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ON BASE SIZES FOR ALMOST SIMPLE PRIMITIVE GROUPS

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ABSTRACT. Let $G \leq \text{Sym}(\Omega)$ be a finite almost simple primitive permutation group, with socle G_0 and point stabilizer H . A subset of Ω is a base for G if its pointwise stabilizer is trivial; the base size of G , denoted $b(G)$, is the minimal size of a base. We say that G is standard if $G_0 = A_n$ and Ω is an orbit of subsets or partitions of $\{1, \dots, n\}$, or if G_0 is a classical group and Ω is an orbit of subspaces (or pairs of subspaces) of the natural module for G_0 . The base size of a standard group can be arbitrarily large, in general, whereas the situation for non-standard groups is rather more restricted. Indeed, we have $b(G) \leq 7$ for every non-standard group G , with equality if and only if G is the Mathieu group M_{24} in its natural action on 24 points. In this paper, we extend this result by classifying the non-standard groups with $b(G) = 6$. The main tools include recent work on bases for actions of simple algebraic groups, together with probabilistic methods and improved fixed point ratio estimates for exceptional groups of Lie type.

1. INTRODUCTION

Let $G \leq \text{Sym}(\Omega)$ be a permutation group and recall that a subset of Ω is a base for G if its pointwise stabilizer in G is trivial. The base size of G , denoted by $b(G)$, is the minimal cardinality of a base. For example, if V is a finite dimensional vector space and one views $G = \text{GL}(V)$ as a permutation group on V , then a subset of V is a base if and only if it contains a basis, hence $b(G)$ coincides with the dimension of V in this case.

The study of bases has a long history, particularly in the context of finite primitive permutation groups. For example, notice that if Ω is finite then $|G| \leq |\Omega|^{b(G)}$, so an upper bound on $b(G)$ provides a bound on the order of G in terms of its degree. The investigation of bounds of this form for finite primitive groups has been a widely studied problem for more than a century, with numerous applications (see [3, 7, 32, 40, 44], for example). In more recent decades, following the seminal work of Sims [48] in the early 1970s, bases have been used extensively in the computational study of finite permutation groups (see [45] for more details). Bases also arise naturally in several other settings, including graph theory and combinatorics, and we refer the reader to [5] for further background and connections to other measures on groups and graphs.

In this paper, we focus on bases for finite almost simple primitive groups. In studying the base sizes of such groups, it is natural to make a distinction between so-called *standard* and *non-standard* groups, according to the following definition.

Definition. Let $G \leq \text{Sym}(\Omega)$ be a finite almost simple primitive group, with socle G_0 and point stabilizer H . We say that G is *standard* if one of the following holds:

- (i) $G_0 = A_n$ and Ω is an orbit of subsets or partitions of $\{1, \dots, n\}$; or
- (ii) G_0 is a classical group with natural module V and either Ω is an orbit of subspaces (or pairs of subspaces) of V , or $G_0 = \text{Sp}_n(q)$, q is even and $H \cap G_0 = O_n^\pm(q)$.

Otherwise, G is *non-standard*.

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Typically, if G is standard then the point stabilizer H has large order, in the sense that $|G|$ is not bounded above by a polynomial in $|G : H|$ of fixed degree (for example, if $G = L_n(q)$ then H is a maximal parabolic subgroup of G). In particular, this implies that the base size of a standard group can be arbitrarily large. For example, it is easy to see that $b(G) = n + 1$ for the natural action of $G = \mathrm{PGL}_n(q)$ on the set of 1-dimensional subspaces of the natural module. In stark contrast, a highly influential conjecture of Cameron and Kantor [18] from 1993 predicts the existence of an absolute constant c such that $b(G) \leq c$ for every non-standard group G . This conjecture was proved by Liebeck and Shalev [36], revealing a remarkable dichotomy for base sizes of almost simple primitive groups. Moreover, through the later work of several authors [10, 11, 15, 16], it has been shown that $c = 7$ is the optimal constant, with $b(G) = 7$ if and only if $G = M_{24}$ in its natural 5-transitive action on 24 points (this confirms a conjecture of Cameron [17, p.122]).

One of the main open problems in this area is to determine the precise base size of all non-standard permutation groups. The groups with an alternating or sporadic socle are handled in [15, 16] (also see [42]), and there are partial results for classical groups [10, 12, 14] and exceptional groups of Lie type [15]. With a view towards applications, there has been a focus on the case $b(G) = 2$, but a complete classification remains out of reach. More precisely, $k = 7$ is the only integer in the range $\{2, \dots, 7\}$ for which we have a complete classification of the non-standard groups G with $b(G) = k$.

The aim of this paper is to make further progress on this problem. In recent joint work with Guralnick and Saxl [13, Theorem 13], we used results on bases for actions of simple algebraic groups to show that there are infinitely many non-standard groups G with $b(G) = 6$. By appealing to the connection with bases for algebraic groups, as well as the earlier work in [10, 11, 15, 16] and the probabilistic methods originally introduced in [36], we can classify the groups with $b(G) = 6$. The following is our main result.

Theorem 1. *Let $G \leq \mathrm{Sym}(\Omega)$ be a finite almost simple primitive non-standard permutation group with socle G_0 and point stabilizer H . Then $b(G) = 6$ if and only if one of the following holds:*

- (i) $(G, H) = (M_{23}, M_{22}), (Co_3, \mathrm{McL}.2), (Co_2, U_6(2).2)$ or $(\mathrm{Fi}_{22}.2, 2.U_6(2).2)$.
- (ii) $G_0 = E_7(q)$ and $H = P_7$.
- (iii) $G_0 = E_6(q)$ and $H = P_1$ or P_6 .

Remark 1. In Theorem 1 we write P_i to denote a standard maximal parabolic subgroup corresponding to deleting the i -th node in the Dynkin diagram of G_0 (where we label the Dynkin diagram in the usual way, following Bourbaki [9]). In parts (ii) and (iii), we have $b(G) = 6$ for all q and for all appropriate almost simple groups G with the given socle (in (iii), note that the maximality of H implies that G does not contain any graph or graph-field automorphisms of G_0).

By combining [15, Theorems 3 and 4] with the probabilistic proof of Theorem 1, we obtain the following asymptotic result on the abundance of bases of size 5 for almost simple exceptional groups. This is a refinement of [15, Theorem 2].

Corollary 2. *Let $G_n \leq \mathrm{Sym}(\Omega_n)$, $n \in \mathbb{N}$, be a sequence of finite almost simple primitive groups with socle an exceptional group of Lie type. Assume that $|G_n| \rightarrow \infty$ as $n \rightarrow \infty$. Also assume that the sequence contains only finitely many groups of the form given in parts (ii) and (iii) of Theorem 1. Then the probability that 5 randomly chosen points in Ω_n form a base for G_n tends to 1 as $n \rightarrow \infty$.*

We also obtain the following result on intersections of subgroups of almost simple exceptional groups as an immediate corollary of Theorem 1.

Corollary 3. *Let G be a finite almost simple exceptional group of Lie type with socle G_0 and let H be any subgroup of G not containing G_0 . Then one of the following holds:*

- (i) $G_0 = E_7(q)$ and H is a subgroup of P_7 .
- (ii) $G_0 = E_6(q)$ and H is a subgroup of P_1 or P_6 .
- (iii) There exist $x_i \in G$, $i = 1, \dots, 4$, such that

$$H \cap H^{x_1} \cap H^{x_2} \cap H^{x_3} \cap H^{x_4} = 1.$$

This is related to Problem 17.41(b) in the Kourovka notebook [41]: *Let H be a solvable subgroup of a finite group G that has no nontrivial solvable normal subgroups. Is it always possible to find 5 conjugates of H whose intersection is trivial?*

The example $G = S_8$, $H = S_4 \wr S_2$ shows that at least 5 conjugates are needed, in general. Through work of Vdovin [49], this problem has essentially been reduced to almost simple groups. Furthermore, for an almost simple exceptional group G , he has shown that the conclusion holds with 4 conjugates when H is a solvable Hall subgroup of G (see [50]). In some sense, part (iii) of Corollary 3 settles the original problem (with 5 conjugates) for almost *all* subgroups of exceptional groups (if $G_0 = E_7(q)$, for example, the only possible exceptions are subgroups for which every maximal overgroup is a P_7 parabolic). See recent work of Baikalov [4] for analogous results on solvable subgroups of symmetric and alternating groups.

Let us say a few words on the proof of Theorem 1. Let $G \leq \text{Sym}(\Omega)$ be a finite almost simple primitive non-standard permutation group with socle G_0 and point stabilizer H . Assume that $b(G) = 6$. By the main theorems of [10, 11] we immediately deduce that G_0 is either a sporadic group or an exceptional group of Lie type. In the former case, the examples arising in part (i) of Theorem 1 are determined in [16], so we may assume that G_0 is an exceptional group. Here the main theorem of [15] gives $b(G) \leq 6$, so further work is needed to determine when equality holds.

To proceed, we refine the probabilistic approach from [15], which relies on fixed point ratio estimates (this method for studying base sizes was originally introduced by Liebeck and Shalev in [36]). To describe the general set-up, fix a positive integer c and let $Q(G, c)$ be the probability that a randomly chosen c -tuple of points in Ω is *not* a base for G , so $b(G) \leq c$ if and only if $Q(G, c) < 1$. As explained in [15], it is easy to show that

$$Q(G, c) \leq \sum_{i=1}^k |x_i^G| \cdot \text{fpr}(x_i)^c =: \widehat{Q}(G, c), \quad (1)$$

where x_1, \dots, x_k represent the distinct conjugacy classes in G of elements of prime order. Here

$$\text{fpr}(x) = \frac{|x^G \cap H|}{|x^G|} \quad (2)$$

is the *fixed point ratio* of $x \in G$, which is the proportion of points in Ω that are fixed by x . In view of (1), we can apply upper bounds on fixed point ratios to bound $\widehat{Q}(G, c)$ from above.

Detailed results on fixed point ratios for exceptional groups of Lie type are presented in [31], some of which rely on bounds on the dimensions of fixed point spaces for actions of the corresponding exceptional algebraic groups, which are determined in the companion paper [30]. We will make extensive use of these results, together with improved estimates in several cases of interest. Our aim in almost every case is to identify a function $f(q)$, where q is the size of the underlying field, such that

$$\widehat{Q}(G, 5) \leq f(q) < 1$$

for all q , with the additional property that $f(q) \rightarrow 0$ as $q \rightarrow \infty$ (the latter property is needed for Corollary 2).

As in [15], we make a distinction between the cases where H is a parabolic or non-parabolic subgroup of G . Following [15] and [31], we will use a character-theoretic approach to handle the parabolic actions, which relies in part on Lübeck's work [38] on Green functions for exceptional groups of Lie type; see Section 2 for further details. For non-parabolic actions, we first reduce the problem to a handful of cases of the form $H = N_G(\bar{H}_\sigma)$, where \bar{H} is a σ -stable positive dimensional closed subgroup of the ambient simple algebraic group \bar{G} and σ is an appropriate Steinberg endomorphism of \bar{G} . By studying the embedding of \bar{H} in \bar{G} , we can derive bounds on $|x^G \cap H|$ for elements $x \in G$ of prime order, which yield upper bounds on $\text{fpr}(x)$ via (2). In this regard, work of Lawther [29] is particularly useful when x is a unipotent element.

Remark 2. It is natural to consider the possibility of extending Theorem 1 to the non-standard groups G with $b(G) = 5$. Again, there is an immediate reduction to exceptional groups. Indeed, the main theorem of [10] implies that

$$(G, H) = (\text{U}_6(2).2, \text{U}_4(3).2^2)$$

is the only non-standard classical group with $b(G) = 5$. Similarly, if G_0 is an alternating group then $(G, H) = (S_6, \text{PGL}_2(5))$ is the only example (see [11]). If G_0 is sporadic then the main theorem of [16] implies that $b(G) = 5$ if and only if (G, H) is one of the following:

$$\begin{array}{cccc} (\text{M}_{12}, \text{M}_{11}) & (\text{M}_{22}, \text{L}_3(4)) & (\text{M}_{22}.2, \text{L}_3(4).2) & (\text{HS}, \text{M}_{22}) \\ (\text{HS}.2, \text{M}_{22}.2) & (\text{McL}, \text{U}_4(3)) & (\text{McL}.2, \text{U}_4(3).2) & (\text{Fi}_{22}, 2.\text{U}_6(2)) \\ (\text{Fi}_{23}, 2.\text{Fi}_{22}) & (\text{Co}_1, \text{Co}_2) & (\text{Fi}'_{24}, \text{Fi}_{23}) & (\text{Fi}_{24}, \text{Fi}_{23} \times 2) \end{array}$$

To handle the exceptional groups, it may be feasible to proceed as in the proof of Theorem 1. However, the analysis will be significantly more complicated (with many more cases to consider) and some substantial improvements to existing bounds on fixed point ratios will be required.

Finally, let us comment on the notation used in this paper. Most of our group-theoretic notation is standard. In particular, we use the notation from [26] for simple groups of Lie type, so we write $\text{L}_n^+(q) = \text{L}_n(q) = \text{PSL}_n(q)$ and $E_6^-(q) = {}^2E_6(q)$, etc. We also use $\text{PO}_n^e(q)$ to denote a simple orthogonal group, which differs from the notation in the Atlas [22]. In addition, (a, b) denotes the greatest common divisor of integers a and b , and we use $i_r(X)$ for the number of elements of order r in a subset X of a finite group. Further notation will be introduced as and when needed.

2. PARABOLIC ACTIONS

Let $G \leq \text{Sym}(\Omega)$ be a finite almost simple primitive non-standard permutation group with socle G_0 and point stabilizer H . As explained in the introduction, in order to prove Theorem 1 (as well as Corollaries 2 and 3) we may assume that G is an exceptional group of Lie type over \mathbb{F}_q , where $q = p^f$ for a prime p . In this section, we assume H is a maximal parabolic subgroup of G .

Let \bar{G} be the ambient simple algebraic group over the algebraic closure of \mathbb{F}_q and let σ be a Steinberg endomorphism of \bar{G} such that $G_0 = (\bar{G}_\sigma)'$. In addition, let \bar{H} be a σ -stable parabolic subgroup of \bar{G} corresponding to H . We begin with a reduction theorem (in the statement, G is an almost simple exceptional group of Lie type with socle G_0).

Theorem 2.1. *Suppose H is a maximal parabolic subgroup of G . Then $b(G) \leq 6$, with equality only if one of the following holds:*

- (i) $G_0 = E_7(q)$ and $H = P_7$.
- (ii) $G_0 = E_6(q)$ and $H = P_1$ or P_6 .
- (iii) $G_0 = E_6(q)$, G contains graph or graph-field automorphisms, and $H = P_{1,6}$.

Proof. This follows immediately from [15, Theorem 3]. \square

In part (iii) we write $P_{1,6}$ to denote the intersection of appropriate parabolic subgroups of type P_1 and P_6 (here the Levi factor is of type D_4). Note that $P_{1,6}$ is maximal in G if and only if G contains graph or graph-field automorphisms of G_0 .

Proposition 2.2. *We have $b(G) = 6$ in cases (i) and (ii) of Theorem 2.1.*

Proof. Consider the natural action of \bar{G} on the coset variety \bar{G}/\bar{H} and let $b^0(\bar{G}, \bar{H})$ be the connected base size of \bar{G} as defined in [13] (this is the smallest integer c such that \bar{G}/\bar{H} contains c points with finite pointwise stabilizer). By [13, Theorem 6] we have $b^0(\bar{G}, \bar{H}) = 6$, so [13, Proposition 2.7(ii)] implies that $b(G) \geq 6$ if $q > 2$. In particular, we conclude that $b(G) = 6$ if $q > 2$.

Finally, suppose $q = 2$. In case (i), we observe that there is a non-empty open subset U of $(\bar{G}/\bar{H})^5$ such that the stabilizer in \bar{G} of every 5-tuple in U is 8-dimensional. As explained in [13, Remark 5.6], this implies that $b(G) = 6$. In (ii), a MAGMA [8] calculation shows that $b(G) = 6$ (see [15, Remark 1]). \square

In order to complete the proof of Theorem 1 for parabolic actions, it remains to show that $b(G) \leq 5$ in case (iii) of Theorem 2.1.

Proposition 2.3. *We have $b(G) \leq 5$ in case (iii) of Theorem 2.1.*

Proof. Here $G_0 = E_6(q)$ and $H = P_{1,6}$. Define $\widehat{Q}(G, 5)$ as in (1) and write

$$\widehat{Q}(G, 5) = \alpha + \beta + \gamma, \quad (3)$$

where α and β are the contributions to $\widehat{Q}(G, 5)$ from semisimple and unipotent elements in G , respectively, and γ is the contribution from graph, field and graph-field automorphisms of G_0 . To estimate α and β we work with the corresponding permutation character $\chi = 1_{P_{1,6}^\sigma}$, so that $\text{fpr}(x) = \chi(x)/|\Omega|$ for all $x \in \bar{G}_\sigma$. Let $W \cong U_4(2).2$ be the Weyl group of \bar{G} and note that

$$|\Omega| = \frac{(q^9 - 1)(q^8 + q^4 + 1)(q^5 - 1)(q^4 + 1)}{(q - 1)^2}. \quad (4)$$

We start by estimating α . To do this, we proceed as in [15, Section 3.1(ii)], using [31, Corollary 3.2] to compute $\chi(x)$ for any semisimple element $x \in G$. In order to explain the set-up, fix a set $\Pi = \{\alpha_1, \dots, \alpha_6\}$ of simple roots for \bar{G} and let α_0 be the highest root in the root system of \bar{G} . Recall that the semisimple classes in \bar{G}_σ are parametrized by pairs $(J, [w])$, where J is a proper subset of $\Pi \cup \{\alpha_0\}$ (determined up to W -conjugacy), W_J is the subgroup of W generated by the reflections in the roots in J , and $[w] = W_J w$ is a conjugacy class representative of $N_W(W_J)/W_J$. For $\bar{G}_\sigma = E_6(q)$, the \bar{G}_σ -classes of σ -stable maximal tori of \bar{G} are parametrized by the conjugacy classes C_1, \dots, C_k of W . Let T_i be a maximal torus of \bar{G}_σ corresponding to C_i and let r_i be the relative rank of T_i (that is, r_i is the multiplicity of $q - 1$ as a divisor of $|T_i|$). Finally, let $W_{\bar{H}} \leq W$ be the Weyl group of the corresponding parabolic subgroup \bar{H} of \bar{G} .

If $x \in G$ corresponds to the pair $(J, [w])$ as above, then [31, Corollary 3.2] gives

$$\chi(x) = \sum_{i=1}^k \frac{|W|}{|C_i|} \cdot \frac{|W_{\bar{H}} \cap C_i|}{|W_{\bar{H}}|} \cdot \frac{|W_J w \cap C_i|}{|W_J|} \cdot \frac{(-1)^{r_i} |(C_{\bar{G}}(x)^0)_\sigma|_{p'}}{|T_i|}, \quad (5)$$

which can be evaluated using MAGMA [8]. For example, suppose q is odd and $x \in G$ is an involution with $C_{\bar{G}}(x) = A_5 A_1$. Here $J = \{\alpha_0, \alpha_1, \alpha_3, \alpha_4, \alpha_5, \alpha_6\}$, $w = 1$ and we compute

$$\begin{aligned} \chi(x) &= q^{12} + 2q^{11} + 8q^{10} + 16q^9 + 26q^8 + 33q^7 + 41q^6 + 42q^5 + 38q^4 + 28q^3 \\ &\quad + 20q^2 + 11q + 4, \end{aligned}$$

which gives $\text{fpr}(x) < q^{-11}$. Since there are fewer than $2q^{40}$ conjugates of x in G , we deduce that the contribution to α from these elements is less than $2q^{40}(q^{-11})^5 = 2q^{-15}$. In this way, by repeatedly applying (5), we calculate that $\alpha < q^{-5}$.

Next let us turn to β . Here we adopt the approach of [15, Section 3.1(i)], which is based on the method originally introduced in [31, Section 2]. As recorded in [15, p.123], we can write

$$\chi = R_{\phi_{1,0}} + 2R_{\phi_{6,1}} + 3R_{\phi_{20,2}} + R_{\phi_{15,5}} + R_{\phi_{30,3}} + 2R_{\phi_{64,4}} + R_{\phi_{24,6}}$$

where each R_ϕ is an almost character of \bar{G}_σ labelled by a complex irreducible character ϕ of W (we use the labelling given in [19, Section 13.2]). The restriction of the R_ϕ to unipotent elements gives the Green functions of \bar{G}_σ , which are polynomials in q with non-negative coefficients. By implementing an algorithm of Lusztig [39], the relevant Green functions have been computed by Lübeck [38], which allows us to calculate $\chi(x)$ for each element $x \in \bar{G}_\sigma$ of order p . (In the general setting, there is a problem with certain unspecified scalars that arises in the implementation of Lusztig's algorithm, but this issue does not occur when $\bar{G} = E_6$ and x has order p ; see [15, Section 3.1(i)] for further discussion.) In addition, we can read off $|x^G|$ from [35, Table 22.2.3] and this allows us to compute β precisely.

For instance, if $x \in G$ is a long root element then

$$\begin{aligned} \chi(x) &= q^{18} + 2q^{17} + 5q^{16} + 7q^{15} + 11q^{14} + 14q^{13} + 18q^{12} + 18q^{11} + 19q^{10} + 18q^9 \\ &\quad + 17q^8 + 13q^7 + 11q^6 + 9q^5 + 7q^4 + 4q^3 + 3q^2 + 2q + 1 \\ |x^G| &= (q^3 + 1)(q^4 + 1)(q^6 + 1)(q^9 - 1) \end{aligned}$$

and we deduce that the contribution to β from these elements is less than q^{-5} . In fact, by computing $\chi(x)$ for all $x \in \bar{G}_\sigma$ of order p , we see that $\beta < q^{-5}$.

To complete the proof, it remains to consider the contribution γ from graph, field and graph-field automorphisms of G_0 . First assume that $x \in G$ is an involutory graph automorphism. If $C_{\bar{G}}(x) = F_4$ then $\text{fpr}(x) \leq q^{-9}$ if q is even (see [15, Section 3.1(iv)]) and by arguing as in the proof of [31, Lemma 6.4] we deduce that the same bound also holds when q is odd. Similarly, if $C_{\bar{G}}(x) \neq F_4$ then $\text{fpr}(x) \leq q^{-13}$ for all q . It follows that the contribution from graph automorphisms is less than

$$2q^{26}(q^{-9})^5 + 2q^{42}(q^{-13})^5 < q^{-17}.$$

Similarly, if x is an involutory graph-field automorphism then $q = q_0^2$ and $|C_\Omega(x)|$ is equal to the index of a $P_{1,6}$ parabolic subgroup in ${}^2E_6(q_0)$ (see [31, p.452]). This implies that $\text{fpr}(x) < q^{-12}$, so the contribution from these elements is at most $6q^{39}(q^{-12})^5 < q^{-19}$.

Finally, suppose $x \in G$ is a field automorphism of prime order r . Here $q = q_0^r$ and we observe that

$$|x^G| < 2q^{78(1-r^{-1})} = g(r, q), \quad \text{fpr}(x) = f(q_0)/f(q) = h(r, q),$$

where $f(q) = |\Omega|$ as in (4). Set $j(r, q) = g(r, q)h(r, q)^5$ and let π be the set of prime divisors of $\log_p q$. Then the contribution to $\hat{Q}(G, 5)$ from field automorphisms is less than

$$\sum_{r \in \pi} (r-1) \cdot j(r, q) < j(2, q) + 2j(3, q) + 4j(5, q) + \log_2 q \cdot q^{78} h(7, q)^5 < q^{-12}$$

and thus $\gamma < q^{-12} + q^{-17} + q^{-19} < q^{-11}$.

Therefore, by bringing together the above bounds, we conclude that

$$\hat{Q}(G, 5) < 2q^{-5} + q^{-11}$$

and the desired result follows. \square

Remark 2.4. Notice that in the proof of the previous proposition, we identify an explicit function $f(q)$ such that $\hat{Q}(G, 5) < f(q)$ and $f(q) \rightarrow 0$ as $q \rightarrow \infty$. Therefore, in this particular case, the probability that 5 randomly chosen points in Ω form a base for G tends

to 1 as $|G|$ tends to infinity. By combining this observation with [15, Theorem 3], we see that the same conclusion holds whenever G_0 is an exceptional group and H is a maximal parabolic subgroup, with the possible exception of the cases recorded in parts (i) and (ii) of Theorem 2.1. Indeed, these cases are genuine exceptions by Proposition 2.2, which explains the additional condition on the sequence (G_n) in Corollary 2.

3. NON-PARABOLIC ACTIONS

For the remainder of the paper, we may assume that G is an exceptional group of Lie type and the point stabilizer H is a maximal non-parabolic subgroup. As in the previous section, our aim is to establish the bound $\widehat{Q}(G, 5) < 1$ through a careful analysis of fixed point ratios for prime order elements. In addition, we will show that $\widehat{Q}(G, 5) \rightarrow 0$ as $q \rightarrow \infty$, which will allow us to complete the proofs of Theorem 1 and Corollary 2. In view of [15, Theorem 4], we may assume that

$$G_0 \in \{E_7(q), E_6^e(q), F_4(q)\}.$$

Let us fix some additional notation. As before, let \bar{G} be the ambient simple algebraic group (of type E_7 , E_6 or F_4) over the algebraic closure of \mathbb{F}_q , so $G_0 = (\bar{G}_\sigma)'$ for an appropriate Steinberg endomorphism σ . For $x \in \bar{G}$ and a closed subgroup \bar{H} we set

$$\bar{D} = C_{\bar{G}}(x), \quad \delta(x) = \dim x^{\bar{G}} - \dim(x^{\bar{G}} \cap \bar{H}). \quad (6)$$

We will repeatedly use standard information on semisimple and unipotent conjugacy classes in \bar{G}_σ (including class lengths, etc.) recorded in [23] (semisimple classes in $E_6^e(q)$ and $E_7(q)$), [46, 47] (conjugacy classes in $F_4(q)$) and [35] (unipotent classes in all cases). In particular, we will adopt the notation from [35] for unipotent classes in \bar{G} . We also refer the reader to Lübeck's online data [37], which provides detailed information on semisimple conjugacy classes in exceptional groups.

As in the proof of Proposition 2.3, it will be convenient to write

$$\widehat{Q}(G, 5) = \alpha + \beta + \gamma,$$

where α is the contribution from semisimple elements, β from unipotent elements and γ from field, graph and graph-field automorphisms. In order to estimate these quantities, we will frequently apply the following elementary result (see [15, Proposition 2.3]).

Proposition 3.1. *Let $G \leq \text{Sym}(\Omega)$ be a finite transitive permutation group with point stabilizer H . Suppose x_1, \dots, x_m represent distinct G -classes such that $\sum_i |x_i^G \cap H| \leq A$ and $|x_i^G| \geq B$ for all i . Then*

$$\sum_{i=1}^m |x_i^G| \cdot \text{fpr}(x_i)^c \leq B(A/B)^c$$

for any positive integer c .

The next four sections are dedicated to the proof of Theorem 1 in the cases where $G_0 = E_7(q)$, $E_6^e(q)$ with $q \geq 3$, $E_6^e(2)$ and $F_4(q)$, respectively. We complete the proof of Corollary 2 in Section 3.5.

3.1. $G_0 = E_7(q)$. In this section we handle the case $G_0 = E_7(q)$. For $q = 2$, the possibilities for H are determined in [6]. For information on semisimple and unipotent conjugacy classes, we refer the reader to [23, Section 3] and [35, Table 22.2.2], respectively. We begin by reducing the problem to subgroups H with $|H| > q^{46}$.

Lemma 3.2. *If $G_0 = E_7(q)$ and $|H| \leq q^{46}$, then $b(G) \leq 5$.*

Proof. As explained in the proof of [15, Lemma 4.8], we have

$$\widehat{Q}(G, 5) < b(a/b)^5 + cd^5 \quad (7)$$

where $a = q^{46}$, $b = \frac{1}{2}(q+1)^{-1}q^{65}$, $c = 3q^{55}$ and $d = 2q^{-12}$. Therefore, if $q \geq 3$ we have $\widehat{Q}(G, 5) < 2q^{-1}$ and the result follows. For the remainder, let us assume $q = 2$, so $G = E_7(2)$ and the possibilities for H are given in [6, Theorem 1.1]. Let $x \in G$ be an element of prime order r .

We claim that $\text{fpr}(x) \leq 2^{-12}$ if $\dim x^{\bar{G}} < 64$, which implies that (7) holds with $d = 2^{-12}$ (and a, b, c as above) and thus $\widehat{Q}(G, 5) < 1$ as required. In view of [31, Theorem 2], we may assume that $r = 2$ and $H = N_G(\bar{H}_\sigma)$ is a subgroup of maximal rank. Since $|H| \leq q^{46}$, it follows that

$$\bar{H}^0 = A_2A_5, A_1^3D_4, A_1^7 \text{ or } T_7.$$

(Here, and elsewhere, we write T_i for an i -dimensional torus.) In addition, the condition $\dim x^{\bar{G}} < 64$ implies that x belongs to one of the classes labelled A_1, A_1^2 or $(A_1^3)^{(1)}$ in [35, Table 22.2.2]. Note that $|x^G| > 2^{34}$.

First assume $\bar{H}^0 = T_7$, in which case $H = 3^7.(2 \times \text{Sp}_6(2))$ and $|H| < 2^{33}$. If x is not in the class A_1 , then $|x^G| > 2^{52}$ and the desired bound holds. On the other hand, if x is a long root element then by arguing as in the proof of [31, Lemma 4.3], noting that the Weyl group $W(E_7)$ contains 63 reflections, we deduce that $|x^G \cap H| \leq 3^7.63 < 2^{18}$ and the result follows from the bound $|x^G| > 2^{34}$. Similarly, if $\bar{H}^0 = A_1^7$ then $H = \text{L}_2(2^7).7$ and $i_2(H) = 2^{14} - 1$, so

$$\text{fpr}(x) = \frac{|x^G \cap H|}{|x^G|} < \frac{2^{14} - 1}{2^{34}} < 2^{-20}$$

and the claim holds.

Next assume $\bar{H}^0 = A_2A_5$. Here $H = (\text{SL}_3^\epsilon(2) \circ \text{SL}_6^\epsilon(2)).A$, with $A = Z_2$ ($\epsilon = +$) or S_3 ($\epsilon = -$). If x is in A_1 then by arguing as in the proof of [31, Lemma 4.4], using [30, Proposition 1.13(ii)], we calculate that

$$\text{fpr}(x) \leq \frac{|x_1^{\text{SL}_3^\epsilon(2)}| + |x_2^{\text{SL}_6^\epsilon(2)}|}{|x^G|} < 2^{-23},$$

where x_1 and x_2 are long root elements in $\text{SL}_3^\epsilon(2)$ and $\text{SL}_6^\epsilon(2)$, respectively, and $\epsilon = \pm$ according to the specific structure of H . Similarly, if x is in A_1^2 or $(A_1^3)^{(1)}$ then $|x^G| > 2^{52}$ and thus $\text{fpr}(x) < 2^{-25}$ since

$$i_2(H) \leq i_2(\text{SL}_3^\epsilon(2).2 \times \text{SL}_6^\epsilon(2).2) < 2^{27}.$$

Finally, suppose that $\bar{H}^0 = A_1^3D_4$, so $H = (\text{L}_2(8) \times {}^3D_4(2)).3$ or $((S_3)^3 \times \Omega_8^+(2)).S_3$. If x is in A_1^2 or $(A_1^3)^{(1)}$ then $\text{fpr}(x) < 2^{-52}|H| < 2^{-12}$, so we may assume x is a long root element. If $H = (\text{L}_2(8) \times {}^3D_4(2)).3$ then [30, Proposition 1.13(ii)] implies that

$$\text{fpr}(x) \leq \frac{|x_1^{{}^3D_4(2)}|}{|x^G|} < \frac{819}{2^{34}} < 2^{-24},$$

where $x_1 \in {}^3D_4(2)$ is a long root element. The case $H = ((S_3)^3 \times \Omega_8^+(2)).S_3$ is very similar.

This justifies the claim and we conclude that $b(G) \leq 5$, as required. \square

To complete the proof of Theorem 1 for $G_0 = E_7(q)$, we may assume that $|H| > q^{46}$, in which case [15, Lemma 4.7] implies that either

- (i) $H = N_G(\bar{H}_\sigma)$ with $\bar{H}^0 = A_1F_4, A_1D_6, A_7$ or T_1E_6 ; or
- (ii) $q = q_0^2$ and H is a subfield subgroup of type $E_7(q_0)$.

In (ii), the proof of [15, Proposition 4.11] gives $b(G) \leq 4$. Similarly, $b(G) \leq 5$ if $\bar{H}^0 = A_1F_4$ in (i) (see the proof of [15, Lemma 4.10]). For the three remaining possibilities, [15] only gives $b(G) \leq 6$, so we need to improve the bound in each of these cases.

It will be convenient to introduce some additional terminology. For a semisimple element $x \in G$, let us say that $\bar{D} = C_{\bar{G}}(x)$ is *large* if \bar{D}^0 has an E_6 , D_6 or A_7 factor (otherwise \bar{D} is *small*), and write $\alpha = \alpha_1 + \alpha_2$, where α_1 is the contribution to $\widehat{Q}(G, 5)$ from the semisimple elements with large centralizer.

Lemma 3.3. *If $G_0 = E_7(q)$ and $H = N_G(\bar{H}_\sigma)$ with $\bar{H} = A_1D_6$, then $b(G) \leq 5$.*

Proof. Write $\widehat{Q}(G, 5) = \alpha + \beta + \gamma$ as in (3). By arguing as in the proof of [15, Lemma 4.10], we have

$$\gamma < \log_2 q \cdot q^{133} (8q^{-32})^5 < q^{-11}.$$

Next we claim that $\alpha < q^{-16}$. If $q \geq 3$ then the proof of [15, Lemma 4.10] yields

$$\alpha < q^{133} (q^{-30})^5 + q^{71} (q^{-19})^5 < q^{-16}$$

as required. Now assume $q = 2$, so $G = E_7(2)$ and $H = S_3 \times \Omega_{12}^+(2)$. Note that the semisimple classes in G are listed in [6, Table 2], together with the structure of the corresponding centralizers.

Let $x \in G$ be an element of odd prime order r and define \bar{D} and $\delta(x)$ as in (6). First assume \bar{D} is large, in which case $r = 3$ (more precisely, x is a $3A$ or $3B$ element in the notation of [6, Table 2]), $|x^G| > \frac{1}{2} 2^{\dim x^{\bar{G}}}$ and [30, Theorem 2] gives $\delta(x) \geq 24$. Now H has 15 classes of elements of order 3 and one checks that $|y^H| < 4 \cdot 2^{\dim y^{\bar{H}}}$ for all $y \in H$ of order 3. Therefore, by arguing as in the proof of [31, Lemma 4.5], we deduce that

$$\text{fpr}(x) < \frac{15 \cdot 4 \cdot 2^{\dim(x^{\bar{G}} \cap \bar{H})}}{\frac{1}{2} 2^{\dim x^{\bar{G}}}} = 120 \cdot 2^{-\delta(x)} < 2^{-17}.$$

Since G contains at most 2^{66} such elements, it follows that $\alpha_1 < 2^{66} (2^{-17})^5 = 2^{-19}$.

Now assume \bar{D} is small. Here $r \in \{3, 5, 7, 11, 17, 31\}$, $\delta(x) \geq 40$ and by arguing as above we deduce that $\text{fpr}(x) < 120 \cdot 2^{-\delta(x)} < 2^{-33}$. Therefore, $\alpha_2 < 2^{133} (2^{-33})^5 = 2^{-32}$ and we conclude that $\alpha < q^{-16}$ for all $q \geq 2$.

Finally, let $x \in G$ be a unipotent element of order p . The \bar{G} -class of each unipotent element in \bar{H} is determined in [29, Section 4.10] and this allows us to compute $\delta(x)$ precisely, which yields a good upper bound on $\text{fpr}(x)$. First assume p is odd. As explained in the proof of [15, Lemma 4.10], if $\dim x^{\bar{G}} > 66$ then $\text{fpr}(x) < q^{-26}$, which implies that the contribution to β from these elements is less than $q^{126} (q^{-26})^5 = q^{-4}$ (here we are using the fact that G has precisely q^{126} unipotent elements). Similarly, if $\dim x^{\bar{G}} \leq 66$ then one checks that $\text{fpr}(x) < 2q^{-16}$. For example, if x is a long root element then $|x^G| > q^{34}$ and

$$|x^G \cap H| \leq |x_1^{\text{SL}_2(q)}| + |x_2^{\Omega_{12}^+(q)}| < 2q^{18},$$

where x_1 and x_2 are long root elements in $\text{SL}_2(q)$ and $\Omega_{12}^+(q)$, respectively, whence $\text{fpr}(x) < 2q^{-16}$ as claimed. Since G contains at most $2q^{66}$ such elements, it follows that

$$\beta < q^{-4} + 2q^{66} (2q^{-16})^5 < q^{-3}.$$

A similar calculation when $p = 2$ also shows that $\beta < q^{-3}$ (here the \bar{G} -class of each involution in \bar{H} is recorded in [15, Table 3]).

We conclude that

$$\widehat{Q}(G, 5) < q^{-3} + q^{-11} + q^{-16}$$

and the result follows. \square

Lemma 3.4. *If $G_0 = E_7(q)$ and $H = N_G(\bar{H}_\sigma)$ with $\bar{H} = A_7.2$, then $b(G) \leq 5$.*

Proof. Define $\alpha, \beta, \gamma, \alpha_1$ and α_2 as before. We start by estimating α .

First assume $q \geq 3$. Let $x \in G$ be a semisimple element of prime order r . If \bar{D} is large then $\text{fpr}(x) \leq q^{-19}$ by [31, Theorem 2] and we calculate that G has at most q^{71} such elements. On the other hand, if \bar{D} is small then by appealing to [31, Lemma 4.5] we deduce that

$$\text{fpr}(x) < 8|W(E_7) : W(A_7)| \left(\frac{q+1}{q-1} \right)^7 q^{-\delta(x)} \leq 576 \left(\frac{q+1}{q-1} \right)^7 q^{-44}$$

since $\delta(x) \geq 44$ by [30, Theorem 2]. Therefore

$$\alpha < q^{71}(q^{-19})^5 + q^{133} \left(576 \left(\frac{q+1}{q-1} \right)^7 q^{-44} \right)^5 < q^{-23}.$$

Now assume $q = 2$, so $G = E_7(2)$ and $H = L_8^\epsilon(2).2$ with $\epsilon = \pm$. Suppose \bar{D} is large. As noted in the proof of the previous lemma, the condition on \bar{D} implies that $r = 3$ and there are fewer than 2^{66} such elements in G . Now $L_8^\epsilon(2)$ has $9 - 5\epsilon$ classes of elements of order 3; if $y \in H$ is such an element then $|y^{L_8^\epsilon(2)}| < 4 \cdot 2^{\dim y^{\bar{H}}}$ and $|y^G| > \frac{1}{2} 2^{\dim y^{\bar{G}}}$. Therefore $\text{fpr}(x) < 112 \cdot 2^{-\delta(x)}$ with $\delta(x) \geq 27$ (see [30, Theorem 2]), so $\text{fpr}(x) < 2^{-20}$. It follows that $\alpha_1 < 2^{66}(2^{-20})^5 = 2^{-34}$. Similarly, if \bar{D} is small then $\delta(x) \geq 44$ and $\text{fpr}(x) < 144 \cdot 2^{-\delta(x)} < 2^{-36}$, whence $\alpha_2 < 2^{133}(2^{-36})^5 = 2^{-47}$. In particular, $\alpha < q^{-23}$ for all $q \geq 2$.

Next we consider β . Let $x \in G$ be a unipotent element of order p . First assume p is odd, so $x \in \bar{H}^0$ and we can compute $\delta(x)$ using the fusion information in [29, Section 4.11]. We find that $x^{\bar{G}} \cap \bar{H}$ is a union of at most two \bar{H} -classes, so the proof of [31, Lemma 4.5] yields

$$\text{fpr}(x) < 48 \left(\frac{q+1}{q-1} \right)^7 q^{-\delta(x)} \quad (8)$$

since $|C_{\bar{G}}(x) : C_{\bar{G}}(x)^0| \leq 6$ (see [35, Table 22.1.2]). If $\dim x^{\bar{G}} > 66$ then $\delta(x) \geq 42$ and thus the contribution to β from these elements is at most

$$q^{126} \left(48 \left(\frac{q+1}{q-1} \right)^7 q^{-42} \right)^5 < q^{-44}.$$

If $\dim x^{\bar{G}} \leq 66$ then x belongs to one of the \bar{G} -classes labelled $A_1, A_1^2, A_2, (A_1^3)^{(1)}$ or $(A_1^3)^{(2)}$. As noted in the proof of the previous lemma, there are fewer than $2q^{66}$ such elements in G and we calculate that $\text{fpr}(x) < 2q^{-20}$. For example, if $x \notin A_1$ then $\delta(x) \geq 28$ and the bound on $\text{fpr}(x)$ follows from (8). On the other hand, if $x \in A_1$ then $|x^G| > q^{34}$ and

$$|x^G \cap H| \leq |x_1^{\text{SL}_8^\epsilon(q)}| = \frac{|\text{SL}_8^\epsilon(q)|}{q^{13}|\text{GL}_6^\epsilon(q)|} < 2q^{14},$$

where $x_1 \in \text{SL}_8^\epsilon(q)$ is a long root element, which gives $\text{fpr}(x) < 2q^{-20}$. Therefore, the contribution from these elements is less than $2q^{66}(2q^{-20})^5 = 2^6 q^{-34}$ and we conclude that $\beta < q^{-44} + 2^6 q^{-34} < q^{-30}$ when p is odd.

Now suppose $p = 2$. There are six conjugacy classes of involutions in $\bar{H} = A_7.2$; four classes in the connected component $\bar{H}^0 = A_7$, with representatives x_i , $1 \leq i \leq 4$ (where x_i has Jordan form $[J_2^i, J_1^{8-2i}]$ on the natural A_7 -module), plus two classes of involutory graph automorphisms in $\bar{H} \setminus \bar{H}^0$. The latter two classes are represented by elements τ_1 and τ_2 , where $C_{A_7}(\tau_1) = C_4$ and $C_{A_7}(\tau_2) = C_{C_4}(t)$ with $t \in C_4$ a long root element. By inspecting [29, Section 4.11] and the proof of [13, Lemma 3.18], we deduce that x_1 is in the \bar{G} -class A_1 , x_2 is in A_1^2 , x_3 and x_4 are in $(A_1^3)^{(2)}$, τ_1 is in $(A_1^3)^{(1)}$ and τ_2 is in A_1^4 . It follows that $\text{fpr}(x) < 2q^{-20}$ and thus $\beta < 2q^{70}(2q^{-20})^5 = 2^6 q^{-30}$ since G_0 contains at most $2q^{70}$ involutions. We conclude that $\beta < q^{-24}$ for all $q \geq 2$.

Finally, let us estimate γ , which is the contribution to $\hat{Q}(G, 5)$ from field automorphisms. Let $x \in G$ be a field automorphism of prime order r , so $q = q_0^r$. If $r = 2$ then [31, Theorem

2] yields $\text{fpr}(x) \leq q^{-22}$, and we note that G has at most $4q^{133/2}$ such elements. Similarly, if r is odd then

$$\text{fpr}(x) \leq \frac{2|\text{SL}_8^\epsilon(q) : \text{SL}_8^\epsilon(q_0)|}{|E_7(q) : E_7(q_0)|} < 8q^{-70(1-r^{-1})} \leq 8q^{-140/3}$$

and thus

$$\gamma < 4q^{133/2}(q^{-22})^5 + \log_2 q \cdot q^{133}(8q^{-140/3})^5 < q^{-41}.$$

By combining the above estimates, we conclude that $\widehat{Q}(G, 5) < q^{-23} + q^{-24} + q^{-41}$ and the proof of the lemma is complete. \square

Lemma 3.5. *If $G_0 = E_7(q)$ and $H = N_G(\bar{H}_\sigma)$ with $\bar{H} = T_1 E_6.2$, then $b(G) \leq 5$.*

Proof. Write $\widehat{Q}(G, 5) = \alpha + \beta + \gamma$ and $\alpha = \alpha_1 + \alpha_2$ as before. As explained in the proof of [15, Lemma 4.9], we have

$$\gamma < 2q^{133/2}(q^{-22})^5 + \log_2 q \cdot q^{133}(16q^{-36})^5 < q^{-26}.$$

Next we claim that $\alpha < q^{-2}$. To see this, let $x \in G$ be a semisimple element of prime order r and let us assume $q \geq 3$ for now. As in the proofs of the previous two lemmas, we have $\alpha_1 < q^{71}(q^{-19})^5 = q^{-24}$. Now assume \bar{D} is small, so r is odd and [15, (4.4)] implies that

$$\text{fpr}(x) < \frac{224(q+1)^z}{q^{\delta(x)+z-6}(q-1)^6} \quad (9)$$

where $z = \dim Z(\bar{D}^0)$ and $\delta(x) \geq 34$ (see [30, Theorem 2]). If $\delta(x) = 34$ then $\dim x^{\bar{G}} \leq 106$ and by inspecting [23] we calculate that G has at most q^{110} such elements. Therefore,

$$\alpha_2 < q^{133} \left(\frac{224(q+1)^7}{q^{37}(q-1)^6} \right)^5 + q^{110} \left(\frac{224(q+1)^7}{q^{35}(q-1)^6} \right)^5$$

and we deduce that $\alpha < q^{-2}$.

Now assume $q = 2$, so $G = E_7(2)$ and $H = E_6(2).2$ or $3.^2E_6(2).S_3$. As noted in the proof of [15, Lemma 4.9], if \bar{D} is large then $\text{fpr}(x) < 2^{-20}$ and we deduce that $\alpha_1 < 2^{69}(2^{-20})^5 = 2^{-31}$. Now assume \bar{D} is small. If $r = 3$ then $\bar{D}^0 = A_2 A_5, A_6 T_1$ or $A_1 D_5 T_1$ (see [25, Table 4.7.1]), $z \leq 1$ and $\delta(x) \geq 34$ as before, so (9) implies that $\text{fpr}(x) < 2^{-19}$. Since G contains fewer than 2^{91} elements of order 3 (see [6, Table 2]), their contribution is at most $2^{91}(2^{-19})^5 = 2^{-4}$. Finally, suppose $r \geq 5$. By considering the conjugacy classes in $E_6^\epsilon(2)$, we see that $x^{\bar{G}} \cap H$ is a union of at most 8 distinct H -classes, so

$$\text{fpr}(x) < \frac{8 \cdot 2 \cdot 2^{\dim(x^{\bar{G}} \cap \bar{H})}}{\frac{1}{2} 2^{\dim x^{\bar{G}}}} = 32 \cdot 2^{-\delta(x)} \leq 2^{-29}.$$

Therefore, $\alpha < 2^{-31} + 2^{-4} + 2^{133}(2^{-29})^5 < 2^{-3}$.

Finally, let us turn to β . If $p = 2$ then $\beta < \sum_{i=1}^5 c_i d_i^5 < q^{-19}$, where the terms c_i, d_i are presented in [15, Table 8]. Now assume p is odd and let $x \in G$ be a unipotent element of order p . As explained in the proof of [15, Lemma 4.9], we have

$$\text{fpr}(x) < \frac{24(q+1)^7}{q^{\delta(x)+1}(q-1)^6} \quad (10)$$

and we can calculate $\delta(x)$ using [28] and the restriction $V_{56} \downarrow E_6 = V_{27} \oplus (V_{27})^* \oplus 0^2$ of the minimal module V_{56} for E_7 (here V_{27} is one of the irreducible 27-dimensional modules for E_6 , and 0 denotes the trivial module).

First assume $\dim x^{\bar{G}} > 66$, in which case $\delta(x) \geq 30$. If $p = 3$ then (10) implies that $\text{fpr}(x) < q^{-23}$ and thus the contribution to β from these elements is less than $q^{92}(q^{-23})^5 = q^{-23}$ since $i_3(G_0) < q^{92}$ by [31, Proposition 1.3(ii)]. Similarly, if $p \geq 5$ then $\text{fpr}(x) < q^{-26}$ and the contribution is less than $q^{126}(q^{-26})^5 = q^{-4}$. Next assume $34 < \dim x^{\bar{G}} \leq 66$. By considering each \bar{G} -class in turn, we calculate that $\text{fpr}(x) < q^{-18}$, so the contribution is at

most $2q^{66}(q^{-18})^5 = 2q^{-24}$. Finally, the contribution from long root elements is less than $2q^{34}(2q^{-12})^5 = 2^6q^{-26}$ since $\text{fpr}(x) \leq 2q^{-12}$ by [31, Theorem 2]. We conclude that $\beta < q^{-3}$ for all q .

By bringing together the above bounds, we see that $\widehat{Q}(G, 5) < q^{-2} + q^{-3} + q^{-26}$ and the result follows. \square

This completes the proof of Theorem 1 for $G_0 = E_7(q)$.

3.2. $G_0 = E_6^\epsilon(q)$, $q \geq 3$. Next we assume G is an almost simple group with socle $G_0 = E_6^\epsilon(q)$ and H is a non-parabolic maximal subgroup. Throughout this section, we will assume that $q \geq 3$; the case $q = 2$ requires special attention and it will be handled separately in Section 3.3. We will make extensive use of the information on conjugacy classes of semisimple and unipotent elements in [23, Section 2] and [35, Table 22.2.3]. In addition, we will also refer to [21, Table 2], which gives information on the centralizers of semisimple elements in \bar{G} of order at most 7. Throughout this section, we set $H_0 = H \cap G_0$.

Lemma 3.6. *If $G_0 = E_6^\epsilon(q)$, $q \geq 3$ and $|H| \leq q^{28}$, then $b(G) \leq 5$.*

Proof. Define α, β and γ as in (3). We begin by estimating α , so let $x \in G$ be a semisimple element of prime order. As noted in the proof of [15, Lemma 4.15], there are fewer than $2q^{32}$ such elements in G with $\dim x^{\bar{G}} < 40$, and [30, Theorem 2] gives $\text{fpr}(x) \leq 2q^{-12}$. If $\dim x^{\bar{G}} \geq 40$ then $|x^G| > \frac{1}{2}q^{40}$ and by applying Proposition 3.1 and the given bound $|H| \leq q^{28}$, we deduce that

$$\alpha < 2q^{32}(2q^{-12})^5 + \frac{1}{2}q^{40}(2q^{-12})^5 < q^{-17}.$$

Next let us turn to β . As above, the contribution from unipotent elements $x \in G$ with $\dim x^{\bar{G}} \geq 40$ is less than $\frac{1}{2}q^{40}(2q^{-12})^5$, so we may assume that x belongs to one of the classes labelled A_1 or A_1^2 . If x is in A_1 then $\text{fpr}(x) \leq 2q^{-6}$ by [30, Theorem 2] and there are fewer than $2q^{22}$ such elements in G , so the contribution from long root elements is less than $2q^{22}(2q^{-6})^5 = 2^6q^{-8}$. Now assume x is in the A_1^2 class, so $|x^G| > \frac{1}{2}q^{32}$. We claim that H_0 contains at most q^{25} such elements, so their contribution is at most $\frac{1}{2}q^{32}(2q^{-7})^5 = 2^4q^{-3}$ by Proposition 3.1. This is obvious if $|H_0| \leq q^{25}$, so let us assume $q^{25} < |H_0| \leq q^{28}$. By arguing as in the proof of [15, Lemma 4.13], which proceeds by inspecting the various possibilities for H arising in [34, Theorem 2], it is not difficult to rule out the existence of a maximal subgroup H with $|H_0| \leq q^{28}$ and $i_p(H_0) > q^{25}$. This establishes the claim and we conclude that

$$\beta < \frac{1}{2}q^{40}(2q^{-12})^5 + 2^6q^{-8} + 2^4q^{-3} < 17q^{-3}.$$

To complete the proof, it remains to estimate the contribution γ from field, graph and graph-field automorphisms. By Proposition 3.1, the contribution from elements $x \in G$ with $|x^G| > \frac{1}{6}q^{39}$ is less than $\frac{1}{6}q^{39}(6q^{-11})^5 = 6^4q^{-16}$, so we may assume $|x^G| \leq \frac{1}{6}q^{39}$, which implies that x is an involutory graph automorphism with $C_{\bar{G}}(x) = F_4$. We claim that

$$\text{fpr}(x) \leq \frac{1}{q^6 - q^3 + 1},$$

so the contribution from these elements is at most $2q^{26}(q^6 - q^3 + 1)^{-5} < q^{-3}$. For $\epsilon = -$, the claim follows from [30, Theorem 2], so we may assume $\epsilon = +$ and it suffices to show that $i_2(H \setminus H_0) \leq q^{19}$. Following the proof of [15, Lemma 4.13], it is straightforward to reduce to the case where H is an almost simple group of Lie type in characteristic p , and we can easily rule out the existence of such a subgroup with $|H| \leq q^{28}$ and $i_2(H \setminus H_0) > q^{19}$. Therefore, $\gamma < 6^4q^{-16} + q^{-3} < 2q^{-3}$ and we conclude that

$$\widehat{Q}(G, 5) < 19q^{-3} + q^{-17}.$$

This completes the proof of the lemma. \square

Next we determine the cases that arise with $|H| > q^{28}$ (recall that we are assuming H is non-parabolic throughout this section).

Lemma 3.7. *If $G_0 = E_6^\epsilon(q)$, $q \geq 3$ and $|H| > q^{28}$, then one of the following holds:*

- (i) $H = N_G(\bar{H}_\sigma)$ with $\bar{H}^0 = F_4, T_1D_5, A_1A_5, T_2D_4$ or C_4 ($p \neq 2$);
- (ii) $\epsilon = +$, $q = q_0^2$ and $H_0 = E_6^\pm(q_0)$.

Proof. If $|H| > q^{32}$ then the result follows from [15, Lemma 4.14], so we may assume $q^{28} < |H| \leq q^{32}$. Since $|H|^3 > |G|$, we can proceed as in the proof of [1, Lemma 5.3] to rule out any additional subgroups. In particular, note that if $q = q_0^3$ and H is a subfield subgroup of type $E_6^\epsilon(q_0)$ then

$$|H| \leq 2(3, q_0 - \epsilon) \log_2 q \cdot |E_6^\epsilon(q_0)| < q^{28}.$$

The result follows. \square

Some of the cases arising in Lemma 3.7 have already been handled in [15]. Indeed, the proof of [15, Proposition 4.21] shows that $b(G) \leq 5$ in (ii), and the same bound holds in (i) when $\bar{H}^0 = A_1A_5$ or C_4 (see [15, Lemmas 4.16 and 4.20]). Therefore, we may assume $H = N_G(\bar{H}_\sigma)$ with $\bar{H} = F_4, T_1D_5$ or $T_2D_4.S_3$. We start with the case $\bar{H} = F_4$, which requires the most work.

Lemma 3.8. *If $G_0 = E_6^\epsilon(q)$, $q \geq 3$ and $H = N_G(\bar{H}_\sigma)$ with $\bar{H} = F_4$, then $b(G) \leq 5$.*

Proof. First observe that $\bar{H} = C_{\bar{G}}(\tau)$, where τ is an involutory graph automorphism of \bar{G} . Write $\widehat{Q}(G, 5) = \alpha + \beta + \gamma$ as before (see (3)) and note that

$$|\bar{G}_\sigma : \bar{H}_\sigma| = q^{12}(q^5 - \epsilon)(q^9 - \epsilon).$$

Case 1. Semisimple elements. We start by estimating α . Let $x \in G$ be a semisimple element of prime order r and note that τ induces an automorphism on $\bar{D} = C_{\bar{G}}(x)$. First assume $\dim x^{\bar{G}} \leq 52$. Here [31, Theorem 2] gives $\text{fpr}(x) \leq 1/q^6(q^6 - q^3 + 1)$ and by inspecting [23] we calculate that G contains fewer than q^{56} such elements, hence their combined contribution to α is less than q^{-3} . To obtain a sufficient bound on the remaining contribution to α , we need to improve the fixed point ratio estimates in [31]. To do this, we will work closely with the proof of [31, Lemma 5.4].

Suppose \bar{D}^0 has an A_3 factor. By the proof of [31, Lemma 5.4] we have $\bar{D}^0 = A_3T_3$ (otherwise $\text{fpr}(x) = 0$) and $C_{\bar{D}}(\tau) = C_2T_2$, so

$$\text{fpr}(x) \leq \frac{|C_{\bar{G}_\sigma}(x) : C_{\bar{H}_\sigma}(x)|}{|\bar{G}_\sigma : \bar{H}_\sigma|}$$

with $|C_{\bar{H}_\sigma}(x)| \geq |\text{Sp}_4(q)|(q-1)^2$ and $|C_{\bar{G}_\sigma}(x)| \leq |\text{SU}_4(q)|(q+1)^3$, hence $\text{fpr}(x) < q^{-18}$. By inspecting [23], one checks that G contains fewer than $|E_6^\epsilon(q) : \text{SL}_4(q)| < 2q^{63}$ such elements, so their contribution to α is less than $2q^{63}(q^{-14})^5 = 2q^{-7}$. Similarly, if $\bar{D}^0 = A_2^3$ then $r = 3$ (so $q \geq 4$), $|x^G| > \frac{1}{6}q^{54}$ and the proof of [31, Lemma 5.4] gives $C_{\bar{H}}(x) = A_2A_2$. Since H contains at most $4q^{36}$ such elements, Proposition 3.1 implies that their contribution is less than $\frac{1}{6}q^{54}(24q^{-18})^5 < q^{-25}$.

By inspecting [23], the remaining possibilities for \bar{D}^0 are as follows:

\bar{D}^0	$A_2^2A_1T_1$	$A_2^2T_2$	$A_2A_1^2T_2$	$A_2A_1T_3$	$A_1^3T_3$	A_2T_4	$A_1^2T_4$	A_1T_5	T_6
$\dim x^G$	58	60	62	64	66	66	68	70	72

(Notice that $\bar{D}^0 \neq A_1^4 T_2$ since x has prime order; see [24, 14.1].) As noted in the proof of [31, Lemma 5.4], there are at most $|W(E_6) : W(F_4)| = 45$ distinct \bar{H} -classes in $x^{\bar{G}} \cap \bar{H}$. Therefore, if we choose x with $|C_{\bar{H}_\sigma}(x)|$ minimal, then

$$\text{fpr}(x) \leq 45 \cdot \frac{|C_{\bar{G}_\sigma}(x) : C_{\bar{H}_\sigma}(x)|}{|\bar{G}_\sigma : \bar{H}_\sigma|} = 45 \cdot \frac{|\bar{D}_\sigma : C_{\bar{D}_\sigma}(\tau)|}{|\bar{G}_\sigma : \bar{H}_\sigma|}. \quad (11)$$

We will use this upper bound to show that

$$\text{fpr}(x) < \begin{cases} q^{-16} & \text{if } \bar{D}^0 = A_1^2 T_4, A_1 T_5 \text{ or } T_6 \\ q^{-15} & \text{if } \bar{D}^0 = A_1^3 T_3 \text{ or } A_2 T_4 \\ q^{-14} & \text{if } \bar{D}^0 = A_2 A_1^2 T_2 \text{ or } A_2 A_1 T_3 \\ q^{-13} & \text{if } \bar{D}^0 = A_2^2 A_1 T_1 \text{ or } A_2^2 T_2 \end{cases}$$

for the remaining semisimple elements $x \in G$ that we are interested in.

First we show that $\text{fpr}(x) < q^{-16}$ if $\bar{D}^0 = A_1^2 T_4, A_1 T_5$ or T_6 , so the contribution from these elements is less than $q^{78}(q^{-16})^5 = q^{-2}$. To justify the claim, first note that $r \geq 11$ (see [21, Table 2], for example). Since $|C_{\bar{H}_\sigma}(x)| \geq (q-1)^4$ and $|C_{\bar{G}_\sigma}(x)| \leq |\text{SL}_2(q^2)|(q+1)^4$, the bound in (11) is sufficient if $q \geq 5$. Suppose $q = 4$. Since r has to divide the order of the centre of $C_{\bar{H}_\sigma}(x)$, we deduce that $|C_{\bar{H}_\sigma}(x)| \geq (q^2+1)(q-1)^2$ (see [46, Table III]) and the result follows as before. Similarly, if $q = 3$ then the information on semisimple centralizers in [47, Table 9] and [23] implies that $|C_{\bar{H}_\sigma}(x)| \geq (q^3-1)(q-1)$, $|C_{\bar{G}_\sigma}(x)| \leq |\text{SL}_2(q^2)|(q^2-1)(q^2+q+1)$ and once again the desired bound follows via (11).

Similar reasoning shows that $\text{fpr}(x) < q^{-13}$ if $\bar{D}^0 = A_2^2 A_1 T_1$ or $A_2^2 T_2$. For instance, if $\bar{D}^0 = A_2^2 A_1 T_1$ then by arguing as in the proof of [31, Lemma 5.4] we deduce that

$$|C_{\bar{H}_\sigma}(x)| \geq |\text{SL}_3(q)||\text{SL}_2(q)|(q-1), \quad |C_{\bar{G}_\sigma}(x)| \leq |\text{SU}_3(q^2)||\text{SL}_2(q)|(q+1)$$

and the result follows from (11). By carefully inspecting [23], one checks that there are at most q^{63} such elements in G , so the contribution to α from the elements with $\bar{D}^0 = A_2^2 A_1 T_1$ or $A_2^2 T_2$ is less than $q^{63}(q^{-13})^5 = q^{-2}$.

Next we show that $\text{fpr}(x) < q^{-14}$ if $\bar{D}^0 = A_2 A_1^2 T_2$ or $A_2 A_1 T_3$. Suppose $\bar{D}^0 = A_2 A_1^2 T_2$. By inspecting [23], we deduce that $q \geq 4$ (that is, if $q = 3$ then there are no prime order elements $x \in G$ with $\bar{D}^0 = A_2 A_1^2 T_2$). Now $C_{\bar{H}}(x) = C_{\bar{D}}(\tau) = A_2 A_1 T_1$ or $A_1^2 T_2$, so

$$|C_{\bar{H}_\sigma}(x)| \geq |\text{SL}_2(q)|^2(q-1)^2, \quad |C_{\bar{G}_\sigma}(x)| \leq |\text{SU}_3(q)||\text{SL}_2(q^2)|(q+1)^2$$

and the desired bound holds. Similarly, if $\bar{D}^0 = A_2 A_1 T_3$ then $r \geq 7$ and the bounds

$$|C_{\bar{H}_\sigma}(x)| \geq |\text{SL}_2(q)|(q-1)^3, \quad |C_{\bar{G}_\sigma}(x)| \leq |\text{SU}_3(q)||\text{SL}_2(q)|(q+1)^3$$

are sufficient (via (11)) if $q \geq 4$. Finally, if $q = 3$ then we can replace the terms $(q-1)^3$ and $(q+1)^3$ in the above bounds by (q^3-1) and (q^3+1) , respectively (since $r \geq 7$), and the result follows as before. One checks that there are at most q^{68} elements in G with $\bar{D}^0 = A_2 A_1^2 T_2$ or $A_2 A_1 T_3$, so the contribution to α is less than $q^{68}(q^{-14})^5 = q^{-2}$.

Finally, let us assume $\bar{D}^0 = A_1^3 T_3$ or $A_2 T_4$. We claim that $\text{fpr}(x) < q^{-15}$. In both cases, $r \geq 7$ and

$$|C_{\bar{H}_\sigma}(x)| \geq |\text{SL}_2(q)|(q-1)^3, \quad |C_{\bar{G}_\sigma}(x)| \leq |\text{SU}_3(q)|(q+1)^4,$$

so the claim follows from (11) if $q \geq 4$. For $q = 3$, we can replace the $(q-1)^3$ term in the lower bound for $|C_{\bar{H}_\sigma}(x)|$ by (q^3-1) and the result follows. By inspecting [23], one checks that G contains fewer than q^{71} such elements, so their contribution is less than $q^{71}(q^{-15})^5 = q^{-4}$.

Bringing together all of the above estimates, we conclude that

$$\alpha < 3q^{-2} + q^{-3} + q^{-4} + 2q^{-7} + q^{-25} < 4q^{-2}. \quad (12)$$

Case 2. Unipotent elements. Now let us turn to the contribution from unipotent elements. Note that the unipotent classes in \bar{H} , together with the corresponding classes in \bar{G} , are listed

in [28, Table A]. We refer the reader to [35, Tables 22.2.3 and 22.2.4] for information on the conjugacy classes and centralizers of unipotent elements in \bar{G}_σ and \bar{H}_σ .

First assume $p = 2$, so $q \geq 4$. If $x \in G$ is a long root element, then [31, Theorem 2] gives $\text{fpr}(x) \leq (q^6 - q^3 + 1)^{-1}$ and it is easy to check that there are fewer than $2q^{22}$ such elements in G . Similarly, if x is in the A_1^2 class then $|x^G| > \frac{1}{2}q^{32}$ and there are at most $2q^{22}$ such elements in H , and for x in the A_1^3 class we have $|x^G| > \frac{1}{2}q^{40}$ and H contains fewer than $2q^{28}$ such elements. By applying Proposition 3.1, we conclude that

$$\beta < 2q^{22}(q^6 - q^3 + 1)^{-5} + \frac{1}{2}q^{32}(4q^{-10})^5 + \frac{1}{2}q^{40}(4q^{-12})^5 < q^{-7}$$

when $p = 2$.

Now assume p is odd. First we claim that the contribution from unipotent elements with $\dim x^{\bar{G}} \geq 54$ is at most q^{-3} . By inspecting [28, Table A], we see that $\delta(x) \geq 18$. For $q \geq 5$, the bound in [15, (4.16)] implies that $\text{fpr}(x) < q^{-15}$ and so the claim follows from the fact that G contains fewer than q^{72} such elements. Now assume $q = 3$, so x has order 3 and the bound on $\dim x^{\bar{G}}$ implies that x is in the class $A_2^2 A_1$ (for example, this follows by considering the Jordan form of x on the Lie algebra of \bar{G} , as given in [28, Table 6]). Here $\delta(x) = 18$ and $C_{\bar{G}}(x)$ is connected, so $\text{fpr}(x) < q^{-13}$ by [15, (4.16)] and we note that there are at most $2q^{54}$ of these elements in G , so the contribution is less than $2q^{54}(q^{-13})^5$ and the claim follows.

As noted in the proof of [15, Lemma 4.19], the contribution from unipotent elements with $48 \leq \dim x^{\bar{G}} < 54$ is less than $2q^{52}(q^{-14})^5 = 2q^{-18}$. Finally, let us assume $\dim x^{\bar{G}} < 48$ and $\text{fpr}(x) > 0$, so x is in one of the \bar{G} -classes labelled A_1 , A_1^2 , A_1^3 or A_2 (see [28, Table A]). As above, the contribution from long root elements is less than $2q^{22}(q^6 - q^3 + 1)^{-5}$, with the remaining unipotent elements contributing at most $\sum_{i=1}^3 a_i(b_i/a_i)^5$, where

$$a_1 = \frac{1}{2}q^{32}, \quad a_2 = \frac{1}{2}q^{40}, \quad a_3 = \frac{1}{4}q^{42}, \quad b_1 = 2q^{22}, \quad b_2 = 2q^{28}, \quad b_3 = 2q^{30}.$$

Therefore,

$$\beta < q^{-3} + 2q^{-18} + 2q^{22}(q^6 - q^3 + 1)^{-5} + \sum_{i=1}^3 a_i(b_i/a_i)^5 < q^{-2} \quad (13)$$

if p is odd.

Case 3. Remaining elements. It remains to estimate the contribution γ from field, graph and graph-field automorphisms. As in the proof of [15, Lemma 4.19], the contribution from involutory graph and graph-field automorphisms is less than $4q^{39}(q^{-12})^5 = 4q^{-21}$. Similarly, the contribution from odd order field automorphisms is at most

$$\log_2 q \cdot q^{78}(12q^{-52/3})^5 < q^{-2}$$

(the inequality holds since we may assume $q \geq 8$) and that from involutory graph automorphisms is less than

$$2q^{42}(q^{-11})^5 + 2q^{26}(12q^{-10})^5.$$

Therefore

$$\gamma < 4q^{-21} + q^{-2} + 2q^{42}(q^{-11})^5 + 2q^{26}(12q^{-10})^5 < q^{-1} \quad (14)$$

and by bringing together the bounds in (12), (13) and (14), we conclude that

$$\widehat{Q}(G, 5) < q^{-1} + 5q^{-2}.$$

The result follows. \square

Lemma 3.9. *If $G_0 = E_6^\epsilon(q)$, $q \geq 3$ and $H = N_G(\bar{H}_\sigma)$ with $\bar{H} = T_1 D_5$, then $b(G) \leq 5$.*

Proof. We proceed as in the proof of the previous lemma, estimating α , β and γ in turn. The argument closely follows the proof of [15, Lemma 4.17].

Let $x \in G$ be a semisimple element of prime order r and set $\bar{D} = C_{\bar{G}}(x)$, $z = \dim Z(\bar{D}^0)$ and $\delta(x) = \dim x^{\bar{G}} - \dim(x^{\bar{G}} \cap \bar{H})$. As noted in the proof of the previous lemma, there are fewer than q^{56} semisimple elements with $\dim x^{\bar{G}} \leq 52$, so [31, Theorem 2] implies that their contribution is less than $q^{56}(2q^{-12})^5 = 2^5 q^{-4}$.

Next we claim that $\text{fpr}(x) < q^{-16}$ if $\dim x^{\bar{G}} \geq 60$. For elements with $z \leq 4$, this follows immediately from the upper bound in [15, (4.11)], since $\delta(x) \geq 24$ (the latter bound is established in the proof of [15, Lemma 4.17]). On the other hand, if $z > 4$ then $\bar{D}^0 = A_1 T_5$ or T_6 , so

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^5 q^{70}$$

and the desired bound holds since $|H \cap \bar{G}_\sigma| < q^{47}$. Therefore, the contribution from these elements is less than $q^{78}(q^{-16})^5 = q^{-2}$.

To complete the analysis of semisimple elements, we may assume that $54 \leq \dim x^{\bar{G}} \leq 58$, in which case $\bar{D}^0 = A_2^3$, $A_3 A_1 T_2$ or $A_2^2 A_1 T_1$ (note that $\bar{D}^0 \neq A_3 A_1^2 T_1$ by [24, 14.1]). First observe that $q \geq 4$. This is clear if $\bar{D}^0 = A_2^3$ since $r = 3$; in the other two cases, $r \geq 5$ must divide the order of the centre of $C_{\bar{G}_\sigma}(x)$ and by inspecting [23] we deduce that $q \geq 4$. In addition, $z \leq 2$ and $\delta(x) \geq 20$ by [30, Theorem 2], so [15, (4.11)] implies that $\text{fpr}(x) < q^{-13}$. Therefore, the combined contribution from these elements is less than $q^{62}(q^{-13})^5 = q^{-3}$. We conclude that

$$\alpha < 2^5 q^{-4} + q^{-2} + q^{-3} < 2q^{-2}.$$

Next let us consider the contribution from unipotent elements. First assume $p = 2$. As explained in the proof of [15, Lemma 4.17], we can determine the \bar{G} -class of each involution in \bar{H} by considering the restriction $V_{27} \downarrow D_5$, where V_{27} is one of the minimal modules for E_6 . In terms of the standard Aschbacher-Seitz [2] notation for involutions in D_5 , we find that $a_2 \in A_1$, $c_2, a_4 \in A_1^2$ and $c_4 \in A_1^3$. This implies that $\beta < \sum_{i=1}^3 u_i (v_i/u_i)^5$, where

$$u_1 = \frac{1}{2} q^{22}, u_2 = \frac{1}{2} q^{32}, u_3 = \frac{1}{2} q^{40}, v_1 = 2q^{14}, v_2 = 2q^{16}(q^4 + 1), v_3 = 2q^{24}. \quad (15)$$

In particular, $\beta < q^{-13}$.

Now assume p is odd. Once again, as noted in the proof of [15, Lemma 4.17], we can determine the \bar{G} -class of each unipotent element in \bar{H} and it is routine to check that the upper bound on $\text{fpr}(x)$ presented in [15, (4.10)] is satisfied. If $\dim x^{\bar{G}} \geq 50$ then $\delta(x) \geq 20$ and we deduce that $\text{fpr}(x) < q^{-16}$ if $q \geq 5$. On the other hand, if $q = 3$ then $r = 3$ and we see that $x \in A_2 A_1^2$ is the only possibility, given the condition on $\dim x^{\bar{G}}$. Here [15, (4.10)] gives $\text{fpr}(x) < q^{-14}$ and there are fewer than $2q^{50}$ such elements in G . Therefore, for any q , it follows that the contribution to β from these unipotent elements is less than $q^{72}(q^{-16})^5 = q^{-8}$. Finally, suppose $\dim x^{\bar{G}} < 50$, so x belongs to one of the classes labelled A_1 , A_1^2 , A_2 , A_1^3 or $A_2 A_1$ (no unipotent elements in \bar{H} are in the \bar{G} -class A_2^2). By applying the bounds in the proof of [15, Lemma 4.17], we conclude that

$$\beta < q^{-8} + 2q^{22}(2q^{-6})^5 + 3q^{32}(q^{-9})^5 + 3q^{46}(q^{-10})^5 < q^{-2}.$$

Finally, let us consider γ . By directly applying the bounds presented in the proof of [15, Lemma 4.17], we deduce that

$$\gamma < 4q^{39}(q^{-12})^5 + \log_2 q \cdot q^{78}(q^{-19})^5 + 2q^{42}(q^{-11})^5 + 2q^{26}(4(q+1)q^{-17})^5 < q^{-12}.$$

Bringing together the above bounds, we conclude that $\widehat{Q}(G, 5) < 3q^{-2} + q^{-12}$ and the result follows. \square

Lemma 3.10. *If $G_0 = E_6^\epsilon(q)$, $q \geq 3$ and $H = N_G(\bar{H}_\sigma)$ with $\bar{H} = T_2 D_4 . S_3$, then $b(G) \leq 5$.*

Proof. This is similar to the proof of the previous lemma, working with the proof of [15, Lemma 4.18] in place of [15, Lemma 4.17]. Note that one of $\text{P}\Omega_8^+(q)$ or ${}^3D_4(q)$ is a composition factor of \bar{H}_σ (see [33, Table 5.1]).

First let $x \in G$ be a semisimple element of prime order r and define \bar{D} , z and $\delta(x)$ as before. We claim that $\text{fpr}(x) < q^{-16}$ if $\dim x^{\bar{G}} \geq 48$. For $q \geq 4$, this follows immediately from the upper bound in [15, (4.13)] since $z \leq 6$ and $\delta(x) \geq 26$ by [31, Theorem 2]. The same bound is also sufficient if $q = 3$ and $z \leq 3$. Finally, if $q = 3$ and $z \geq 4$ then

$$|x^G| > \frac{1}{2} \left(\frac{q}{q+1} \right)^4 q^{66}$$

and the claim follows since $|H \cap \bar{G}_\sigma| < q^{32}$. This justifies the claim and it follows that the contribution to α from these elements is less than $q^{78}(q^{-16})^5 = q^{-2}$. By inspecting [23], one checks that there are fewer than q^{44} semisimple elements in G with $\dim x^{\bar{G}} < 48$ and [31, Theorem 2] gives $\text{fpr}(x) \leq 2q^{-12}$. Therefore,

$$\alpha < q^{-2} + q^{44}(2q^{-12})^5 < 2q^{-2}.$$

Next let us consider unipotent elements. First assume $p = 2$. If ${}^3D_4(q)$ is a composition factor of \bar{H}_σ , then the proof of [15, Lemma 4.18] implies that

$$\beta < 2q^{22}(2q^{-12})^5 + 2q^{40}(4q^{-24})^5.$$

Similarly, if $\Omega_8^+(q)$ is a composition factor, then $\beta < \sum_{i=1}^3 u_i(v_i/u_i)^5$, where

$$u_1 = \frac{1}{2}q^{22}, u_2 = \frac{1}{2}q^{32}, u_3 = \frac{1}{2}q^{40}, v_1 = 2q^{10}, v_2 = 2q^7(2q^5 + 1), v_3 = 2q^{15}(q + 1).$$

In both cases, these estimates imply that $\beta < q^{-33}$ when $p = 2$.

Now assume p is odd. As explained in the proof of [15, Lemma 4.18], if $\dim x^{\bar{G}} \geq 40$ then $\text{fpr}(x) < q^{-16}$ and so the contribution from these elements is less than $q^{72}(q^{-16})^5 = q^{-8}$ and it remains to consider the elements in the classes A_1 and A_1^2 . If x is in A_1^2 then the proof of [15, Lemma 4.18] gives $\text{fpr}(x) < q^{-12}$, whereas [31, Theorem 2] gives $\text{fpr}(x) \leq 2q^{-6}$ for a long root element x . Therefore,

$$\beta < q^{-8} + 2q^{32}(q^{-12})^5 + 2q^{22}(2q^{-6})^5 < q^{-4}$$

when p is odd.

Finally, let us consider γ . From the bounds given in the proof of [15, Lemma 4.18], we deduce that the contribution from field and graph-field automorphisms is less than

$$4q^{39}(q^{-12})^5 + \log_2 q \cdot q^{78}(q^{-20})^5.$$

Now assume x is an involutory graph automorphism. If $C_{\bar{G}}(x) \neq F_4$ then the proof of [15, Lemma 4.18] gives $\text{fpr}(x) < q^{-17}$, so the contribution to γ is less than $2q^{42}(q^{-17})^5$. Finally, suppose $C_{\bar{G}}(x) = F_4$, so

$$|x^G| = \frac{q^{12}(q^5 - \epsilon)(q^9 - \epsilon)}{(3, q - \epsilon)}.$$

Here the proof of [15, Lemma 4.18] gives $\text{fpr}(x) < q^{-5}$, which is not sufficient. We claim that $\text{fpr}(x) < q^{-6}$, which implies that the contribution from these elements is less than $2q^{26}(q^{-6})^5 = 2q^{-4}$. To justify the claim, first observe that

$$|x^G \cap H| \leq i_2(\bar{H}_\sigma) \leq 2(q+1)^2 \cdot i_2(\text{Aut}(S)), \quad (16)$$

where $S = \text{P}\Omega_8^+(q)$ or ${}^3D_4(q)$ according to the structure of \bar{H}_σ . As noted in [15, (4.8)], this gives $|x^G \cap H| < 4(q+1)^3 q^{15}$ and one checks that this bound is sufficient if $q \geq 5$. For $q \in \{3, 4\}$, we compute $i_2(\text{Aut}(S))$ precisely and then use the upper bound in (16). For example, if $S = {}^3D_4(4)$ then

$$i_2(\text{Aut}(S)) = i_2({}^3D_4(4)) + |{}^3D_4(4) : {}^3D_4(2)| = 4632563455$$

and the claim follows. We conclude that

$$\gamma < 4q^{39}(q^{-12})^5 + \log_2 q \cdot q^{78}(q^{-20})^5 + 2q^{42}(q^{-17})^5 + 2q^{-4} < 3q^{-4}.$$

Therefore, $\widehat{Q}(G, 5) < 2q^{-2} + 4q^{-4}$ and the proof of the lemma is complete. \square

This completes the proof of Theorem 1 for $G_0 = E_6^\epsilon(q)$ with $q \geq 3$.

3.3. $G_0 = E_6^\epsilon(2)$. Here we handle the special cases with $G_0 = E_6(2)$ or ${}^2E_6(2)$. We refer the reader to [15, Table 9] for useful information on the classes of elements in \bar{G}_σ of odd prime order. The maximal subgroups of G are determined in [27] and [51] for $G_0 = E_6(2)$ and ${}^2E_6(2)$, respectively (in the latter case, it turns out that the list of maximal subgroups presented in the Atlas [22] is complete).

We begin by extending Lemma 3.6 to the case $q = 2$.

Lemma 3.11. *If $G_0 = E_6^\epsilon(2)$ and $|H| \leq 2^{28}$, then $b(G) \leq 5$.*

Proof. From the list of maximal subgroups in [22, 27], we first observe that the condition $|H| \leq 2^{28}$ implies that $|H| < 2^{27}$. Write $\widehat{Q}(G, 5) = \alpha + \beta + \gamma$ as before.

We start by estimating α . Let $x \in G$ be an element of odd prime order r . Clearly, the contribution from the elements with $|x^G| > 2^{45}$ is less than $2^{45}(2^{27}/2^{45})^5 = 2^{-45}$. Now assume $|x^G| \leq 2^{45}$, so $r = 3$. If $\epsilon = +$, or if $\epsilon = -$ and $G = G_0$ or $G_0.2$, then $|x^G| > 2^{41}$ and thus the contribution to α is less than 2^{-29} . If $\epsilon = -$ and $G = G_0.3$ or $G_0.S_3$ then $|x^G| > 2^{31}$ and by inspecting the possibilities for H in [22], one checks that $i_3(H) < 2^{24}$. For example, if $H_0 = U_3(8).3$ then

$$i_3(H) \leq i_3(\text{Aut}(U_3(8)) \times 3) = 1266434 < 2^{21}.$$

This means that the contribution from these elements is less than $2^{31}(2^{24}/2^{31})^5 = 2^{-4}$ and we conclude that $\alpha < 2^{-4} + 2^{-45}$.

Next let us turn to the contribution from unipotent involutions. There are three \bar{G} -classes to consider, labelled A_1 , A_1^2 and A_1^3 . If $x \in A_1$ then $\text{fpr}(x) \leq 2^{-5}$ by [30, Theorem 2] and there are fewer than 2^{23} such elements in G , so their contribution to β is less than $2^{23}(2^{-5})^5 = 2^{-2}$. Now assume x is in A_1^2 or A_1^3 . If $\epsilon = +$ then $|x^G| > 2^{33}$ and $|H_0| < 2^{26}$, so these elements contribute less than $2^{33}(2^{26}/2^{33})^5 = 2^{-2}$. Similarly, if $\epsilon = -$ then $|x^G| > 2^{31}$, $|H_0| < 2^{24}$ and the contribution is at most 2^{-4} . We conclude that $\beta < 2^{-1}$.

Finally, let us assume $x \in G$ is an involutory graph automorphism. If $C_{\bar{G}}(x) \neq F_4$ then $|x^G| > 2^{40}$ and so the contribution to γ from these elements is less than $2^{40}(2^{27}/2^{40})^5 = 2^{-25}$. On the other hand, if $C_{\bar{G}}(x) = F_4$ then $|x^G| < 2^{27}$ and by combining [31, Theorem 2] and [15, Lemma 4.12] we see that $\text{fpr}(x) \leq 1/57$, so the contribution here is at most $2^{27}(1/57)^5 < 2^{-2}$. This gives $\gamma < 2^{-2} + 2^{-45}$ and we conclude that

$$\widehat{Q}(G, 5) < 2^{-1} + 2^{-2} + 2^{-4} + 2^{-44} < 1$$

as required. \square

Lemma 3.12. *If $G_0 = E_6(2)$ and $|H| > 2^{28}$, then $b(G) \leq 5$.*

Proof. By inspecting [27, Table 1], we deduce that $H_0 = F_4(2)$, $S_3 \times L_6(2)$ or $(7 \times {}^3D_4(2)).3$. In fact, in view of [15, Lemmas 4.16 and 4.19], we only need to handle the latter case. Here $H = (D_{14} \times {}^3D_4(2)).3$ if $G = G_0.2$, and it will be useful to observe that $|H_0| < 2^{33}$ and $i_3(H) \leq i_3(7.3 \times {}^3D_4(2).3) < 2^{26}$. Write $\widehat{Q}(G, 5) = \alpha + \beta + \gamma$ as usual.

First we estimate α . The contribution from the elements with $|x^G| > 2^{47}$ is less than $2^{47}(2^{33}/2^{47})^5 = 2^{-23}$. On the other hand, if $|x^G| \leq 2^{47}$ then x has order 3 and $|x^G| > 2^{41}$, so the contribution from these elements is less than $2^{41}(2^{26}/2^{41})^5 = 2^{-34}$.

Finally, let us consider $\beta + \gamma$, which is the contribution from involutions. The subgroup ${}^3D_4(2) < H_0$ has two classes of involutions, labelled A_1 and A_1^3 , and the labels stay the same

when viewed as involutions in G_0 (see the proof of [15, Lemma 4.18]). If x is in A_1 then $|x^G| > 2^{22}$ and there are at most 2^{10} such elements in H , so the contribution is less than $2^{22}(2^{-12})^5 = 2^{-38}$. If $x \in G$ is any other involution, then $|x^G| \geq 2^{12}(2^5 - 1)(2^9 - 1) = a$ and $i_2(H) = 556927 = b$, so the contribution here is at most $a(b/a)^5 < 2^{-8}$.

We conclude that $\widehat{Q}(G, 5) < 1$. □

Lemma 3.13. *If $G_0 = {}^2E_6(2)$ and $|H| > 2^{28}$, then $b(G) \leq 5$.*

Proof. According to the Atlas [22], H_0 is one of the following:

- (a) $F_4(2)$, (b) Fi_{22} , (c) $S_3 \times U_6(2)$, (d) $\Omega_7(3)$,
 (e) $\Omega_{10}^-(2)$, (f) $(3 \times \Omega_8^+(2).3).2$, (g) ${}^3D_4(2).3$.

By inspecting [15], we immediately deduce that $b(G) \leq 5$ in cases (a)–(d), so it remains to consider (e), (f) and (g). We define α , β and γ in the usual fashion.

Case 1. $H_0 = \Omega_{10}^-(2)$. As explained in the proof of Lemma 3.9, we have $\beta < \sum_{i=1}^3 u_i(v_i/u_i)^5$, where the u_i and v_i terms are defined in (15), whence $\beta < 2^{-8}$.

Next consider α and let $x \in G$ be a semisimple element of prime order r . If $r > 3$ then $|x^G| > 2^{58}$ and so the contribution to α is less than $2^{58}(2^{45}/2^{58})^5 = 2^{-7}$ since $|H_0| < 2^{45}$. Now assume $r = 3$ and note that $i_3(H) \leq i_3(\Omega_{10}^-(2) \times 3) < 2^{32}$. Therefore, the contribution from the elements with $|x^G| > 2^{41}$ is less than $2^{41}(2^{32}/2^{41})^5 = 2^{-4}$. Now assume $|x^G| \leq 2^{41}$, in which case x is in one of the classes labelled $3D$ and $3E$ in [22] and there are fewer than 2^{33} such elements in G . Since $C_{\overline{G}}(x) = D_5T_1$, the proof of [31, Lemma 4.7] gives $\text{fpr}(x) \leq 2^{-11}$ and we conclude that the contribution to α is less than $2^{33}(2^{-11})^5 = 2^{-22}$. Therefore, $\alpha < 2^{-4} + 2^{-7} + 2^{-22}$.

Finally, let $x \in G$ be an involutory graph automorphism. If $C_{\overline{G}}(x) \neq F_4$ then $|x^G| < 2^{27}$ and [31, Theorem 2] gives $\text{fpr}(x) \leq 1/57$, so the contribution from these elements is less than $2^{27}(1/57)^5 < 2^{-2}$. On the other hand, if $C_{\overline{G}}(x) = F_4$ then $|x^G| > 2^{40}$ and $i_2(H) \leq i_2(\Omega_{10}^-(2)) < 2^{26}$, which gives a contribution of at most $2^{40}(2^{26}/2^{40})^5 = 2^{-30}$.

We conclude that $\widehat{Q}(G, 5) < 2^{-2} + 2^{-4} + 2^{-7} + 2^{-8} + 2^{-22} + 2^{-30} < 1$.

Case 2. $H_0 = (3 \times \Omega_8^+(2).3).2$ or ${}^3D_4(2).3$. We handle both cases simultaneously. Suppose $x \in G$ has odd prime order r . If $r = 3$ then $|x^G| > 2^{31} = a$ and we calculate that

$$i_3(H) \leq i_3(3^2 \times \Omega_8^+(2).3) = 18060488 = b.$$

Therefore, the contribution to α from elements of order 3 is less than $a(b/a)^5 < 2^{-3}$. On the other hand, if $r > 3$ then $|x^G| > 2^{58}$ and so the remaining contribution is less than $2^{58}(2^{32}/2^{58})^5 = 2^{-72}$ since $|H_0| < 2^{32}$. It follows that $\alpha < 2^{-3} + 2^{-72}$.

Finally, suppose $x \in G$ is an involution. If x is a long root element then $|x^G| > 2^{21}$ and by applying [30, Proposition 1.13(ii)] we deduce that $|x^G \cap H| < 2^{11}$, so the contribution from these elements is less than $2^{21}(2^{11}/2^{21})^5 = 2^{-29}$. As in the previous case, the contribution from graph automorphisms with $C_{\overline{G}}(x) = F_4$ is at most 2^{-2} . For the remaining involutions we have $|x^G| > 2^{31}$ and their contribution is less than $2^{31}(2^{20}/2^{31})^5 = 2^{-24}$ since $i_2(H) < 2^{20}$. Therefore, $\beta + \gamma < 2^{-2} + 2^{-24} + 2^{-29}$ and the result follows. □

3.4. $G_0 = F_4(q)$. In this final section we complete the proof of Theorem 1 by handling the groups with socle $G_0 = F_4(q)$. We adopt the same notation as before. In particular, we will write $\widehat{Q}(G, 5) = \alpha + \beta + \gamma$. We refer the reader to [46, 47] for information on the conjugacy classes in $F_4(q)$ (also see [35, Table 22.2.4] and [37] for useful data on unipotent and semisimple classes, respectively). The case $q = 2$ requires special attention and it will be treated separately in Lemma 3.19.

Lemma 3.14. *If $G_0 = F_4(q)$, $q \geq 3$ and $|H_0| \leq q^{17}$, then $b(G) \leq 5$.*

Proof. We proceed as in the proof of [15, Lemma 4.22]. Suppose $x \in G_0$ has prime order. If $\dim x^{\bar{G}} \geq 28$ then $|x^G| > q^{28}$ and so the contribution from these elements is less than $q^{28}(q^{17}/q^{28})^5 = q^{-27}$. Now assume $\dim x^{\bar{G}} < 28$. If x is semisimple, then $C_{\bar{G}}(x) = B_4$, $p \neq 2$ and x is an involution. There are fewer than $2q^{16}$ such elements in G and [31, Theorem 2] gives $\text{fpr}(x) \leq 2q^{-5}$, so the contribution to α is less than $2q^{16}(2q^{-5})^5 = 2^6q^{-9}$.

Now assume x is unipotent and $\dim x^{\bar{G}} < 28$, so x belongs to one of the \bar{G} -classes labelled A_1 , \tilde{A}_1 or $(\tilde{A}_1)_2$ (the latter only if $p = 2$). First assume x is in A_1 (or \tilde{A}_1 if $p = 2$). Here $\text{fpr}(x) \leq (q^4 - q^2 + 1)^{-1}$ by [31, Theorem 2] and there are fewer than $3q^{16}$ such elements in G , so the contribution to β is less than $3q^{16}(q^4 - q^2 + 1)^{-5} < q^{-2}$. Now suppose x is in one of the classes \tilde{A}_1 (with $p \neq 2$) or $(\tilde{A}_1)_2$ ($p = 2$). Then $|x^G| \geq \frac{1}{2}q^3(q^3 - 1)(q^4 + 1)(q^{12} - 1) = f(q)$ and thus the contribution to β is at most $f(q) \cdot (q^{17}/f(q))^5 < 2q^{-1}$. Therefore,

$$\alpha + \beta < 2q^{-1} + q^{-2} + 2^6q^{-9} + q^{-27}.$$

Finally, let us assume $x \in G$ is a field and graph-field automorphism. If x has order 2 then $\text{fpr}(x) \leq q^{-6}$ by [31, Theorem 2] and there are fewer than $2q^{26}$ such elements in G , so the contribution to γ is at most $2q^{26}(q^{-6})^5 = 2q^{-4}$. Similarly, if x is a field automorphism of odd prime order then $|x^G| > \frac{1}{2}q^{34}$ and we note that $|H| \leq 2 \log_2 q \cdot q^{17}$, so the contribution from these elements is less than

$$\frac{1}{2}q^{34}(4 \log_2 q \cdot q^{-17})^5 < q^{-43}.$$

Therefore,

$$\widehat{Q}(G, 5) < 2q^{-1} + q^{-2} + 2q^{-4} + 2^6q^{-9} + q^{-27} + q^{-43} < 1$$

and thus $b(G) \leq 5$. □

Lemma 3.15. *If $G_0 = F_4(q)$, $q \geq 3$ and $|H_0| > q^{17}$, then one of the following holds:*

- (i) $H = N_G(\bar{H}_\sigma)$, where $\bar{H}^0 = A_1C_3$, B_4 , C_4 ($p = 2$ only) or D_4 ;
- (ii) $H = N_G(\bar{H}_\sigma)$, where $\bar{H}^0 = C_2^2$ and $p = 2$;
- (iii) $H_0 = F_4(q_0)$, where $q = q_0^k$ and $k \in \{2, 3\}$;
- (iv) $H_0 = {}^2F_4(q)$, where $q = 2^{2a+1}$ and $a \geq 1$;
- (v) $H = {}^3D_4(2).3$ and $q = 3$.

Proof. By [34, Theorem 2], one of the following holds:

- (a) $H = N_G(\bar{H}_\sigma)$ for some σ -stable closed subgroup \bar{H} of positive dimension;
- (b) H is an exotic local subgroup (as in [20, Theorem 1]);
- (c) $H_0 = {}^2F_4(q)$ or $F_4(q_0)$, where $q = q_0^k$ and k is a prime;
- (d) H is almost simple and not of type (a) or (c).

Note that the subgroups with $|H| > q^{22}$ are determined in [15, Lemma 4.23], which gives the examples in parts (i), (iii) (with $k = 2$) and (iv).

The relevant maximal rank subgroups of the form $H = N_G(\bar{H}_\sigma)$ are determined in [33] and it is easy to check that the only additional examples with $|H_0| > q^{17}$ are those described in part (ii) of the lemma (here $p = 2$ and G must contain a graph-field automorphism, as a condition for maximality). If $H = N_G(\bar{H}_\sigma)$ is not of maximal rank, then

$$|H_0| \leq |\text{SL}_2(q)||G_2(q)| < q^{17},$$

so none of these subgroups arise. The main theorem of [20] implies that there are no exotic local subgroups with $|H_0| > q^{17}$ and it is easy to see that $|F_4(q_0)| > q^{17}$ if and only if $q = q_0^k$ with $k = 2$ or 3 .

Finally, let us assume H is almost simple and not of type (a) or (c). By [1, Lemma 5.7], the only possibility with $|H_0|^3 > |G_0|$ is the case $G = F_4(3)$ and $H = {}^3D_4(2).3$ as in part (v).

By arguing as in the proof of the lemma, one checks that there are no additional subgroups with $|H_0| > q^{17}$. \square

Lemma 3.16. *Suppose $G_0 = F_4(q)$, $q \geq 3$ and H is one of the subgroups in parts (ii) – (v) of Lemma 3.15. Then $b(G) \leq 5$.*

Proof. If $H_0 = F_4(q^{1/2})$ or ${}^2F_4(q)$ then the result follows from the proof of [15, Proposition 4.28]. In each of the remaining cases, it is helpful to note that $|H_0| < q^{21}$ (see [33, Table 5.1] for the precise structure of H_0 in case (ii) of Lemma 3.15). Therefore, by arguing as in the proof of Lemma 3.14, it follows that the contribution from the elements $x \in G_0$ with $\dim x^G \geq 28$ is less than $q^{28}(q^{21}/q^{28})^5 = q^{-7}$. Similarly, the contribution from elements in the unipotent classes A_1 or \tilde{A}_1 (if $p = 2$) is at most q^{-2} .

If $G = F_4(3)$ and $H = {}^3D_4(2).3$, it just remains to consider the contribution from the unipotent elements in the class \tilde{A}_1 . Since $|x^G| > 3^{21}$ and $i_3(H) < 3^{14}$, this is less than $3^{21}(3^{14}/3^{21})^5 = 3^{-14}$ and we conclude that $\widehat{Q}(G, 5) < 1$ as required.

Next assume $H_0 = F_4(q_0)$, where $q = q_0^3$. If $x \in G_0$ is a unipotent element in the class \tilde{A}_1 ($p \neq 2$) or $(\tilde{A}_1)_2$ ($p = 2$), then $|x^G| > \frac{1}{3}q^{22}$ and there are fewer than $2q_0^{22} = 2q^{22/3}$ such elements in H . Therefore, the contribution from these elements is less than $\frac{1}{3}q^{22}(6q^{-44/3})^5 < q^{-44}$. As in the proof of Lemma 3.14, the contribution from involutory field or graph-field automorphisms is less than $2q^{-4}$. Similarly, the contribution from field automorphisms of odd prime order is less than $\frac{1}{2}q^{34}(4 \log_2 q \cdot q^{-13})^5 < q^{-23}$ and it follows that

$$\widehat{Q}(G, 5) < q^{-2} + 2q^{-4} + q^{-7} + q^{-23} + q^{-44} < 1.$$

Finally, suppose $p = 2$ and $H = N_G(\bar{H}_\sigma)$ with $\bar{H}^0 = C_2^2$, so $q \geq 4$ and $H_0 = \text{Sp}_4(q) \wr S_2$ or $\text{Sp}_4(q^2).2$. As in the previous case, the contribution from elements in $G \setminus G_0$ is less than $2q^{-4} + q^{-23}$, so it just remains to consider the unipotent elements in the class $(\tilde{A}_1)_2$. Here $|x^G| > q^{22}$ and it is straightforward to show that $i_2(H_0) < 2q^{12}$. For example, if $H_0 = \text{Sp}_4(q^2).2$ then

$$\begin{aligned} i_2(H_0) &= |b_1^{\text{Sp}_4(q^2)}| + |a_2^{\text{Sp}_4(q^2)}| + |c_2^{\text{Sp}_4(q^2)}| + |\text{Sp}_4(q^2) : \text{Sp}_4(q)| \\ &= (q^8 - 1)(q^4 + 1) + q^4(q^2 + 1)(q^4 + 1) \end{aligned}$$

and the claim follows (here we are using the notation from [2] for involutions in $\text{Sp}_4(q^2)$). Therefore, the contribution to β from these elements is less than $q^{22}(2q^{-10})^5 < q^{-25}$ and the result follows. \square

In order to complete the proof of Theorem 1 when $G_0 = F_4(q)$ and $q \geq 3$, we just need to consider the cases arising in part (i) of Lemma 3.15. For $H = A_1C_3$, the desired bound $b(G) \leq 5$ is established in [15, Lemma 4.27], so we may assume that $H = N_G(\bar{H}_\sigma)$ with $\bar{H}^0 = B_4, C_4$ ($p = 2$ only) or D_4 .

Lemma 3.17. *If $G_0 = F_4(q)$, $q \geq 3$ and $H = N_G(\bar{H}_\sigma)$ with $\bar{H} = D_4.S_3$, then $b(G) \leq 5$.*

Proof. As recorded in [33, Table 5.1], there are two possibilities for H_0 , namely $d^2.P\Omega_8^+(q).S_3$ and ${}^3D_4(q).3$, where $d = (2, q - 1)$. As usual, write $\widehat{Q}(G, 5) = \alpha + \beta + \gamma$.

We begin by estimating α . Let $x \in G$ be a semisimple element of prime order r and define \bar{D} , z and $\delta(x)$ as before. As in [15, (4.18)], we have

$$\text{fpr}(x) < \frac{48(q+1)^z}{q^{\delta(x)+z-4}(q-1)^4} \quad (17)$$

First assume $r = 2$, so $\bar{D} = B_4$ or A_1C_3 . In the first case, [31, Theorem 2] gives $\text{fpr}(x) \leq 2q^{-5}$ and we deduce that the contribution to α is less than $2q^{16}(2q^{-5})^5 = 2^6q^{-9}$. Similarly, if $\bar{D} = A_1C_3$ then $\delta(x) \geq 12$ by [30, Theorem 2] and thus (17) gives $\text{fpr}(x) < q^{-6}$, so the contribution from these involutions is at most $2q^{28}(q^{-6})^5 = 2q^{-2}$.

Now assume r is odd. If $\bar{D} = B_3T_1$ or C_3T_1 then [30, Theorem 2] gives $\delta(x) \geq 12$ and we calculate that G contains at most

$$2|F_4(q) : C_3(q)| = 2q^{15}(q^4 + 1)(q^{12} - 1)$$

such elements. Therefore, the contribution to α is less than

$$2q^{15}(q^4 + 1)(q^{12} - 1) \cdot \left(\frac{48(q+1)}{q^9(q-1)^4} \right)^5 < q^{-2}.$$

If $\bar{D} = T_4$ then $\delta(x) = 24$ and (17) implies that $\text{fpr}(x) < q^{-17}$, so the contribution from these elements is at most $q^{52}(q^{-17})^5 < q^{-33}$. For the remaining semisimple elements, we have $z \leq 3$ and $\delta(x) \geq 16$ by [30, Theorem 2], so (17) implies that $\text{fpr}(x) < q^{-51/5}$ and it follows that the combined contribution to α from these elements is less than $q^{50}(q^{-51/5})^5 = q^{-1}$. We conclude that

$$\alpha < q^{-1} + 3q^{-2} + 2^6q^{-9} + q^{-33}.$$

Finally, in order to estimate β and γ we can appeal directly to [15, Lemma 4.26]. Indeed, by inspecting the proof of this lemma, we immediately deduce that

$$\beta < 3q^{22}(2q^{-6})^5 + q^{48}(q^{-10})^5 < 2q^{-2}$$

and

$$\gamma < 2q^{26}(q^{-6})^5 + \log_2 q \cdot q^{52}(6q^{-56/3})^5 < q^{-3}.$$

Therefore,

$$\widehat{Q}(G, 5) < q^{-1} + 5q^{-2} + q^{-3} + 2^6q^{-9} + q^{-33}$$

and the proof of the lemma is complete. \square

Lemma 3.18. *If $G_0 = F_4(q)$, $q \geq 3$ and $H = N_G(\bar{H}_\sigma)$ with $\bar{H} = B_4$ or C_4 , then $b(G) \leq 5$.*

Proof. We proceed by estimating α , β and γ in turn. First let $x \in G$ be a semisimple element of prime order r and define \bar{D} , z and $\delta(x)$ in the usual manner. Note that $H_0 = 2.\Omega_9(q) = \text{Spin}_9(q)$ if q is odd, and $H_0 = \text{Sp}_8(q)$ if q is even (see [33, Table 5.1]).

First assume $r = 2$, so q is odd and $\bar{D} = B_4$ or A_1C_3 . By inspecting [25, Table 4.5.2], we see that H_0 contains three classes of involutions; the central involution z , together with involutions of type $z_1 = [-I_4, I_5]$ and $z_2 = [-I_8, I_1]$, with plus-type (-1) -eigenspaces. To determine the G_0 -class of z_1 and z_2 , it is sufficient to compute the dimension of the 1-eigenspace of z_i with respect to the adjoint action of \bar{G} on the Lie algebra $V = \mathcal{L}(\bar{G})$ of \bar{G} . Indeed, as explained in [19, Section 1.14], this coincides with the dimension of $C_{\bar{G}}(z_i)$, which uniquely determines the G_0 -class of z_i . To do this, it is useful to observe that

$$V \downarrow \bar{H} = \mathcal{L}(\bar{H}) \oplus U, \tag{18}$$

where U is the 16-dimensional spin module for \bar{H} . Furthermore, to determine the action of z_i on U we may assume that $z_i \in D_4 < \bar{H}$ and we can use the fact that $U \downarrow D_4 = W_1 \oplus W_2$, where W_1 and W_2 are the 8-dimensional spin modules for D_4 . For example, $\dim C_{\bar{H}}(z_1) = 16$ and one checks that z_1 acts on U as $[-I_8, I_8]$. Therefore, $\dim C_V(z_1) = 16 + 8$ and thus $C_{\bar{G}}(z_1) = A_1C_3$. In the same way, one checks that $C_{\bar{G}}(z_2) = B_4$. Therefore,

$$|z_1^G \cap H| = \frac{|\text{SO}_9(q)|}{|\text{SO}_5(q)||\text{SO}_4^+(q)|} < 2q^{20}, \quad |z_1^G| > q^{28},$$

$$|z_2^G \cap H| = 1 + \frac{|\text{SO}_9(q)|}{|\text{SO}_8^+(q)|} < 2q^8, \quad |z_2^G| > q^{16}$$

and we deduce that the contribution to α from involutions is less than q^{-8} .

Next assume $r = 3$, so $q \geq 4$ and $\bar{D} = B_3T_1$, C_3T_1 or $A_2\tilde{A}_2$ (see [25, Table 4.7.1]). As above, we can use (18) to determine $\dim C_{\bar{G}}(z)$ for each element $z \in \bar{H}$ of order 3. For example, suppose $z = [I_2, \omega I_3, \omega^2 I_3] \in D_4 < \bar{H}$, where $\omega \in K$ is a primitive cube root of unity. Here $\dim C_{\bar{H}}(z) = 12$ and we calculate that z has Jordan form $[I_4, \omega I_6, \omega^2 I_6]$ on

U . Therefore, $\dim C_V(z) = 12 + 4$ and thus $C_{\bar{G}}(z) = A_2\tilde{A}_2$. Similarly, one checks that if $z \in \bar{H}$ belongs to one of the other \bar{H} -classes, then $C_{\bar{G}}(z) = B_3T_1$ or C_3T_1 . We deduce that there are less than $2q^{22} = a_1$ elements $x \in H_0$ of order 3 with $\bar{D} = B_3T_1$ or C_3T_1 . Moreover, $|x^G| > \frac{1}{2}(q+1)^{-1}q^{31} = b_1$. Similarly, there are fewer than $2q^{24} = a_2$ elements with $\bar{D} = A_2\tilde{A}_2$, and we have $|x^G| > \frac{1}{2}q^{36} = b_2$ in this case. Therefore, the contribution to α from elements of order 3 is less than $\sum_{i=1}^2 b_i(a_i/b_i)^5 < q^{-4}$.

For the remainder, we may assume that $r \geq 5$. Note that r divides $|Z(C_{G_0}(x))|$. In view of [24, 14.1], the possibilities for \bar{D} are as follows:

\bar{D}	B_3T_1	C_3T_1	$A_2A_1T_1$	C_2T_2	A_2T_2	$A_1^2T_2$	A_1T_3	T_4
$\dim x^G$	30	30	40	40	42	44	46	48

By applying [31, Lemma 4.5], we deduce that

$$\text{fpr}(x) < \frac{6(q+1)^z}{q^{\delta(x)+z-4}(q-1)^4} \quad (19)$$

(this bound is stated as (4.17) in [15]).

First assume $\bar{D} = B_3T_1$ or C_3T_1 , so $z = 1$ and $\delta(x) \geq 8$ by [30, Theorem 2]. As noted in the proof of the previous lemma, there are fewer than $2q^{15}(q^4+1)(q^{12}-1)$ such elements in G . Also observe that $|Z(C_{G_0}(x))| = q \pm 1$, so either $(r, q) = (5, 4)$ or $q \geq 8$, since $r \geq 5$. Suppose $(r, q) = (5, 4)$. By considering the proof of [31, Lemma 4.5], noting that $|(y^{\bar{H}})_\sigma| < q^{\dim y^{\bar{H}}}$ for all $y \in x^{\bar{G}} \cap \bar{H}$, we deduce that $\text{fpr}(x) < 6(q+1)q^{-9}$. Therefore, the contribution to α is less than

$$2q^{15}(q^4+1)(q^{12}-1)(6(q+1)q^{-9})^5 < q^{-1}.$$

By applying the upper bound in (19), one checks that the same conclusion holds if $q \geq 8$.

The case $\bar{D} = A_2A_1T_1$ is similar. Here $z = 1$, $q \geq 4$ and $\delta(x) \geq 12$ by [30, Theorem 2]. Furthermore, we calculate that there are fewer than $2q^{41}$ such elements in G . Therefore, by applying the bound in (19), we deduce that their contribution to α is less than

$$2q^{41} \left(\frac{6(q+1)}{q^9(q-1)^4} \right)^5 < q^{-7}.$$

Next assume $\bar{D} = C_2T_2$ or A_2T_2 , so $z = 2$ and $\delta(x) \geq 12$. First we calculate that there are less than $3q^{44}$ elements in G of this form. By applying (19), we deduce that the contribution to α here is at most q^{-2} if $q \geq 4$. The case $q = 3$ requires special attention. We claim that the contribution to α is still less than q^{-2} . To see this, first observe that $r \in \{5, 7, 13\}$, which implies that

$$|(y^{\bar{H}})_\sigma| < \left(\frac{q^3}{q^3-1} \right) q^{\dim y^{\bar{H}}} \quad (20)$$

for all $y \in x^{\bar{G}} \cap \bar{H}$. Therefore, the contribution is less than

$$3q^{44} \cdot \left(6 \left(\frac{q^3}{q^3-1} \right) \left(\frac{q+1}{q} \right)^2 q^{-12} \right)^5 < q^{-2}$$

as claimed.

A similar argument applies if $\bar{D} = A_1^2T_2$. Here G contains fewer than $3q^{46}$ such elements and as noted in the proof of [15, Lemma 4.25], we have $\delta(x) \geq 14$. By applying the bound in (19), it follows that the contribution to α is less than q^{-4} .

To complete the analysis of semisimple elements, we may assume that $\bar{D} = A_1T_3$ or T_4 . In the latter case, $\delta(x) = 16$ and (19) implies that the contribution to α is less than

$$q^{52} \left(\frac{6(q+1)^4}{q^{16}(q-1)^4} \right)^5 < q^{-7}.$$

Similarly, if $\bar{D} = A_1T_3$ then $\delta(x) \geq 14$ and we calculate that there are fewer than $2q^{49}$ such elements in G . Using (19), we see that the contribution is at most q^{-7} if $q \geq 4$. Finally, if $q = 3$ then $r \in \{5, 7, 13\}$ and (20) holds, hence

$$\text{fpr}(x) < 6 \left(\frac{q^3}{q^3 - 1} \right) \left(\frac{q + 1}{q} \right)^3 q^{-14}$$

and the contribution to α is less than q^{-7} .

Bringing together the above bounds, we conclude that

$$\alpha < q^{-1} + q^{-2} + 2q^{-4} + 3q^{-7} + q^{-8}.$$

Next let us consider β . First observe that the \bar{G} -class of each unipotent element in \bar{H} is determined in [29, Section 4.4], which allows us to compute very accurate fixed point ratio estimates (this information is also presented in the proof of [30, Lemma 4.6] when $p = 2$). For now, let us assume p is odd and let $x \in G$ be a unipotent element of order p . As in the proof of [15, Lemma 4.25], the contribution to β from the elements with $\dim x^G \leq 30$ is less than $\sum_{i=2}^5 c_i d_i^5 < 2q^{-2}$, where the c_i and d_i terms are recorded in [15, Table 10]. Now assume $\dim x^G > 30$, in which case the proof of [15, Lemma 4.25] gives $\text{fpr}(x) < 3q^{-10}$. By considering the \bar{G} -class of each unipotent element in H , together with the class sizes in [35, Table 22.2.4], we calculate that there are fewer than q^{42} unipotent elements $x \in G$ with $\text{fpr}(x) > 0$ that are not in the G -class $F_4(a_1)$. Therefore, the contribution from these elements is less than $q^{42}(3q^{-10})^5 \leq q^{-3}$. Finally, if x is in $F_4(a_1)$ then $|x^G| > \frac{1}{4}q^{46}$ and there are fewer than q^{32} such elements in H , hence the contribution from these elements is less than $\frac{1}{4}q^{46}(4q^{-14})^5 < q^{-18}$. We conclude that $\beta < 2q^{-2} + q^{-3} + q^{-18}$ when p is odd.

Now assume $p = 2$, so $q \geq 4$. The \bar{G} -class of each involution in \bar{H} is determined in the proof of [30, Lemma 4.6] and we deduce that the contribution to β from involutions in the classes labelled \tilde{A}_1 , $(\tilde{A}_1)_2$ and $A_1\tilde{A}_1$ is less than

$$\beta < q^{16}(q^{-8})^5 + q^{22}(2q^{-6})^5 + q^{28}(2q^{-8})^5 < q^{-5}.$$

For example, if x is in $(\tilde{A}_1)_2$ then $x^{\bar{G}} \cap \bar{H} = y_1^{\bar{H}} \cup y_2^{\bar{H}}$, where y_1 and y_2 are involutions of type c_2 and a_4 , respectively, in terms of the notation in [2]. This gives

$$|x^G \cap H| = \frac{|\text{Sp}_8(q)|}{|\text{Sp}_4(q)|q^{12}} + \frac{|\text{Sp}_8(q)|}{|\text{Sp}_4(q)|q^{10}} < 2q^{16}, \quad |x^G| = \frac{|F_4(q)|}{q^{20}|\text{Sp}_4(q)|} > q^{22}$$

and thus the contribution from these elements is less than $q^{22}(2q^{-6})^5$. Finally, if $x \in G$ is a long root element then $\text{fpr}(x) = (q^4 - q^2 + 1)^{-1}$ and so the contribution here is

$$(q^4 + 1)(q^{12} - 1) \cdot (q^4 - q^2 + 1)^{-5} < q^{-3}.$$

Therefore, $\beta < q^{-3} + q^{-5}$ when $p = 2$.

Finally, let us consider γ . By arguing as in the proof of [15, Lemma 4.25], we see that the contribution from involutory field and graph-field automorphisms is at most $2q^{26}(q^{-6})^5 = 2q^{-4}$. Similarly, if $x \in G$ is a field automorphism of odd prime order r , then $\text{fpr}(x) < 4q^{-16(1-1/r)}$ and we deduce that

$$\gamma < 2q^{-4} + 4q^{104/3}(4q^{-32/3})^5 + \log_2 q \cdot q^{52}(4q^{-64/5})^5 < q^{-3}.$$

In conclusion,

$$\widehat{Q}(G, 5) < q^{-1} + 3q^{-2} + 2q^{-3} + 2q^{-4} + 3q^{-7} + q^{-8} + q^{-18}$$

and this completes the proof of the lemma. \square

Finally, we handle the case $q = 2$.

Lemma 3.19. *If $G_0 = F_4(2)$, then $b(G) \leq 5$.*

Proof. Here $G = F_4(2)$ or $F_4(2).2$. Note that the character table of G is available in the Atlas [22], and the maximal subgroups of G are determined in [43]. As usual, set $H_0 = H \cap G_0$ and $\widehat{Q}(G, 5) = \alpha + \beta + \gamma$.

First assume $|H_0| \leq 2^{17}$ and let $x \in G$ be an element of prime order. If x is not a long (or short) root element, then $|x^G| > 2^{22}$ and so the contribution to $\widehat{Q}(G, 5)$ from these elements is less than $2^{22}(2^{17}/2^{22})^5 = 2^{-3}$. Now G contains exactly 139230 long and short root elements and [31, Theorem 2] gives $\text{fpr}(x) \leq 1/13$, so the remaining contribution is at most $139230(1/13)^5 < 2^{-1}$ and the result follows. For the remainder, we may assume that $|H_0| > 2^{17}$. The possibilities for H_0 can be read off from [43, Table 1].

First let us consider the case where $G = F_4(2)$ and $H = \text{Sp}_8(2)$. As noted in the proof of [15, Lemma 4.25], the Web Atlas [52] provides a faithful permutation representation of G of degree 69888, corresponding to the action of G on the set of cosets of H . With the aid of MAGMA [8], it is easy to find three elements $x_i \in G$ such that

$$H \cap H^{x_1} \cap H^{x_2} \cap H^{x_3} = 1,$$

which implies that $b(G) \leq 4$. Similarly, by taking the normalizer of a maximal subgroup $O_8^+(2) < \text{Sp}_8(2)$, we quickly deduce that $b(G) = 3$ when $G = F_4(2)$ and $H = \Omega_8^+(2).S_3$. The case $G = F_4(2)$ and $H = {}^3D_4(2).3$ can also be handled using MAGMA. Here we construct ${}^3D_4(2) < G$ by finding a pair of generators u, v such that $|u| = 2$, $|v| = 9$, $|uv| = 13$ and $|uv^2| = 8$ (see the Web Atlas [52]). Then $N_G(\langle u, v \rangle) = {}^3D_4(2).3$ and it is easy to check that $b(G) = 3$.

The cases where $G = F_4(2).2$ and $H = \text{Sp}_4(4).4$ or $(\text{Sp}_4(2) \wr S_2).2$ can be handled in a similar fashion. Here H_0 is a maximal subgroup of $\text{Sp}_8(2) < F_4(2)$, so we can use the previous representation to construct G_0 and H_0 as permutation groups of degree 69888. It is now straightforward to determine the G_0 -class of each prime order element in H_0 , which allows us to calculate α and β precisely. In both cases, we find that $\alpha + \beta < 2^{-32}$. For $H = \text{Sp}_4(4).4$ we have $\gamma = 0$ (there are no involutions in $H \setminus H_0$) and the result follows. Now assume $H = (\text{Sp}_4(2) \wr S_2).2$ and let $x \in G$ be an involutory graph automorphism. Here $|x^G| > 2^{26}$ and thus $\gamma < 2^{26}(|H_0|/2^{26})^5 < 2^{-4}$. We conclude that $\widehat{Q}(G, 5) < 1$, as required.

It is also convenient to use MAGMA when $H_0 = {}^2F_4(2)$, which is the centralizer in G_0 of an involutory graph-field automorphism. First we construct ${}^2F_4(2)' = \langle u, v \rangle$ as a subgroup of G_0 , where $|u| = 2$, $|v| = 3$ and $|uv| = 13$, and we take its normalizer to get ${}^2F_4(2)$. As before, it is now easy to compute α and β precisely, and one checks that $\alpha + \beta < 2^{-33}$. Finally, if $G = F_4(2).2$ and $x \in G$ is an involutory graph-field automorphism, then we may assume that $H = \langle x \rangle \times {}^2F_4(2)$. Therefore, $|x^G \cap H| = 1 + i_2({}^2F_4(2)) = 13456$ and it follows that $\gamma < 2^{-37}$.

To complete the proof of the lemma, we may assume that $H_0 = L_4(3).2$. Let $x \in H$ be an element of prime order r and note that $r \in \{2, 3, 5, 13\}$. If $r = 3$ then $i_r(H) = 82160$ and $|x^G| > 2^{29}$, so the contribution to α from these elements is less than $2^{29}(82160/2^{29})^5 < 2^{-34}$. In fact, by computing $i_5(H)$ and $i_{13}(H)$, we deduce that $\alpha < 2^{-34}$. Now assume $r = 2$. If x is a long (or short) root element then [31, Theorem 2] gives $\text{fpr}(x) \leq 2^{-4}$ and there are fewer than 2^{18} of these elements in G , so the contribution to β is less than $2^{18}(2^{-4})^5 = 2^{-2}$. If $x \in G$ is any other involution, then $|x^G| > 2^{22}$ and we note that $i_2(H) \leq i_2(\text{Aut}(L_4(3))) = 27639$. Therefore, $\beta + \gamma < 2^{-2} + 2^{-14}$ and the result follows. \square

This completes the proof of Theorem 1.

3.5. Proof of Corollary 2. Let $G \leq \text{Sym}(\Omega)$ be a finite almost simple primitive group, with point stabilizer H and socle G_0 , which is a simple exceptional group of Lie type over \mathbb{F}_q . In view of Remark 2.4, we may assume that H is non-parabolic. Moreover, we may assume that $G_0 \in \{E_7(q), E_6^\epsilon(q), F_4(q)\}$ by [15, Theorem 4]. To complete the argument,

we now combine the proofs of [15, Theorem 4] and Theorem 1. In every case, there is an explicit function $f(q)$ such that $\widehat{Q}(G, 5) < f(q)$ and $f(q) \rightarrow 0$ as q tends to infinity. The result follows.

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