None	[20]
<i>Tbb</i> STIB 247 x <i>Tbb</i> STIB 777	None	[21]
<i>Tbb</i> TSW 196 x <i>Tbr</i> 058	None	[18, 44, 50]
<i>Tbb</i> TSW 196 x <i>Tbb</i> J10	None	[72]
<i>Tbb</i> KP2N x <i>Tbr</i> 058H ^b	Double drug resistance	[22, 34, 41]
<i>Tbr</i> 058H x <i>Tbb</i> P20 (F1 hybrid)	Double drug resistance	[73]
<i>Tbb</i> STIB 826 x <i>Tbb</i> STIB 829	None	[74]
<i>Tbr</i> 058H x <i>Tbg</i> 2 TH2N	Double drug resistance	[41]
<i>Tbb</i> KP2N x <i>Tbg</i> 2 TH2H	Double drug resistance	[41]
<i>Tbb</i> KP2N x <i>Tbg</i> 2 TH2 Tet GFP ^b	GFP fluorescence	[35]
<i>Tbb</i> J10 RFP x <i>Tbb</i> 1738 GFP	GFP/RFP dual fluorescence	[37]
F1, F2 and back crosses from J10 RFP x 1738 GFP	GFP/RFP dual fluorescence	[47]
<i>Tbb</i> 1738 RFP x <i>Tbb</i> 1738 GFP ^c	GFP/RFP dual fluorescence	[59]
<i>Tbb</i> 427 var 3 RFP x <i>Tbb</i> 1738 GFP	GFP/RFP dual fluorescence	[75]

<i>Tbr</i> 058 GFP x <i>Tbb</i> J10 RFP	GFP/RFP dual fluorescence	[68]
<i>Tbr</i> 058 GFP x <i>Tbb</i> 1738 RFP	GFP/RFP dual fluorescence	[68]
<i>Tbr</i> 058 GFP x <i>Tbb</i> 427 var 3 RFP	GFP/RFP dual fluorescence	[68]
<i>Tbr</i> LUMP 1198 GFP x <i>Tbb</i> 1738 RFP	GFP/RFP dual fluorescence	[68]
<i>Tbr</i> TOR11 GFP x <i>Tbb</i> J10 RFP	GFP/RFP dual fluorescence	[68]
<i>Tbr</i> TOR11 GFP x <i>Tbb</i> 1738 RFP	GFP/RFP dual fluorescence	[68]

493

494 ^a Trypanosome origins: STIB 247, hartebeest, Tanzania, 1971; STIB 386, human, Côte
495 d'Ivoire, 1978; TREU 927/4, tsetse, Kenya, 1970; STIB 777, tsetse, Uganda, 1971; TSW 196,
496 pig, Côte d'Ivoire, 1978; 058 (058H), human, Zambia, 1974; J10, hyena, Zambia, 1973; KP2
497 (KP2N), tsetse, Côte d'Ivoire, 1982; STIB 826, 829, tsetse, Uganda, 1990; TH2 (TH2N, TH2H),
498 human, Côte d'Ivoire, 1978; 1738, sheep, Kenya, 1970; 427 var 3, sheep, Uganda, 1960;
499 TOR11, human, Uganda, 1988; LUMP 1198, human, Uganda, 1986.

500 ^b Abbreviations: H, hygromycin resistant; N, Geneticin resistant; Tet, Tet operator; GFP,
501 green fluorescent protein; RFP, red fluorescent protein.

502 ^c This was an intraclonal cross and hence produced recombinant rather than hybrid progeny.

503

504 **Figures**

505 **Figure 1**

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508 **Figure 2**

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513 **Figure 3**

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