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1 The cause of Late Cretaceous cooling: A multi-
2 model/proxy comparison

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13 **ABSTRACT**

14 Proxy temperature reconstructions indicate a dramatic cooling from the
15 Cenomanian to Maastrichtian. Yet the spatial extent of and mechanisms responsible for
16 this cooling remain uncertain given simultaneous climatic influences of tectonic and
17 greenhouse gas changes through the Late Cretaceous. Here, we compare several climate
18 simulations of the Cretaceous using two different Earth System models with a
19 compilation of sea-surface temperature proxies from the Cenomanian and Maastrichtian,
20 to better understand Late Cretaceous climate change. In general, surface temperature
21 responses are consistent between models, lending confidence to our findings. Our
22 comparison of proxies and models confirms that Late Cretaceous cooling was a

widespread phenomenon and likely due to a reduction in greenhouse gas concentrations in excess of a halving of CO₂, not changes in paleogeography.

INTRODUCTION

The Cretaceous is often characterized as a greenhouse climate with CO₂ concentrations in the realm of IPCC business-as-usual estimates for 2100 (Wang et al., 2014). Despite general warmth, evidence suggests significant climate changes occurred during this period. Across the Late Cretaceous (101–66 Ma), proxy temperature reconstructions indicate a cooling trend from the Cenomanian/Turonian Thermal Maximum to the Maastrichtian (Huber et al., 2002; Pucat et al., 2007; Friedrich et al., 2012; Linnert et al., 2014). Both temporally (Huber et al., 2002; Pucat et al., 2007) and spatially discrete (Linnert et al., 2014) sea-surface temperature (SST) reconstructions suggest several degrees of cooling from 101 to 66 Ma, though some disagreements about the latitudinal temperature gradient response exist (Pucat et al., 2007). This cooling occurred in concert with large-scale tectonic changes such as restriction of the Arctic ocean and expansion of the Atlantic ocean (e.g., Sewall et al., 2007), a reduction in atmospheric CO₂ (e.g., Wang et al., 2014), and the radiation of angiosperms (e.g., Boyce et al., 2009), which makes determining the cause of Late Cretaceous climatic change uncertain. A dearth of long-term temperature records (Linnert et al., 2014) and consistent climate simulations spanning the Late Cretaceous (Donnadieu et al., 2006) exacerbate the problem.

To better understand the mechanisms responsible for the Late Cretaceous cooling, we compare several Earth System model simulations with a compilation of SST proxies from the Cenomanian (CEN) (100.5–93.9 Ma) and Maastrichtian (MAA) (72.1–66.0

Ma). We chose these stages because they represent temporal and climatological end-members of the Late Cretaceous and have small across-stage temperature trends compared to other stages within the period (Friedrich et al., 2012). Our model simulations allow us to separate the Late Cretaceous temperature responses due to changes in geography from responses due to reduction in CO₂. These simulations, in combination with our SST proxy compilation, provide insight into the extent, magnitude, and mechanisms responsible for the Late Cretaceous cooling.

METHODS

Climate Simulations

We use the Community Climate System Model (CCSM4) and Hadley Centre Model (HadCM3L) with identical paleogeographies, greenhouse gas (GHG) concentrations, total solar irradiance (TSI), and orbital configurations. Both models contain dynamic atmosphere, ocean, sea ice, land surface, and vegetation components. Here, CCSM4 has a 1.9x2.5° atmosphere/land-surface grid and ~1° ocean/sea-ice grid, and HadCM3L has a 2.5x3.75° grid for all model components. These models have been previously used for several paleoclimate simulations (e.g., Rosenbloom et al., 2013; Lunt et al., 2016).

We use 0.5° global topography and bathymetry reconstructions created by Getech Plc (<http://www.getech.com/globe/>), using similar methodologies to those presented in Markwick and Valdes (2004). In this study, we focus on paleogeographic reconstructions of the CEN and MAA (Fig. DR1). All experiments use age appropriate TSI (Gough, 1981) with a present-day orbital configuration. CO₂ concentrations are set to either 4x or

2x preindustrial (PI) (1120 or 560 ppm), roughly representative of proxy-reconstructed averages across the Late Cretaceous (Wang et al., 2014).

We run all CCSM4 simulations for 1500 years and all HadCM3L simulations for 1422 years, long enough for the upper ocean (100 m) and atmosphere to reach near-equilibrium. All results are climatologies from the final 30-years of the model runs.

Below we explore three model configurations with both CCSM4 and HadCM3L: a 4x PI CO₂ CEN case (CEN4x), a 4x PI CO₂ MAA case (MAA4x), and a 2x PI CO₂ MAA case (MAA2x).

Proxy Records

SST proxy records come from a combination of planktonic foraminifera (PF), fish tooth enamel, shells of mollusks, bivalves, brachiopods, and belemnite rostra, and TEX₈₆.

Proxy values represent data averages of studies from the CEN and MAA based on published ages, with averaging done over the entire age and for nearby sample locations from a single study and technique. To allow for a more direct comparison, we use several standard SST calibrations. Here, we only provide analytical and/or calibration uncertainties, which represents a minimum estimate of proxy uncertainty range over an age. To correct seawater $\delta^{18}\text{O}$ ($\delta^{18}\text{O}_{\text{sw}}$) for regional variability, we use model stage-specific salinity (Poulsen et al., 1999) with the relationship of Broecker (1989) and assume a mean $\delta^{18}\text{O}_{\text{sw}}$ of -1‰ Vienna Mean Standard Ocean Water (VSMOW) (Shackleton and Kennett, 1975). See Data Repository for additional methodology.

RESULTS

Model Results

Both models produce similar global-mean surface temperature (TS) for all CEN and MAA experiments (Table DR1, Figure 1a-c,g-i, DR2). On average, CCSM4 is warmer than HadCM3L by 0.67 °C, mainly due to slightly higher SSTs. The largest difference occurs in the high-latitudes of the South Pacific where CCSM4 TS is up to 10 °C warmer due to greater ocean heat transport into the region and less cloud cover (Fig. 1a-f, DR2, DR3). In contrast, CCSM4 land-surface temperatures (LST) tend to be cooler than HadCM3L. Much of these difference stems from model vegetation. In low latitudes, greater evapotranspiration in CCSM4 leads to more latent heat release (Boyce et al., 2009), while at high latitudes, less vegetation in CCSM4 reduces canopy masking of snow-cover and raises surface albedo (Fig. DR4). Regardless of regional differences, both models tend to agree on the sign and magnitude of TS change with changes in paleogeography and CO₂, particularly in the low to mid-latitudes where proxy density is greatest (Fig. 1 g-i, DR2, DR5, DR6).

Response to Paleogeography

Changes in geography and TSI from CEN4x to MAA4x lead to minor global-mean TS responses with only 0.14 °C of warming, almost completely in response to a 3.28 Wm⁻² increase in solar constant across the Late Cretaceous (Fig. DR6a,b). This is mirrored by minimal temperature changes from CEN4x to MAA4x associated with global average emissivity (0.13 °C), albedo (−0.16 °C), and heat transport (0.00 °C) with no change greater than 0.24 °C for either model based on our model energy balance calculations (see Data Repository). Nevertheless, robust regional temperature changes exist from CEN4x to MAA4x. For instance, the North Pacific warms in both models, likely due to a reduction in cloud cover (Fig. DR3) and the closure of the Bering Strait,

which allows less mixing with cold Arctic water. Eastern North America, however, experiences widespread cooling due to reduced warm inflow from the gulf with the closure of the Western Interior Seaway in the latest Cretaceous (Poulsen et al., 1999) (Fig. 1d). Restriction of the Drake Passage also leads to cooling of the South Atlantic by limiting the amount of relatively temperate Pacific water moving through the Southern Ocean, while Australia warms as it detaches from Antarctica by allowing more ocean flow along its southern margin. Finally, the equatorial Pacific warms with a decline in upwelling and evaporation due to a weaker Pacific Walker circulation (Poulsen et al., 1998).

The lack of a large global TS response to changes in Cretaceous paleogeography in our results is consistent with other recent modeling work (Lunt et al. 2016), but contrasts a previous modeling study on the topic (Donnadieu et al., 2006). The discrepancies between our results and those of Donnadieu et al. (2006) likely come from differences in the climate models. Donnadieu et al. (2006) employ the Fast Ocean Atmosphere Model (Jacob, 1997), which, in contrast with our model configurations, uses lower resolution, a slab ocean, and somewhat different paleogeographies.

Response to Atmospheric $p\text{CO}_2$

The model responses to a halving of CO_2 are greater than those due to changes in paleogeography (Fig. DR5, DR6c,d). From MAA4x to MAA2x, global mean TS decreases by 3.1 °C and 3.3 °C in CCSM4 and HadCM3L, respectively. Based on our ensemble-mean energy balance calculations, an increase in infrared emissivity is the greatest driver of cooling and causes a 2.45 °C decrease in TS. Albedo feedbacks amplify

infrared cooling by 0.75 °C, while an increase in ocean heat transport due to a larger equator-to-pole temperature gradient provides 0.05 °C of warming.

Arctic amplification occurs in both models from MAA4x to MAA2x, but it is also the primary source of discrepancy between simulated TS responses. CCSM4 exhibits larger Arctic sea ice and water vapor feedbacks than HadCM3L (Fig. 1e, DR2, DR5, DR7). In CCSM4, the increase in sea ice cover leads to less evaporation from the ocean, less cloud cover, and less trapped longwave radiation, while reflected shortwave radiation remains high due to high sea-ice albedo (Fig. DR6c,d). Greenland also becomes significantly colder in CCSM4, as vegetation is replaced by bare ground and snow cover increases. These responses are not as pronounced in HadCM3L because Arctic sea ice, vegetation, and cloud cover do not change as significantly. In both models, the greatest SST cooling occurs in the Northern Hemisphere mid-latitudes, possibly due to greater radiative cooling of the nearby large continental area (Fig. 2b-c, DR5b).

The responses in Antarctica between models are also somewhat distinct. Here, drier conditions reduce cloud cover in CCSM4, but this acts to reduce the albedo and dampen polar amplification. Like in the Arctic, HadCM3L cloud-cover decreases relatively less in Antarctica, leading to only small albedo amplification from greater snow-cover. Further, HadCM3L shows warming in the Indian and Atlantic sectors of the Southern Ocean due to greater high-latitude deep-water formation, which draws more warm water poleward. Increased salinity resulting from reduced precipitation and runoff around Antarctica appears to drive much of the increased sinking, which is not as pronounced in CCSM4. Overall, the model responses from MAA4x to MAA2x are not

spatially uniform, but almost the entire globe experiences some amount of cooling and the first order responses are consistent between models.

DISCUSSION

Proxy Comparison

Our compilation of SST proxies (Table DR2) suggests widespread cooling from the CEN to MAA, with general agreement between different proxy methods in the amount of cooling (Fig. 2a-d). With the current data set, we find no compelling evidence for a significant increase in low-to-mid latitude meridional SST gradients from the CEN to the MAA, as might be anticipated from global cooling. In fact, our SST proxy gradients are slightly steeper in the CEN (0.32 °C per latitude between 0 and 65°) than the MAA (0.29 °C per latitude between 0 and 65°); however, the difference is not statistically significant (see Data Repository). This result agrees with the fish tooth enamel SST reconstructions of Pucat et al. (2007) and our modeling results (~0.33 °C per latitude between 0 and 65° for all experiments), but disagrees with the PF SST reconstructions of Huber et al. (2002), which suggest a significant reduction in latitudinal SST gradient across Late Cretaceous. The flattening SST gradient in the Huber et al. (2002) reconstructions may be an artifact of diagenesis in some PF records (Pucat et al., 2007). Not unexpectedly, our proxy-based latitudinal SST gradient increases in both the CEN and MAA if we remove PF reconstructions (Fig. DR8, DR9, DR10), under the justification that they are likely cold biased due to diagenetic alteration (Pearson et al., 2001). Yet, even though the cool tropical SST values from PF suggest post-depositional alteration, the magnitude of PF-based SST cooling from the CEN to MAA is similar to the average SST cooling from the other reconstruction methods in our compilation

(between 20°S-20°N, an average cooling of 9.0 °C from PF data versus 7.2 °C for all other proxies data). Regardless of the proxy methods included, latitudinal SST gradients hint at the existence of Arctic sea-ice in the Late Cretaceous, in agreement with both CCSM4 and HadCM3L model results and sea-ice proxy studies (e.g., Bowman et al., 2013).

Amount of Cooling

There are sufficient data to confirm that cooling was widespread and greater than can be explained by a factor of two reduction in atmospheric CO₂ (Fig. 2d, DR5), given model sensitivities of ~3.2 °C. In the low-to-mid latitudes, where sample density is greatest, proxies show an SST cooling of >6 °C while the models suggest a cooling of only 2–4 °C from a halving of CO₂ (Fig. 2d, DR5). Based on our model results, we suspect 1120 ppm CO₂ is too low for the mid-Cretaceous since many SST proxy values are greater than the model simulated values (mean SST difference of $+2.27 \pm 5.70$ (1 σ) without PF), particularly in the tropical region (Fig. DR11). Greater than 1120 ppm CO₂ values during the CEN are within proxy reconstruction uncertainty (Wang et al., 2014) as is potential warming from other GHGs such as methane (Beerling and Royer, 2011). In contrast, the MAA2x simulations match SST proxies fairly well and only show a small warm bias (mean SST difference of -0.65 ± 4.16 (1 σ) without PF).

Limitations

Data scarcity limits the extent of our comparisons. High-latitude SST changes remain uncertain due to a lack of available proxy data, making validation of our model simulated responses difficult. Further, the available SST proxies are too few and spatially biased to calculate representative global average SSTs for either the CEN or MAA.

Specifically, the CEN records are located mostly in the Tethys and Atlantic, with few data in the Pacific, while the MAA records are located mostly in the Atlantic. For both stages, records come mainly from the Northern Hemisphere (Fig. DR1). Further, the CEN records that have high-resolution ages, especially those from mid-latitude regions, are skewed toward the lower CEN, which might bias the compilation to particular variability within the stage; in contrast, the MAA data are fairly well distributed over the stage.

Proxy uncertainties are also problematic. In this study, we chose to explore only the marine realm because ocean temperatures have a smaller interannual range, and therefore, are more likely to be representative of mean climate conditions. Still, seasonal bias and diagenetic effects likely affect our results. We avoid comparing our model results with LST proxies due to difficulties such as the substantial heterogeneity over small spatial scales due to topography that cannot be resolved by the models and greater seasonality that might bias temperatures (e.g., Spicer et al., 2008; Upchurch et al., 2015).

CONCLUSIONS

The compilation of SST proxies shows that the Late Cretaceous cooling was widespread. Our model results confirm that a reduction in GHG concentrations, not paleogeographic evolution, can explain the majority of the global cooling. Previous proxy temperature comparisons (Pucat et al., 2007; Linnert et al., 2014), and CO₂ reconstructions (e.g., Wang et al., 2014) support our findings. Nevertheless, paleogeographic changes do cause substantial region climate responses that are important for interpreting regional climate variability in proxy records.

While SSTs agree to first order between models and proxies, significant LST discrepancies remain, particularly in Central Siberia (e.g., Spicer et al., 2008). The

continuing model/proxy disagreement in the Siberian interior might represent missing model features such as heterogeneous topography (Spicer et al., 2008), small-scale waterways (Upchurch et al., 2015), vegetation differences (e.g., Otto-Bliesner and Upchurch, 1997), chemistry/climate interactions (e.g., Kump and Pollard, 2008), or a reduction in O₂ levels (Poulsen et al., 2015). However, a lack of direct evidence for these alternative warming mechanisms makes their potential impacts difficult to assess.

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FIGURE CAPTIONS

Figure 1. Late Cretaceous mean annual TS and differences. Column 1 shows the
ensemble-average mean-annual TS of CCSM4 and HadCM3L from CEN4x (A), MAA4x
(B), and MAA2x (C). Column 2 shows the difference in ensemble-average mean-annual
TS of CCSM4 and HadCM3L between CEN4x and MAA4x (D), MAA4x and MAA2x
(E), and CEN4x and MAA2x (F). Column 3 shows the mean-annual TS differences
between CCSM4 and HadCM3L for CEN4x (G), MAA4x (H), and MAA2x (I).

Figure 2. Proxy data and model zonal mean SSTs for A) CEN4x, B) MAA4x, and C)
MAA2x. D) All proxy data and Gaussian fits colored by age. In this figure: model SSTs
are from 5-m depth, the blue lines represent the multi-model zonal mean SST gradients
and the blue shadings represents the simulated range of zonal mean SSTs from
HadCM3L and CCSM4; vertical lines over proxy data represent uncertainty; black dots
are model SST values at proxy locations and overlaid vertical lines are the multi-model
bounds of HadCM3L and CCSM4; maroon lines show Gaussian best fits of the proxy
data between 65°S/N. Gaussian fits of the proxies in panels A), B), and C) include an

339 adjustment for the deviation of the SSTs from the zonal mean based on model-simulated
340 longitudinal heterogeneity in order to create a more representative proxy latitudinal
341 gradient (see Data Repository). In panel D), blue and red shading show 90% confidence
342 intervals of the Gaussian best fits. Shells designate all non-foraminifera shells including
343 mollusks, bivalves, brachiopods, and belemnite rostra.

344

345 ¹ GSA Data Repository item 201Xxxx, additional methods, supplemental Figures DR1-
346 11, Table DR1,2, and data sources, is available online at
347 www.geosociety.org/pubs/ft20XX.htm, or on request from editing@geosociety.org.

A. Cenomanian 4x CO₂ Zonal SSTs **B.** Maastrichtian 4x CO₂ Zonal SSTs **C.** Maastrichtian 2x CO₂ Zonal SSTs **D.** Proxy Zonal SSTs

The cause of Late Cretaceous cooling: a multi-model/proxy comparison

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GSA DATA REPOSITORY

MODEL DESCRIPTIONS

CCSM4

We use the Community Climate System Model version 4 (CCSM4) maintained at the National Center for Atmospheric Research (NCAR). Our model component-set includes the Community Atmospheric Model version 4 (CAM4), the Community Land Model version 4 with dynamic vegetation (CLM4-DGVM), the Parallel Ocean Project model version 2 (POP2), and the Community Sea Ice model version 4 (CICE4). Additional details on the model components and performance can be found in Gent et al. (2011), and information on the DGVM is documented in Levis et al. (2004). The ocean and sea ice models run on a rotated poles grid at

roughly 1° resolution with 60 vertical ocean levels. The atmosphere and land-surface models run on a finite-volume grid of 1.9×2.5°, and the atmosphere has 28 vertical levels. We run CAM4 with the Bulk Aerosol Model (BAM), a prognostic aerosol model, with aerosol concentrations and types adjusted for the Cretaceous using a method similar to Heavens et al. (2012). Here, aerosol data come from pre-industrial datasets converted into hemispherically symmetric, monthly zonal average aerosols distributions masked independently to land and sea. In addition, we add the land black carbon emissions from 62.5°N/S to all latitudes further poleward to reflect the greater vegetation cover and fire potential at high latitudes during the Cretaceous (Upchurch et al., 1998). We run all simulations for 1500 years with all model components active and synchronously coupled.

HadCM3L

We also use the Hadley Centre Model (HadCM), developed by the UK Met Office. For this study, we implement HadCM3L version 4.5, which contains dynamic atmosphere, ocean, land, and sea ice components on a 2.5×3.75° grid. The ocean and atmosphere have 19 and 20 vertical levels, respectively. Description of the similar HadCM3 model is documented in Gordon et al. (Gordon et al., 2000). We couple HadCM3L with the Top-down Representation of Interactive Foliage and Flora Including Dynamics (TRIFFID) model with the land surface scheme MOSES 2.1 to simulate dynamic vegetation (Cox, 2001). We run the HadCM3 experiments in 4 phases:

1. 50 years with 280 ppm CO₂ and bare-ground
2. 319 years of either 560 or 1120 ppm CO₂ with TRIFFID turned on

3. 53 years with the addition of prescribed lakes
4. 1000 years with barotropic ocean flow enabled to allow non-zero vertically integrated ocean flow

For additional details on HadCM3L initialization and spin-up see Lunt et al. (2015).

MODELS SETUP

Simulations use the paleogeographic reconstructions of Gedge Plc. Following model standard practices and for improved stability, we apply model specific smoothing to the topography. For both models, we adjust total TSI for the Cenomanian (CEN) and Maastrichtian (MAA) based on the equation of Gough (1981). We prescribe CO₂ concentrations as either 4x (1120 ppm) or 2x preindustrial (560 ppm). All other GHG concentrations are set to preindustrial values of 790 ppb for CH₄, 275 ppb for N₂O, and no CFCs. The orbit configuration is set to present-day. Vegetation plant functional types are model defaults; we make no adjustments for the Cretaceous. All simulations run long enough for the upper ocean to reach near-equilibrium; however, the deep ocean continues to adjust. As a result, we focus only on surface conditions.

ENERGY BALANCE CALCULATIONS

We use the zonal mean energy balance decomposition method of Heinemann (2009), which was subsequently adopted and modified by Lunt et al. (2012), and Hill et al. (2014), to explore the mechanisms responsible for surface temperature change in the Late Cretaceous with changes in paleogeography and CO₂. This method assumes incoming shortwave balances with outgoing longwave and that local imbalances are due to changes heat convergence, using the following relationship:

63
$$\frac{S_0}{4}(1 - \alpha) + H = \varepsilon\sigma T^4 . (1)$$

64 Here, S_0 is TSI, α is albedo, H is meridional heat convergence, ε is emissivity, σ (5.67×10^{-8}
 65 $\text{Wm}^{-2}\text{K}^{-4}$) is the Stefan-Boltzmann constant, and T is surface temperature. With the exception of
 66 σ , values in equation (1) come from zonal averages of Earth system model outputs. We can
 67 rewrite equation (1) with respect to surface temperature as:

68
$$T = \left(\frac{1}{\varepsilon\sigma} \left(\frac{S_0}{4}(1 - \alpha) + H \right) \right)^{0.25} \equiv E(\varepsilon, \alpha, H) . (2)$$

69 By substituting variables from different simulations and differencing them, we can deconstruct
 70 the various contributions to the change in surface temperature. We illustrate this below:

71
$$\Delta T_{emm} = E(\varepsilon, \alpha, H) - E(\varepsilon', \alpha, H) . (3)$$

72
$$\Delta T_{alb} = E(\varepsilon, \alpha, H) - E(\varepsilon, \alpha', H) . (4)$$

73
$$\Delta T_{tran} = E(\varepsilon, \alpha, H) - E(\varepsilon, \alpha, H') . (5)$$

74 where ΔT_{emm} , ΔT_{alb} , and ΔT_{tran} are contributions from emissivity, albedo, and heat
 75 convergence to surface temperature change, and primes represent the zonal averages from the
 76 simulations being compared. The combination of surface temperature changes due to emissivity,
 77 albedo, and heat convergence sum to approximate the total surface temperature response:

78
$$\Delta T_{total} \cong \Delta T_{emm} + \Delta T_{alb} + \Delta T_{tran} . (6)$$

79 This technique can be used to further decompose the climate contributions to surface
 80 temperature.

81 **PROXY DATA**

82 As mentioned in the main text, SST proxy values represent location and age averages.

Method uncertainties only accounts for calibration uncertainties. We apply these uncertainties to every averaged data point. The range in values from a particular age and site are often significantly greater than the calibration uncertainties. Therefore, uncertainties represent minimum estimates.

Point locations are consistently rotated back in time from their present-day sampling locations to the CEN and MAA using the plate reconstructions from Gtech Plc. Occasionally, the coarse model resolutions result in marine proxy paleo-locations over land instead of water. In these situations, we select the nearest model ocean location to represent the SST value.

To create more representative latitudinal SST gradients, Gaussian fits of the proxies include an adjustment for the deviation of the SSTs from the zonal mean based on model-simulated longitudinal heterogeneity. For example, if an equatorial proxy location has a model simulated SST of 30°C and a model zonal mean equatorial SST of 35°C, then 5°C are added to the proxy value so that it is in better agreement with the zonal average. This technique assumes that model longitudinal variability is robust regardless of mean SSTs.

We investigate the statistical similarity between the temperature gradients of our CEN and MAA datasets using an F-test. An F-test determines if the variance of multiple datasets are statistically different from each other. To standardize the data, we first remove the global means of the Gaussian fitting procedure (Fig. 2d). Then, we apply an F-test to test the hypothesis that the spread of residual SSTs between the CEN and MAA are statistically distinct. Our results produce a p-value equal to 0.386, which suggests that SST variations, except for the means between datasets, are not robust.

104 Seawater $\delta^{18}\text{O}$

105 We assume a mean $\delta^{18}\text{O}_{\text{sw}}$ of $-1^{0/00}$ VSMOW, based on the assumption of an ice-free
106 world (Shackleton and Kennett, 1975). This assumption is widely used in Cretaceous SST
107 reconstructions (e.g. Huber et al., 2002; Friedrich et al., 2012); however, debate remains about
108 the potential for glaciation in the Late Cretaceous (e.g. Miller et al., 2005). A significant increase
109 in land-ice would require less cooling in the MAA from $\delta^{18}\text{O}$ records but is not considered
110 further in this study.

111 $\delta^{18}\text{O}_{\text{sw}}$ has significant regional variability in both the modern and Late Cretaceous (Zhou
112 et al., 2008). To account for this variability, we use zonal average salinity from the model
113 outputs with the present-day salinity/ $\delta^{18}\text{O}_{\text{sw}}$ relationship of Broecker (1989). This simple linear
114 relationship follows:

$$115 \quad \delta^{18}\text{O}_w = 0.5(\text{PSU}) - 17.12 . (7)$$

116 where *PSU* stands for positive salinity units. In our simulations, mean ocean salinity starts at 35
117 PSU, which is equivalent to present-day. While not perfect, we prefer this relationship to the
118 commonly employed present-day latitudinal $\delta^{18}\text{O}_{\text{sw}}$ correction by Zachos et al. (1994), because it
119 indirectly accounts for precipitation and evaporation, and does not make present-day
120 assumptions about the latitudinal distribution of $\delta^{18}\text{O}_{\text{sw}}$ (Poulsen et al., 1999). Still, this
121 technique is inferior to model experiments that include water isotope tracking (e.g. Zhou et al.,
122 2008).

123 Planktonic Foraminifera

124 We calculate SSTs from $\delta^{18}\text{O}$ measurements of planktonic foraminifera using the

calibration of Erez and Luz (1983) and a conversion to VSMOW of 0.22‰ (Bemis et al., 1998).

This calibration has been widely used for foraminifera temperature reconstructions and proven

accurate for a wide range of temperatures. Temperatures are calculated using the polynomial:

$$T(^{\circ}C) = 16.998 - 4.52[\delta^{18}O_c - (\delta^{18}O_{sw} + 0.22)] + 0.028[\delta^{18}O_c - (\delta^{18}O_{sw} + 0.22)]^2 . \quad (8)$$

where $\delta^{18}O_c$ is the $\delta^{18}O$ of sample calcite.

Diagenetic alteration is a potential issue for foraminifera, causing them to pickup post-depositional temperature signals from the ocean floor (e.g. Pearson et al., 2001; Norris et al., 2002). It is likely that some of the foraminifera presented in this study suffer from such alteration given the sample descriptions, relatively cool tropical SSTs, and disagreement with other SST proxy values. However, given the paucity of records and uncertainty in other included proxy techniques such as TEX₈₆ (e.g. Taylor et al., 2013), we opt to include all planktonic foraminifera data. For comparison, we include zonal SST reconstructions without foraminifera as well (Fig. DR8, DR9, DR10). Even though removal of foraminifera leads to warmer tropical SST reconstructions, it does not significantly change the magnitude of cooling from the CEN to the Maa, which is the main focus of this study. We assign an uncertainty of $\pm 2.9^{\circ}C$ for planktonic foraminifera based on Holocene core-top data from Crowley and Zachos (Crowley and Zachos, 2000) and to be consistent with the work of Upchurch (2015).

Shells and Others

We use the $\delta^{18}O$ to temperature conversion of Anderson and Arthur (1983) for both aragonite and calcite of shells of mollusks, bivalves, brachiopods, and belemnite rostra based on its prevalent use in the proxy source literature. The equation is:

$$T(^{\circ}C) = 16.4 - 4.14(\delta^{18}O_{c/a} - \delta^{18}O_{sw}) + 0.13(\delta^{18}O_{c/a} - \delta^{18}O_{sw})^2 . (9)$$

where $\delta^{18}O_{c/a}$ is the $\delta^{18}O$ of sample calcite or aragonite. Like foraminifera, shells are prone to alteration (Steuber et al., 1999). We include all records here for completeness. We also show comparisons with shell SST proxies omitted (Fig. DR8, DR9, DR10). We apply an uncertainty of ± 1.6 based on 1σ of a mollusk calibration by Grossman and Ku (1986) as in Upchurch et al. (2015).

Tooth Enamel $\delta^{18}O$

Our SST proxy compilation includes phosphate $\delta^{18}O$ records from fish tooth enamel, most of which were originally compiled by Pucat et al. (2007). These records are considered more resistant to diagenetic alteration than foraminifera or shells, and were previously used by Pucat et al. (2007) to argue for a near-modern latitudinal SST gradient in the Cretaceous, in contrast to reconstructions from foraminifera that suggested a shallower latitudinal SST gradient (e.g. Huber et al., 2002). Recently, there have been several recalibrations of the phosphate $\delta^{18}O$ temperature relationship. Here, we use the most recent calibration by Lecuyer et al. (2013):

$$T(^{\circ}C) = 117.4 - 4.5(\delta^{18}O_{PO4} - \delta^{18}O_{sw}) . (10)$$

where $\delta^{18}O_{PO4}$ is the $\delta^{18}O$ of sample phosphate. This calibration results in SSTs that are several degrees warmer than the calibration by Pucat et al. (2007) and several degrees cooler than the recent calibration by Pucat et al. (2010). However, the magnitude of offset between calibrations remains quite similar over the range of $\delta^{18}O_{PO4}$ values. Therefore, while the absolute temperature reconstructions differ depending on the chosen calibration, the difference between the CEN and MAA records is small. In addition, the calibration of Lecuyer et al. (2013) benefits from the

smallest uncertainty of $\pm 1.2^{\circ}\text{C}$, which we apply to all tooth enamel SST values.

TEX₈₆

TEX₈₆ is a relatively new SST proxy method based on the ratio of different glycerol dialkyl glycerol tetraethers (GDGTs) with 86 carbons, which comprise membrane lipids in marine Crenarchaeota (Schouten et al., 2002). It has the benefit of not relying on $\delta^{18}\text{O}_{\text{sw}}$ assumptions. Here, we use the calibration of Kim et al. (2010) TEX₈₆^H, which provides the smallest error in warm climate conditions. The equation is:

$$T(^{\circ}\text{C}) = 68.4 \log(\text{TEX}_{86}) + 38.6 . \quad (11)$$

Modern calibration by Kim et al. (2010) show an uncertainty of $\pm 2.5^{\circ}\text{C}$, which we use in our model/proxy comparison.

We include the high-latitude MAA TEX₈₆^H SST value from Jenkyns et al. (2004) in our tables and plots for reference but do not include it in our analyses. We find, like several former studies (Davies et al., 2009; Spicer and Herman, 2010; Upchurch et al., 2015), that this value represents an extreme outlier from other proxy data and model results. Inclusion of this data point significantly skews our results, because it is the only available Arctic MAA SST value. Based on our other findings, it requires roughly 10°C warming from 50°N to 80°N , for which we have no physical basis.

GSA DATA REPOSITORY FIGURE CAPTIONS

Figure DR1. Getech Plc CEN and MAA paleogeography with marine proxy locations.

Figure DR2: Individual model simulated Late Cretaceous mean annual surface temperatures and temperature responses to changes in paleogeography and CO₂ concentration. Row 1 shows

188 CCSM4 mean annual surface temperatures from CEN4x (A), MAA4x (B), and MAA2x (C).
 189 Row 2 shows HadCM3L mean annual surface temperatures from CEN4x (D), MAA4x (E), and
 190 MAA2x (F). Row 3 shows CCSM4 mean annual surface temperature differences between
 191 CEN4x and MAA4x (G), MAA4x and MAA2x (H), and CEN4x and MAA2x (I). Row 4 shows
 192 HadCM3L mean annual surface temperature differences between CEN4x and MAA4x (J),
 193 MAA4x and MAA2x (K), and CEN4x and MAA2x (L). The large-scale surface temperature
 194 patterns are quite similar for both models.

195 Figure DR3. Late Cretaceous mean annual total cloud cover and anomalies. Column 1 shows the
 196 model total cloud cover from A) CEN4x, B) MAA4x, and C) MAA2x. Column 2 shows the
 197 difference in total cloud cover between D) CEN4x and MAA4x, E) MAA4x and MAA2x, and F)
 198 CEN4x and MAA2x. Column 3 shows the total cloud cover anomalies between CCSM4 and
 199 HadCM3L for G) CEN4x, H) MAA4x, and I) MAA2x. Clouds remain one of the largest
 200 uncertainties in climate models. Both models show similar cloud patterns for all model
 201 configurations. However, the range of cloud cover between regions is more pronounced in
 202 HadCM3L than CCSM4. The configuration of the CCSM4 aerosols for paleoclimate might be
 203 partly responsible for the discrepancies in cloud magnitude.

204 Figure DR4. Late Cretaceous mean annual surface albedo and anomalies. Column 1 shows the
 205 model surface albedo from A) CEN4x, B) MAA4x, and C) MAA2x. Column 2 shows the
 206 difference in surface albedo between D) CEN4x and MAA4x, E) MAA4x and MAA2x, and F)
 207 CEN4x and MAA2x. Column 3 shows the surface albedo anomalies between CCSM4 and
 208 HadCM3L for G) CEN4x, H) MAA4x, and I) MAA2x. In the high-latitudes, CCSM4 simulates

209 higher surface albedos than HadCM3L due to differences in sea ice cover and vegetation.

210 CCSM4 tends to produce more sea in the Arctic than HadCM3L, which leads to greater

211 shortwave reflection, especially in the spring and fall. CCSM4 also grows shorter, less dense

212 vegetation than HadCM3L in the polar regions. A lower vegetation and reduced canopy allows

213 for more snow cover of vegetation, which raises the albedo. Tall, dense Antarctic vegetation

214 suggested by paleobotanical reconstructions is not simulated in CCSM4 (e.g. Upchurch et al.,

215 1998). Modification of the vegetation model will be an important step in our future work, as

216 some research shows vegetation can help remedy model/proxy LST discrepancies (e.g. Otto-

217 Bliesner and Upchurch, 1998; Zhou et al., 2012).

218 Figure DR5. Zonal mean annual SST responses to changing topography and decreasing CO₂ for

219 both CCSM4 and HadCM3L models. Comparison of CCSM4 and HadCM3L outputs highlight

220 the similarities in surface temperature response.

221 Figure DR6. Decomposition of the simulated changes in zonal mean surface temperature into

222 contributions from heat convergence (red), emissivity (green), albedo (blue), and TSI (yellow)

223 for A) CCSM4 and B) HadCM3L changes in geography, C) CCSM4 and D) HadCM3L changes

224 in CO₂, and E) CCSM4 and F) HadCM3L changes in both geography and CO₂. See Data

225 Repository for details on energy balance calculations.

226 Figure DR7. Seasonal sea ice exists in all 4x PI CO₂ simulations in agreement with some proxies

227 that find evidence for Arctic sea ice during peak Cretaceous warmth (Davies et al., 2009). The

228 Arctic experiences an increase in sea ice concentration from the CEN to MAA because the

229 Arctic becomes more restricted in the Maa. With a reduction in CO₂, a significant amount of

perennial sea ice forms in the Arctic while Antarctic sea ice remains mostly seasonal. This contrast in sea ice between hemispheres is similar to present-day where the restricted Arctic promotes retention of sea ice, and the open ocean Antarctic allows the equator drift and wasting of sea ice.

In all experiments, CCSM4 produces greater Arctic sea ice cover and less Antarctic sea ice cover than HadCM3L. This contrast relates to the differences in open ocean SSTs between models. In general, CCSM4 has greater ocean overturning in the high Southern latitudes, which promotes transports of warm equatorial water poleward and inhibits sea ice formation. In contrast, there is less deep-water formation in the high Northern latitudes in either model. Further, the Late Cretaceous Arctic is quite restricted from the greater ocean, especially in the Maa, which prevents warm open ocean waters from having a large effect.

Figure DR8. Latitudinal temperature gradient reconstructions from the CEN with the systematic removal of SST proxy reconstruction data from individual methods. Simulated CEN4x zonal average SSTs with all CEN proxies SST except A) foraminifera, B) fish tooth enamel, C) shells and related structures, and D) TEX₈₆. Removal of foraminifera leads to a significantly warmer equator and steeper equator-to-pole temperature gradient. This gradient is steeper than model simulated SSTs. It appears likely that some foraminifera are not recording a pure SST signal.

Figure DR9. Latitudinal temperature gradient reconstructions from the MAA with the systematic removal of SST proxy reconstruction data from individual methods. Simulated MAA4x zonal average SSTs with all MAA proxies SST except A) foraminifera, B) fish tooth enamel, C) shells and related structures, and D) TEX₈₆. Like for the Cen, removal of foraminifera leads to a

significantly warmer equator and steeper equator-to-pole temperature gradient.

Figure DR10. Identical to figure S7 except with simulated MAA2x data plotted.

Figure DR11. SST model/proxy discrepancies by latitude. A) Differences between CEN proxies

and CEN4x simulations. B) Differences between MAA proxies and MAA4x simulations. C)

Differences between MAA proxies and MAA2x simulations. In general, the CEN4x simulations

have a cold bias while the MAA4x simulations have a warm bias. The MAA2x simulations are

in better agreement with SST proxies. A model warm bias remains in the equatorial region in the

MAA2x, but this might be a result of diagenetic alteration of planktonic foramina. While beyond

the scope of this work, calibration choices also impact model/proxy agreement. For example, the

warmer fish tooth enamel calibration of Pucat et al. (2010) might result in a better agreement

between models and proxies for the MAA2x simulations.

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Cenomanian Paleogeography and Proxy Locations

Maastrichtian Paleogeography and Proxy Locations

--- Actual Heat Trspt Albedo
Total EB Emissivity Solar Irr

A. CEN4x Ann Avg Sea Ice: CCSM4 NH

B. CEN4x Ann Avg Sea Ice: HadCM3L NH

C. CEN4x Ann Avg Sea Ice: CCSM4 SH

D. CEN4x Ann Avg Sea Ice: HadCM3L SH

E. MAA4x Ann Avg Sea Ice: CCSM4 NH

F. MAA4x Ann Avg Sea Ice: HadCM3L NH

G. MAA4x Ann Avg Sea Ice: CCSM4 SH

H. MAA4x Ann Avg Sea Ice: HadCM3L SH

I. MAA2x Ann Avg Sea Ice: CCSM4 NH

J. MAA2x Ann Avg Sea Ice: HadCM3L NH

K. MAA2x Ann Avg Sea Ice: CCSM4 SH

L. MAA2x Ann Avg Sea Ice: HadCM3L SH

0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9

Sea Ice Fraction

A. Cenomanian 4x CO₂ Zonal SSTs: No Forams **B.** Cenomanian 4x CO₂ Zonal SSTs: No Teeth **C.** Cenomanian 4x CO₂ Zonal SSTs: No Shells **D.** Cenomanian 4x CO₂ Zonal SSTs: No TEX₈₆

A. Maastrichtian 4x CO₂ Zonal SSTs: No Forams **B.** Maastrichtian 4x CO₂ Zonal SSTs: No Teeth **C.** Maastrichtian 4x CO₂ Zonal SSTs: No Shells **D.** Maastrichtian 4x CO₂ Zonal SSTs: No TEX₈₆

A. Maastrichtian 2x CO₂ Zonal SSTs: No Forams **B.** Maastrichtian 2x CO₂ Zonal SSTs: No Teeth **C.** Maastrichtian 2x CO₂ Zonal SSTs: No Shells **D.** Maastrichtian 2x CO₂ Zonal SSTs: No TEX₈₆

A. Cenomanian 4x CO₂ Zonal SST Differences **B.** Maastrichtian 4x CO₂ Zonal SST Differences **C.** Maastrichtian 2x CO₂ Zonal SST Differences