Brittle failure in RC masonry infilled frames: the role of infill overstrength

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SUMMARY

The interaction between an infill panel and a reinforced concrete (RC) column can lead to the brittle failure of the structural element. A novel combination of cutting-edge analytical modelling approaches for masonry infills and RC elements is employed to simulate five experimental tests (three infilled and two bare) characterized by brittle failure modes. The infill is modelled with a multi-strut idealisation, and the RC column is modelled using the recently developed PinchingLimitStateMaterial in OpenSees. The effects of the infill type (solid or hollow) and ductility characteristics of the RC elements on the optimal modelling parameters are investigated. The focus of this study is on the assumption of the overstrength ratio between the maximum and cracking strengths of the panel when brittle failure occurs. The preliminary assumption for this parameter is the widely accepted value of 1.3 suggested in the formulation by Panagiotakos and Fardis. This value is found to influence the shear failure simulation. To more accurately predict brittle failure, higher overstrength values of the infill are used in the numerical model to improve the matching between the numerical and experimental tests. These values are then compared with the approximate estimation of the overstrength ratio from a database of 98 experimental tests. The suggested estimation of the overstrength ratio is systematically greater than 1.3 and dependent on the infill type (i.e., 1.44 for hollow and 1.55 for solid infills). The proposed values can have a high impact on future code-compliant recommendations aimed at verifying the likelihood of the occurrence of brittle failure in columns due to their interaction with infill panels.

KEYWORDS: masonry infilled reinforced concrete frame; experimental tests; equivalent strut model; shear failure; infill overstrength.

1. INTRODUCTION

"Infilled frame structural systems have resisted analytical modelling," as reported in the bulletin 231 by the Comité Euro-international du Béton (CEB 1996) and originally stated by Axley and Bertero (1979) in 1979. In the last two decades, the scientific community has made significant progress on both experimental and analytical aspects of this problem. On the other hand, the influence of infill on the seismic behaviour of reinforced concrete (RC) frames is still a focus of the earthquake engineering community, and its relevance has been continuously evidenced by structural damage observations after earthquakes (e.g., Sezen et al. 2003; Decanini et al. 2004; Çelebi et al. 2010; Verderame et al. 2011; Manfredi et al. 2014; De Luca et al. 2017).

Considering the significant increase in the global strength and stiffness of RC frames due to the presence of infill, existing building codes address this issue by introducing design recommendations and simplified formulations to encourage the evaluation of the influence of the panel on the structural performance as common practice (e.g., FEMA 356 2000; EN 1998-1 2004; ASCE/SEI 41-13 2014). In ASCE/SEI 41-13 (2014) and FEMA 356 (2000), considerable attention is paid to the local interaction between the panel and surrounding frame, according to these codes, the required shear strength of the column should be evaluated in terms of the lateral strength of the infill. In Eurocode 8 Part 1 (EN 1998-1 2004), an additional shear demand in the column is prescribed to account for the local interaction with the infill panel. Along the contact length between the infill and column, the shear strength of the column should be considered the minimum value between the lateral strength of the panel and the shear demand determined from the capacity design approach.

Previous studies already evidenced how the failure mode of the frame can be significantly modified due to the presence of the panel (e.g., Pujol and Fick 2010), particularly in the case of existing buildings (e.g., Dolšek and Faţfar 2005; De Luca et al. 2014; Perrone et al. 2017). Although the inter-storey displacements are reduced (Hak et al. 2012; Ricci et al. 2016), the increase in stiffness generally leads to a higher seismic demand on the frame members (Dolšek and Faţfar 2008; Perrone et al. 2016). Furthermore, post-earthquake damage observations highlight how the brittle failure of columns is often caused by the increase in stresses locally transferred from the panel to the column.

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Numerous studies were conducted on different types of infilled frames to provide simplified analytical models to evaluate the forces transferred to the frames, depending on the failure mode of the infill (e.g., Mehrabi et al. 1996; Colangelo 2005; Cavalieri and Di Trapani 2014; Noh et al. 2017). At the onset of damage in the panel, a strut mechanism occurs due to the migration of the stresses to the diagonal zone, and stresses at the interface between the corners of the panel and the frame members increase. Experimental studies on the local interaction between frames and masonry panels showed that, in the case of poor transverse reinforcement of the columns, early shear failure can occur due to the presence of the infill (Al-Chaar et al. 2002; Basha and Kaushik 2016; Verderame et al. 2016).

Based on the experimental observations, different analytical models were derived in the literature to consider the effect of the infill panel on the response of RC frames under lateral loads. Many numerical analyses aimed at simulating the local interaction phenomena through micro- and macro-modelling approaches (e.g., Stavridis and Shing 2010; Jeon et al. 2015; Ning et al. 2017). The equivalent truss macro-model, originally proposed by Polyakov (1960), is one of the most commonly adopted models in numerical and analytical studies. Various configurations of this approach have been proposed in the literature, depending on the number of trusses adopted and their mechanical properties (e.g., Chrysostomou et al. 2002; Varum et al. 2005; Crisafulli and Carr 2005).

Generally, the mechanical behaviour of the equivalent strut is evaluated by considering the properties of both the frame members and infills. The number of trusses adopted in the model highly modifies the local interaction phenomena. It was already determined that multi-truss models are the best macro-modelling option for investigating the local interaction between panels and frames (e.g., Asteris et al. 2011; Verderame et al. 2011; Burton and Deierlein 2013), while single-truss models are generally used in global analyses (Kose 2009; Perrone et al. 2016).

The presence of infills can lead to the brittle failure of frame members, especially in the case of poor seismic details. Thus, an accurate evaluation of the mechanical and geometrical properties of the infills must be conducted to more accurately simulate the actual behaviour of the system and to predict the potential occurrence of the brittle failure.

Analytical models provided for evaluating the nonlinear behaviour of infill are generally characterized by a piecewise linear force-displacement relationship. This relationship is composed of an elastic slope before the panel cracks, followed by a hardening slope and a post-peak softening behaviour, representing the degradation of the panel before failure.

According to experimental results, different analytical formulations are available in the literature to define the strength of the panel for each failure mode considered (Bertoldi and Decanini 1993; Mehrabi et al. 1994; Chrysostomou and Asteris 2012); nevertheless, general formulations (i.e., avoiding the specific identification of the failure mechanism) are often preferred for predictive studies, in which the failure mode of the panel is unknown or not easily predictable (e.g., very similar values of the limit forces are predicted for different failure mechanisms and the determination of the failure mode is highly uncertain).

Herein, a numerical model is developed to simulate the results obtained from experimental tests on different types of infilled frames and to reproduce the failure mode of the frame, focusing on the shear failure of the column. Experimental tests in which brittle failure occurred have been selected from the literature, with the aim of covering different infill types (solid and hollow) and different design approaches for the RC frames (non-ductile and ductile). Five experimental tests are considered (Mehrabi et al. 1996; Basha and Kaushik 2016; Verderame et al. 2016): two bare and three fully infilled. Four of these tests have never been modelled numerically in an effort to match the cyclic behaviour.

A three-strut equivalent model is adopted to simulate the presence of the infills. The mechanical properties are defined according to the widely used and consolidated formulation proposed by Panagiotakos and Fardis (Panagiotakos and Fardis 1996). In the original formulation, the maximum strength developed in the panel is related to the cracking strength through an overstrength ratio that is assumed to be 1.3. The influence of this parameter on the numerical simulation is analysed by adopting various overstrength ratios and comparing the numerical and experimental results. Moreover, to assess the reliability of the proposed approach, a wider study on the overstrength factor is proposed; 98 experimental tests on infilled frames are selected from a previously assembled database (De Luca et al. 2016) to calculate the overstrength factors as metadata through a simplified procedure. This approach allows a comparison of the overstrength factors resulting from the analytical-experimental investigations and those obtained from the database, to assess the optimal values as a function of the infill type and structural design of the RC members. The overstrength factors obtained are systematically greater than 1.3 and equal 1.44 for hollow clay bricks and 1.55 for solid bricks, confirming the trend obtained in the numerical simulations. This result can have applications in the approximate assessment formulations to be implemented in the codes. The different effect of the solid and hollow infills has been well documented in the literature for a long time (CEB 1996), but it is a more recent trend to provide specific
recommendations for solid and hollow infills, as has been done recently for the empirical fragility functions of infills (e.g., Sassun et al. 2016).

2. ANALYTICAL MODELLING OF LOCAL INTERACTION AND BRITTLE FAILURE

The damage observed after recent earthquakes evidenced the considerable influence of infill on the failure mechanism of RC frames. In many cases, the presence of the panel led to the shear failure of the columns, due to the increase in the stresses at the interface between the frame and infill. The mechanical properties of the panel are recognized as important parameters influencing this phenomenon (e.g., Dolšek and Fajfar 2001; Verderame et al. 2011), particularly in the case of lightly shear-reinforced columns (Sezen et al. 2003; Sezen and Moehle 2004), as reported in Figure 1.

![Figure 1. Column shear failure in RC infilled buildings in Italy (a) after the 2012 Emilia earthquake (Parisi et al. 2012) and (b) after the 2016 Central Italy earthquake (De Luca et al. 2017).](image)

2.1 Infill macro-model

Most of the experimental studies performed in the last few decades investigated the response of infilled frames both in terms of their global and local behaviour. The main failure modes that characterize the response of the specimens as soon as the static load increases have been widely analysed in the tests performed by Mehrabi et al. (1996) on 1:2 scaled single-bay, single-storey infilled frames. In the first elastic phase, the panel acts as a monolithic element, and the behaviour is dependent on the interface condition between the panel and surrounding frame. By increasing the lateral load, the first cracks in the infills lead to separation from the frame, and a compression strut mechanism occurs. In the experimental campaign carried out by Mehrabi et al. (1994), four mechanisms were identified in the masonry infilled frames, depending on the mechanical properties of the masonry and on the relative panel-to-frame stiffness. Figure 2 graphically shows the main failure modes identified by Mehrabi et al.: (1) mid-height cracking, (2) diagonal cracking, (3) horizontal slip and (4) corner crushing.

![Figure 2. Failure mechanisms of infills (a) mid-height sliding, (b) diagonal cracking, (c) bed joint sliding, and (d) corner crushing.](image)

The equivalent truss approach can reliably simulate the behaviour of infilled RC frames and investigate the local interaction between the frame and panel. The approach proposed by Bertoldi and Decanini (1993) provides four different formulations to evaluate the compressive strength of the diagonal strut ($\sigma_{bl}$), depending on the expected failure mechanism (diagonal cracking, horizontal sliding, corner crushing or diagonal crushing), mechanical properties of both the brick units and mortar joints and vertical load on the panel. On the other hand, the definition of the failure mode is often challenging, leading, in many cases, to underestimation of the actual strength of the panel (e.g., Uva et al. 2012; Burton and Deierlein 2013; Jeon et
al. 2015). Since the present work is aimed at providing an accurate but simplified method to capture the shear failure of the column, the correct approach should focus on the accurate estimation of the lateral strength of the panel instead of the accurate prediction of the failure mode.

The formulation adopted in the present work, proposed by Panagiotakos and Fardis (1996), is still widely employed in many analytical studies due to its accuracy in matching experimental data from in-plane tests (e.g., Noh et al. 2017), providing more realistic estimations of the response of a panel in terms of strength. The response of the equivalent strut model is defined through a piecewise linear load-displacement behaviour, depending on the mechanical properties of the panel and the surrounding frame. The initial stiffness of the panel \((K_i)\) is calculated by using Equation 1, in which \(G_w\) is the tangent modulus of the infill and \(L_w, t_w\) and \(h_w\) are the length, thickness and height of the panel, respectively.

\[
K_i = \frac{G_w t_w L_w}{h_w}
\]  

(1)

The first cracking strength \(F_w\) is evaluated as the product of the shear strength of the panel \(\tau_{cr}\), obtained from diagonal compression tests according to ASTM E 519-02 (2002), and the cross section \(A_w = L_w t_w\).

Equation 2 is adopted to evaluate the post-cracking hardening stiffness \((K_2)\), depending on the width of the equivalent truss section \((b_w)\), Young’s modulus \((E_w)\), and the diagonal length \((d_w)\) of the panel.

\[
K_2 = \frac{E_w b_w t_w}{d_w}
\]  

(2)

The analytical formulation provided by Stafford Smith and Carter (1969), later introduced in FEMA 306 (1998), is used to evaluate the relative panel-to-frame stiffness (Equation 3).

\[
\lambda = \frac{\sqrt{E_w t_w \sin(2\theta)}}{4E I h_w}
\]  

(3)

In Equation 3, \(\theta\) is the angle of the diagonal dimension of the panel, while \(E \) and \(I\) are the Young’s modulus of the concrete and the moment of inertia of the cross section of the RC frame columns.

Mainstone (1971) provided Equation 4 to evaluate the width of the diagonal zone of the panel where the strut mechanism develops \((b_w)\). This relation was also introduced in FEMA 274 (1997) and FEMA 356 (2000) and then adopted in several studies focusing on the influence of infill on the lateral behaviour of RC frames (e.g., Dolšek and Fajfar 2008).

\[
\frac{b_w}{d_w} = 0.175 \lambda h_w^{-0.4}
\]  

(4)

The peak shear strength at the end of the hardening branch \(F_m\) is equal to 1.3\(F_{cr}\), while the softening slope is evaluated as a proportion of the initial elastic stiffness. The results obtained from the experimental tests on infilled frames showed that the softening stiffness \((K_3)\) was in the range 0.005\(K_i\) ≤ \(K_3\) ≤ 0.1\(K_i\) (Crisafulli 1997).

In the present study, a good match was obtained with all the experimental results assuming a softening stiffness value equal to 0.02\(K_i\).

Several single- and multi-strut macro-models are provided in the literature. Since the adoption of a single truss generally leads to an inaccurate estimation of the shear forces in the columns, these models are mostly used to conduct global analyses (Crisafulli et al. 2005). Multi-truss approaches are preferred to investigate the local interaction, since the total stiffness of the panel is distributed among the trusses (Chrysostomou et al. 2002; Verderame et al. 2011; Burton and Deierlein 2013; Jeon et al. 2015) and a better estimation of the shear in the column can be obtained.
In this study, a finite element model is proposed and developed in OpenSees (McKenna et al. 2000) with the aim of evaluating the response of RC infilled frames under cyclic loading. The infill panel is modelled by adopting the three-strut approach (Figure 3) proposed by Chrysostomou et al. (2002) to more accurately reproduce the local effect due to the frame-infill interaction. The global stiffness of the panel is distributed among the three elements by assigning 50% of the total stiffness to the central truss and 25% to each of the off-diagonal trusses, according to the original model proposed by Chrysostomou (1991) and later employed in different numerical studies (El-Dakhakhni et al. 2003; Verderame et al. 2011).

The location of the off-diagonal trusses is defined by adopting the approach proposed by Al-Chaar (2002), using two non-dimensional parameters ($C_d$ and $C_{od}$) representing portions of the width $b_w$ (Equation 2) assigned to each strut. In Equations 5 and 6, the calculated distances of the columns and beams from the joints are provided. Following the stiffness distribution, the coefficients $C_d$ and $C_{od}$ are equal to 0.50 and 0.25, which are assigned to the central and the off-diagonal struts, respectively (as per Figure 3b).

\[
z_c = \frac{C_d b_w + C_{od} b_w}{2 \cos \theta}
\]

\[
z_b = \frac{C_d b_w + C_{od} b_w}{2 \sin \theta}
\]

Despite the reliability and simplicity of the model proposed by Panagiotakos and Fardis, some authors noted that the formulation to evaluate the peak strength of the infill $F_m$ should be adapted to obtain a better fit to experimental results. In the study conducted by Burton and Deierlein (2013), the results from 14 experimental tests on infilled RC frames were used to determine the equivalent strut parameters, which were compared to the results of existing analytical models. The results obtained suggested that the ratio $F_m/F_{cr}$ ranged from 1.2 to 1.6, with a mean value equal to 1.4. Numerical studies on the local interaction between a frame and infill must consider an ad hoc overstrength of the panel, especially when this feature is crucial to determine the brittle mechanisms of the shear failure of the columns (e.g., in case of poor seismic detailing of the columns).

In the present study, the calibration of parameters defining the force-displacement response of the equivalent strut is proposed, with the aim of providing a numerical model that can predict the shear failure of columns from knowledge of the presence of infill and infill type (i.e., solid or hollow). In most of the experimental studies conducted on infilled frames, shear failure of the columns occurred with panels made of solid bricks (e.g., Mehrabi et al. 1996; Basha and Kaushik 2016) whose higher compressive strength influenced the lateral strength of the infill. According to the formulation provided by the codes (e.g., FEMA 356 2000; EN 1996-3 2006), the parameters influencing the lateral strength of the panel are the compressive strength of the bricks and the shear strength of the mortar bed joints; thus, an accurate evaluation of these properties should be made to characterize the properties of the panel and to more accurately estimate the shear failure of columns due to local interaction. Moreover, the failure mode of the infill influences the stress transferred along the contact zone between the column and panel. According to the existing literature, the shear failure of columns often occurs after diagonal cracking of the panel (Mehrabi et al. 1996; Basha and Kaushik 2016; Verderame et al. 2016), which increases the force transferred at the columns ends.

According to this assessment, the present study focuses on experimental tests in which diagonal cracking of the panel occurs, considering two different types of panels (hollow and solid bricks) and evaluating the influence of the transverse reinforcement of the columns.
In the original model by Panagiotakos and Fardis, the hysteretic behaviour of the panel is defined by the parameters $\alpha$, $\beta$ and $\gamma$ (Figure 4a). In the unloading phase, the stiffness $K_{ui}$ is equal to the elastic stiffness $K_1$, until the force reaches the value $\beta F_m$. At this stage, pinching occurs due to the closure of the cracks, leading to a stiffness reduction until $\beta F_m$ is attained in the opposite direction. The displacement at the end of the pinching phase is defined by shifting the elastic displacement $\beta F_m/K_1$ by the value $\gamma(D_{1} - D_{cr})$, where $D_i$ is the maximum displacement obtained in the considered direction during the loading history. The reloading stiffness $K_{ui}$ is defined by constraining the intersection between the unloading branch (from the point $[F_i; D_i]$) and the reloading branch to be at a force level equal to $F_i(1-\alpha)$. A calibration of the parameters $\alpha$, $\beta$ and $\gamma$ was carried out in the study by Panagiotakos and Fardis (1996), based on the results of experimental tests on infilled RC frames provided in the literature. The best fit of the experimental results was obtained with values of $\alpha=0.15$, $\beta=0.1$ and $\gamma=0.8$.

Figure 4. Comparison between the hysteretic model (a) by Panagiotakos and Fardis and (b) the Pinching4 model available in OpenSees.

In our finite element model of the infill, Pinching4 material is used to define the hysteretic behaviour of the trusses (Figure 4b). Near-zero values for the definition of the tensile backbone curve of the uniaxial material are adopted to obtain compression-only elements. The starting point of the pinching branch, in the Pinching4 material, is determined by the ratio between the strength developed upon unloading and the maximum strength $F_m$ (uForce), while the reloading phase begins at the point $[rForce-F_i; rDisp-D_i]$. The strength and stiffness degradation due to cyclic loading are defined in the model, according to Equation 7, which was provided by Lowes et al. (2003).

$$\delta_{par} = \alpha_{1,par} \cdot \left(\frac{D_i}{D_{collapse}}\right)^{\alpha_{2,par}} + \alpha_{2,par} \cdot \left(\frac{E_i}{E_{mono}}\right)^{\alpha_{4,par}} \leq D_{lim}$$ (7)

In Equation 7, $\delta_{par}$ is the damage index of the considered parameter (i.e., $\delta_{s}$ for stiffness and $\delta_{d}$ for displacement, as shown in Figure 4b), whose maximum value $D_{lim}$ is user-defined. $D_{collapse}$ is the displacement at failure, $E_i$ is the energy dissipated from loading, $E_{mono}$ is the energy of a monotonic pushover to the residual shear strength, and $\alpha_{par}$ are non-dimensional coefficients.

Kumar et al. (2015) proposed a calibration of the parameters of the Pinching4 material to simulate the cyclic behaviour of the panel in RC infilled frames. They employed 35 experimental tests on single-bay, single-story specimens to define the backbone curve, while two different sets of hysteretic parameters (referred to “weak” and “strong” infills, respectively) have been defined using the tests performed by Kakaletsis and Karayannis (2008).

Since uForce and rForce can be equated to $\beta$ in the model by Panagiotakos and Fardis, for both parameters, the value 0.1 was adopted in the present study, assuming $\delta_s = 0$. Referring to the rDisp and $\delta_d$, no correlation could be found with $\gamma$ and $\alpha$; thus, the parameters proposed by Kumar et al. are adopted. Furthermore, since the present study focuses on the calibration of the overstrength ratio $F_m/F_r$, as well as its role on the failure mode of the column, no cyclic strength degradation was assumed for the infills. This approach allows to obtain
the same results in terms of the lateral strength whether the load application is cyclic or monotonic, according to the modelling approaches adopted in previous studies (Jeon et al. 2015; Burton and Deierlein 2013).

2.2 RC frame model

The brittle mechanism characterized by shear failure of the columns is often due to the presence of infills and significantly affects the structural performance of RC buildings. Based on a database of 51 laboratory tests, Sezen and Moehle (2004) provided a formulation to evaluate the shear capacity of lightly reinforced columns (Equation 8); this formulation was subsequently implemented in ASCE/SEI 41 (2014).

To define the behaviour of columns characterizing buildings with poor seismic details, the database was composed of tests on columns satisfying different selection criteria; specifically, the mechanical transverse reinforcement index \(\rho'' = f_y / f_c\) is in the range 0.01 < \(\rho''\) < 0.12.

In Equation 8, \(k\) is a ductility factor varying within the range [0.7,1], while \(A_n\), \(f_y\), and \(s\) are the cross section, yielding strength and spacing of the transversal reinforcement, respectively. Additionally, \(A_g\) and \(d\) are the cross section and effective depth of the column, \(f_c\) is the compressive strength of the concrete, and \(N_{ed}\) is the axial load.

\[
V_n = k \frac{A_t f_y t d}{s} + k \left( \frac{0.5}{\sqrt{f_c}} \right) \left( 1 + \frac{N_{ed}}{0.5} \right) A_g 0.8 \frac{A_g}{A_g}
\]  

(8)

After the shear strength is attained, a gradual reduction of the axial load capacity of the column is observed; thus, the post-peak softening behaviour characterizing the load-displacement response of the column can be defined with a displacement capacity approach. 50 of the 51 tests selected by Sezen and Moehle were considered by Elwood and Moehle (2005) to provide a formulation to evaluate the drift at shear failure \(\Delta_s/L\) and axial failure \(\Delta_a/L\). An alternative formulation was introduced by Zhu et al. (2007), based on a database of 125 experimental tests. Their formulation was defined by adopting the same criteria followed by Elwood and Moehle (2005) and by considering a wider range of geometrical transverse reinforcement ratios (0.0006 < \(\rho''\) < 0.022). Zhu et al. provided a probabilistic approach to evaluate the drift at shear and axial failure; the drift is calculated from non-dimensional parameters with normal and log-normal probability density functions. A summary of the formulations proposed by Elwood and Moehle and Zhu et al. for the shear and axial failure drift capacities are reported in Table 1. In these formulations, \(\nu\) is the nominal shear stress, \(L\) is the height of the column, and \(\theta_c\) is the critical crack angle, which is assumed to be 65°.

Table 1. Formulations by Elwood and Moehle and Zhu et al. to evaluate drift at shear and axial failure.

<table>
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<tr>
<td>(\Delta_s/L)</td>
<td>0.3 + (4\rho'' - \frac{1}{40} \frac{N_{ed}}{A_g f_c} \geq 0) (\frac{1}{100})</td>
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<tr>
<td>(\Delta_a/L)</td>
<td>(4 \frac{1}{1 + (\tan \theta_c)^2})</td>
</tr>
<tr>
<td>(\frac{1}{100} \tan \theta_c + \frac{N_{ed}}{A_g f_y d_c \tan \theta_c} )</td>
<td>(0.184 \exp \left( -1.45 \frac{N_{ed}}{A_g f_y d_c \tan \theta_c} + \tan \theta_c \right) )</td>
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<tr>
<td>(0.202 \rho'' - 0.025 \frac{s}{d} + 0.013 \frac{a}{d} - 0.031 \frac{N_{ed}}{A_g f_c} )</td>
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In our model, the shear behaviour of the columns is defined by using zero-length elements composed of three springs connected in series, placed at the top and bottom of the columns (Figure 5). The first flexural spring behaves linear elastically and is calibrated according to the formulation proposed by Elwood and Eberhard (2010), to consider the bar slip influence on the flexural stiffness of the column.

To simulate the behaviour of columns characterized by shear failure due to lateral loading, Leborgne and Ghannoum (2014) proposed a finite element model (Pinching Limit State Material) developed in OpenSees (McKenna et al. 2000); the shear failure is controlled through displacement- and force-dependent parameters that can be user-defined or evaluated automatically on the basis of the properties of the columns.

The Pinching Limit State Material model (Leborgne and Ghannoum 2014), in its user-defined option, is adopted in our finite element model to monitor shear in the column and to define the hysteretic response of the shear springs after failure. The behaviour of the shear spring is characterized by a linear elastic slope \(K_{cross}\) until the shear strength of the column is reached and is evaluated with Equation 8. According to the approach proposed by Elwood (2004), the softening stiffness of the column \(K_{degr}\), after the shear strength is reached, is calculated from the equation \(K_{degr} = V_n / (\Delta s^2)\). This formulation is obtained from the assumption that once
the displacement at axial failure $\Delta_a$ is achieved, the shear strength of the column drops to zero, as reported by Nakamura and Yoshimura (2002). The axial springs are defined by adopting the Axial Limit State material (Elwood 2004). According to Reza and Kakavand (2009), the elastic stiffness of the spring $K_{\text{Axial}}$ is evaluated as $EA_g/L$, while the softening stiffness of the column after axial failure ($K_{\text{deg,a}}$) is defined as $-0.02EA_g/L$.

To consider the influence of the axial load on the response of the frame, a fibre-based distributed plasticity approach is adopted to model the beams and columns. Concrete02 and SteelMPF materials are used for the concrete and reinforcing steel; alternatively, equivalent results are obtained by adopting Hysteretic material for the reinforcing steel, as done in previous studies (Jeon et al. 2015; Reza and Kakavand 2009). Analytical formulations proposed by Mander et al. (1988) are used to define the stress-strain relationships of the confined and unconfined concretes, while the steel behaviour are modified to consider the cyclic compressive strength degradation due to buckling, according to Dhakal and Maekawa (2002).

In addition to the force-based approach, the model proposed by Leborgne and Ghannoum (2014) also includes a displacement-based shear detection, which is performed by defining a chord rotation limit (i.e., $\text{rotLim}$ parameter in the model). Leborgne and Ghannoum identified the main parameters influencing the rotational capacity of columns characterized by shear failure after flexural yielding based on experimental results for the evaluation of the rotation across the plastic hinge at shear failure.

Figure 5. Description of the finite element model adopted for the RC frame.

Herein, a preliminary study of the influence of the assumed $\text{rotLim}$ value is conducted on the experimental test by Sezen and Moehle (2004). Zhu et al. (2007) compared the empirical $\Delta_s$ with the measured $\Delta_{s,\text{test}}$ from the tests. They showed that the rate $\Delta_{s,\text{test}}/\Delta_s$ has a mean value equal to 1.03 and a coefficient of variation (COV) equal to 0.35. Considering a normal distribution, the 15th, 50th, and 85th percentiles (i.e., $\Delta_{s,15} = 0.016$, $\Delta_{s,50} = 0.027$ and $\Delta_{s,85} = 0.038$) are considered for $\Delta_s$ in the simulation of the experimental test conducted by Sezen and Moehle (2004).

For $\Delta_{s,15}$, the corresponding shear strength in the column $V(\Delta_{s,15})$ is lower than $V_n$, thus, an underestimation of response in terms of drift capacity and brittle failure prediction occurs earlier with respect to the test, as reported in Figure 6a. On the other hand, for $\Delta_{s,50}$ and $\Delta_{s,85}$, $V(\Delta_{s,50})$ and $V(\Delta_{s,85})$ are higher than $V_n$. Shear failure is predicted due to the attainment of the maximum strength; additionally, even if the response of the element is underestimated in terms of drift capacity, the simulation more closely matches the experimental results (Figure 6b).

It is worth noting that the value of the rotation limit resulting from Equation 9 by Leborgne and Ghannoum (i.e., $\text{rotLim} = 0.014$) is lower than both the median obtained value from the formulation provided by Zhu et al. (rotLim = 0.027) and the value obtained by Elwood and Moehle (2005) (rotLim = 0.024).

The intended application of the model proposed herein is to analytically identify the cases of shear failure induced by local interaction with the infill. A shear failure caused by drift does not highlight the difference between infilled and bare frames and provides less-useful results for the simplified code-oriented evaluations of local infill demand on the column. Based on the above considerations, the value of $\Delta_{s,50}$ obtained by using...
the approach of Zhu et al. is the most suitable option for rotLim in the model developed in this study and is consistently adopted in section 3.

![Figure 6](image.png)

**Figure 6.** Effect of rotLim on shear failure detection from monotonic (dotted line) and cyclic (solid line) responses for (a) rotLim=$\Delta s_{15}$ and (b) rotLim = $\Delta s_{50}$ and $\Delta s_{85}$ (i.e., same response, controlled by $V_n$).

### 3. MODEL VALIDATION AGAINST EXPERIMENTAL TESTS

The numerical model developed herein is calibrated by using the experimental tests described in Table 2. Two types of bare frames are considered to assess the reliability of the RC model, both in the case of ductile (BD) and non-ductile (BN) frames. To evaluate the infilled frames, three tests were selected: one non-ductile frame with a hollow brick panel (HN), one ductile frame with a solid brick panel (SD) and one non-ductile frame with a solid brick panel (SN). In the literature, no experimental tests with brittle failure of a ductile frame with hollow bricks were found. This is expected, considering the lower effect of hollow bricks and the higher shear performance of ductile frames. However, while the cyclic response of test SN has been simulated in many studies (e.g., Jeon et al. 2015), tests BN, HN, BD and SD have never been compared with cyclic numerical simulations.

<table>
<thead>
<tr>
<th>Authors</th>
<th>ID</th>
<th>$f_c$ [MPa]</th>
<th>scale</th>
<th>$s$ [mm]</th>
<th>Brick type</th>
<th>$t_w$ [MPa]</th>
<th>$t_w$ [mm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Verderame et al. 2016</td>
<td>BN</td>
<td>21.6</td>
<td>1:2</td>
<td>150</td>
<td>Bare</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Verderame et al. 2016</td>
<td>HN</td>
<td>22.7</td>
<td>1:2</td>
<td>150.0</td>
<td>Hollow Clay</td>
<td>0.36</td>
<td>80.0</td>
</tr>
<tr>
<td>Basha et al. 2016</td>
<td>BD</td>
<td>22.4</td>
<td>1:2</td>
<td>90.0</td>
<td>Bare</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Basha et al. 2016</td>
<td>SD</td>
<td>22.4</td>
<td>1:2</td>
<td>90.0</td>
<td>Fly Ash Solid</td>
<td>0.14</td>
<td>110.0</td>
</tr>
<tr>
<td>Mehrabi et al. 1996</td>
<td>SN</td>
<td>26.8</td>
<td>1:2</td>
<td>63.5</td>
<td>Solid Clay</td>
<td>0.34</td>
<td>92.0</td>
</tr>
</tbody>
</table>

**Table 2.** Details of the experimental tests selected for the model calibration.

### 3.1 Shear failure in non-ductile and ductile RC bare models (BN and BD)

The gravity-load designed frame tested by Verderame et al. (Verderame et al. 2016), BN, was characterized by poor transverse reinforcement of columns to reproduce the behaviour of existing Italian buildings. The axial load during the quasi-static cyclic tests was equal to 10% of the capacity of the columns to simulate the presence of higher storeys in a five-storey building.

According to Verderame et al. (2016), the response of the frame under cyclic loading was characterized by first cracking at the beam ends, followed by diagonal cracking of the joints and flexural cracking of the columns. After the maximum strength was reached, a softening slope was observed in the hysteretic response envelope of the specimen due to major diagonal cracking in the beam-column joint, with shear failure of the joint occurring at the end of the test.

A comparison between the experimental results and the numerical simulation results is shown in Figures 7a and 7b. Failure in the finite element model was detected when the chord rotation limit was reached; the shear strength in the columns was lower than the shear strength capacity $V_n$. In Figure 7a, rotLim was assumed to be 0.036 (i.e., $\Delta s_{50}/L$), which led to early shear failure of the column and an inaccurate simulation of the experimental backbone after this point. The relative difference between the energy dissipated in the numerical model ($E_{\text{num}}$) and in the experimental test ($E_{\text{exp}}$) was also calculated as $\Delta E = (E_{\text{num}}-E_{\text{exp}})/E_{\text{exp}}$. By assuming rotLim = $\Delta s_{50}/L$, $\Delta E$ was calculated as -21%, while a closer match was obtained by increasing rotLim to $\Delta s_{80}/L$ ($\Delta E = +6%$), as reported in Figure 7b.
A potential explanation of the mismatch between the test and simulation results in Figure 7a (i.e., adopting $\Delta_{50}$ as rotLim) could be the different contribution in terms of deformability in the experimental test due to first cracking of the beam and the brittle shear failure of the joint. On the other hand, in the proposed model, the deformability of the beam-column joint is neglected, leading to higher chord rotation demand in the column for the attainment of the failure, compared to that identified in the experimental test; this difference was observed in other numerical simulations of non-ductile RC elements (e.g., Ghobarah and Biddha 1999; Youssef and Ghobarah 2001; Celik and Ellingwood 2008; Favvata et al. 2008; De Risi et al. 2017).

Despite the value of $\Delta_s$ adopted in the numerical model influences the results referred to the bare frame configuration, in the infilled model proposed in section 2.2, the shear failure of the column due to the local interaction with the infill is always obtained for drift levels substantially lower than $\Delta_{50}/L$, thus, the frame response after this value does not affect the numerical results. Furthermore, the numerical modelling of the joint response is crucial to avoid the failure occurring in the beam rather than in the joint (Favvata et al. 2008), while it is not as necessary when the focus is the brittle failure of the column due to local interaction. The inclusion of the joint for numerical modelling of infilled RC frames is an open challenge in the field and beyond the scope of this study, and ad hoc experimental results would be needed for calibration of the infill panel-joint interaction.

The bare specimen tested by Basha and Kaushik (2012; 2016), BD, was designed according to Indian standards for the highest seismic hazard zone. Confining reinforcement was increased in the critical zones of the beams and columns, adopting 90 mm spaced stirrups with 135° hooks. The vertical load was applied by placing concrete slabs on the beam to obtain an axial load equal to 1% of the capacity on each column. According Basha and Kaushik, the response to lateral loading of the frame was characterized by the formation of flexural cracks at the top of the columns, followed by minor shear crack development when drift increased. Finally, flexural failure of the columns was observed. The value of rotLim in this case is assumed to be 0.065 (i.e., $\Delta_{50}/L$).

The comparison between the numerical simulation and experimental results is provided in Figure 7c. The initial stiffness as well as the softening slope after the peak strength are accurately simulated by the numerical model; despite this fair agreement, the hysteretic loop comparison shows a difference, leading to a higher energy dissipation in the numerical model than in the experimental test (+26%). Shear failure is not detected in the numerical model (in compliance with the test observations), and the softening behaviour is due to the strength degradation of the concrete after the peak compressive strength and the cyclic compressive strength degradation of the longitudinal bars. This example effectively shows how the implemented model does not predict brittle failure in cases in which brittle failure does not occur.

3.2 Shear failure caused by hollow clay bricks (HN)

The infilled frame tested by Verderame et al. (2016), HN, is characterized by a masonry panel composed of hollow clay bricks, mostly used in the Mediterranean regions, with compressive strength equal to 4.88 and 3.19 MPa in the directions parallel and perpendicular to the holes, respectively. The diagonal tests conducted on the masonry determined a shear strength $\tau_s$ of 0.36 MPa. According to Verderame et al., the failure mode of the specimen was initially characterized by separation between the panel and the surrounding frame, followed by diagonal cracking of the infill and initial shear cracking at the top of the columns, corresponding to a drift equal to 0.50%. The post-peak behaviour of the specimen was characterized by increasing damage to the infill, and the test ended with brittle failure of the columns due to the widening of the shear cracks.

Referring to the results obtained from the numerical simulation, a significant reduction of lateral strength with respect to the experimental test is obtained in case of $F_{cr}/F_{cr} = 1.3$ (Figure 8a), and the shear failure of the columns is not captured since the peak base shear of the test is not well-matched.
Figure 8. Numerical simulation of the test HN adopting (a) $F_m/F_{cr} = 1.3$ and (b) a three-strut model with $F_m/F_{cr} = 1.50$ (i.e., best experimental-numerical matching).

The best numerical-experimental matching is obtained by increasing the value of $F_m$ up to $1.50F_{cr}$ (Figure 8b); shear failure of the column is obtained for the attainment of shear capacity at a drift value equal to 0.67% and a good correlation in terms of lateral strength and softening slope is observed. A calibration of the hysteretic parameters of the Pinching4 material was also conducted, to obtain a better match in terms of energy dissipation. $r$Force, $r$Disp and $u$Force were equal to 0.4, 0.3 and -0.15, respectively, while the reloading stiffness degradation limit was reduced to 0.2 (instead of the value 0.5 proposed by Kumar et al. (2015)). In this case, $\Delta E$ is +12%; emphasizing a very satisfactory capability of the model to capture the cyclic behaviour. The value of $\text{rotLim}$ in this case (i.e., $\Delta_{d50}/L$) is 0.047.

3.3 Shear failure caused by solid bricks (SD and SN)

The infilled frame tested by Basha and Kaushik (2012; 2016) had full-scale solid fly ash bricks with compressive strength equal to 5.7 MPa; the diagonal tests performed to define mechanical properties of the masonry showed a shear strength $t_w$ equal to 0.14 MPa and elastic modulus $E_w$ equal to 2700 MPa. According to Basha and Kaushik, the failure mode of the specimen was characterized by initial bed joint sliding of the infill at a drift level equal to 0.31%. By increasing the drift, diagonal cracks developed in the infills starting from the column ends. In the frame, flexural and shear cracks developed almost for the same value of drift (0.77%). The test was concluded at a drift equal to 4.62%, when shear cracks increased and spalling of concrete with subsequent buckling of longitudinal bars was observed.

Figure 9a shows a comparison between the numerical results obtained with the parameters proposed by Panagiotakos and Fardis for the evaluation of $F_m$ and the experimental results. Considering a $F_m/F_{cr}$ ratio equal to 1.3, the maximum lateral strength of the specimen is underestimated; moreover, in this case, the lower shear transferred to the column does not lead to shear failure of the columns. By increasing the $F_m/F_{cr}$ ratio to 1.60 (Figure 9b), a closer match of the strength can be observed, and the shear failure of the columns is detected at a drift ratio of 1.35%. Referring to the three-strut model with $F_m/F_{cr}$ equal to 1.60, the pinching effect is more significant due to the shear failure of the columns, more closely matching the experimental data. The original parameters adopted for $r$Force, $r$Disp and $u$Force were modified to 0.1, 0.8 and -0.15, respectively,
deducing the reloading stiffness degradation limit to 0.2 (same as section 3.2). Adopting these values, ΔE is equal to -3%. In this case, the value of rotLim was 0.065 (i.e., Δ50/L).

The infilled frame analysed by Mehrabi et al. (1996) is characterized by columns with low shear reinforcement, realized by adopting stirrups spaced 63 mm apart with 90° hooks; the masonry infill was composed of solid concrete bricks with a compressive strength of 13.85 MPa. The shear strength τw of the panel was equal to 0.35 MPa, and the elastic modulus Ew was 9165 MPa. The vertical load was applied both to the columns and beams, to obtain an axial load on each column equal to 35% of the axial load capacity.

According to Mehrabi et al., the failure mechanism was first characterized by diagonal cracking of the infill at a drift ratio of 0.33%; after the maximum lateral strength was reached, shear cracking of the column was observed, corresponding to a drift ratio of 1.32%. The test terminated at a drift ratio of 2.7%, after the development of shear cracking and ultimately crushing of the concrete in the columns.

Even in this case, the adoption of the ratio 1.3 between Fm and Fcr proposed by Panagiotakos and Fardis leads to a slight underestimation of the maximum lateral strength, as shown in Figure 10a. Although the numerical model matches the experimental results well during the first stage of the hysteretic response, the shear failure of the column is not obtained, and the softening slope is slightly higher in the numerical model.

For test SN, the best numerical-experimental matching is obtained by increasing the ratio Fm/Fcr to 1.45 (Figure 10b); the higher maximum strength of the infills leads to shear failure of the columns at a drift ratio of 1.23%, followed by a noticeable softening slope, which more accurately simulates the post-peak behaviour of the experimental test. The values of rForce, rDisp and uForce adopted to obtain the best match in terms of energy dissipation were equal to 0.4, 0.3 and -0.15 (as in test HN), reducing the stiffness degradation limit to 0.2 (as in all infilled tests considered in this study). In this case, the value of ΔE is +9%; the cause of this mismatch is the significant asymmetry of the hysteretic loops in the experimental test (Figure 10b). The value of rotLim assumed in this case is 0.048 (i.e., Δ50/L).

![Figure 10](image.png)

**Figure 10.** Numerical simulation of test SN adopting (a) Fm/Fcr = 1.3 and (b) a three-strut model with Fm/Fcr = 1.45 (i.e., best experimental-numerical matching).

Despite the modification of the hysteretic parameters of the *Pinching4* material with respect to the values proposed by Panagiotakos and Fardis and Kumar et al., no influence of these parameters on the column shear failure detection is observed for all the numerical simulation performed; the proposed values have been calibrated only to more accurately reproduce the hysteretic loops obtained from the experimental tests, and, consequently, the dissipated energy.

### 4. OVERSTRENGTH FACTORS AND SHEAR STRENGTH OF THE INFILL

The numerical simulations of the tests considered show a high sensitivity of the results to the ratio Fm/Fcr adopted for the definition of the force-displacement behaviour of the equivalent truss. An accurate matching of the peak response determines whether the brittle failure is identified. For each test considered, the original factor of 1.3, proposed by Panagiotakos and Fardis, is increased to obtain the best matching with the experimental results and to simulate the failure mode of the frame (i.e., shear failure). For all the tests considered in section 3, the brittle failure of the columns is not obtained by adopting the standard value of the overstrength; the correspondence between the experimental and numerical backbone curve envelope requires a higher value of Fm/Fcr (1.45-1.60, with an average of 1.51).

Based on the numerical simulations in section 3, an extended study is conducted on the overstrength factor, analysing the results obtained from a database of 98 experimental tests on masonry infilled RC frames, reported in Table 3 and selected from the database compiled by De Luca et al. (2016). The vast majority of the 98 tests considered included specimens in which no brittle failure in the column is observed (due to the
According to Eurocode 8 Part 3 (EN 1998-1-1), the corresponding ultimate displacement is evaluated at the chord rotation \( V_u \) and the height of the column, respectively. The displacement at yielding \( D_y \) is obtained by multiplying the height of the column \( L \) and the chord rotation \( \theta_y \), evaluated according to Eurocode 8 Part 3 (EN 1998-3). The ultimate lateral strength \( V_u \) is equal to \( 4 \cdot M_y/L \), where \( M_y \) and \( L \) are the yielding moment and the height of the column, respectively. The displacement at yielding \( D_y \) is obtained by multiplying the height of the column \( L \) and the chord rotation \( \theta_y \), evaluated according to Eurocode 8 Part 3 (EN 1998-3). The ultimate lateral strength \( V_u \) is equal to \( 4 \cdot M_y/L \), where \( M_y \) is the ultimate moment evaluated from a fibre analysis of the section, while \( L \) is the height of the column.

The lateral response of the frame is defined considering a bilinear hardening model. The lateral strength at the yielding point is defined as \( V_u \), while the type of bricks adopted is considered to define the panel category as “solid” or “hollow”.

The main mechanical and geometrical properties of the specimens characterizing the database range between the following values: 8.6 MPa ≤f\( _c \) ≤54.6 MPa; 0.0019 ≤ρ ≤0.02; 0.08 MPa ≤f\( _r \) ≤1.07 MPa; 540 MPa ≤E\( _c \) ≤9528 MPa; 559 mm ≤h\( _w \) ≤2750 mm; 991 mm ≤L ≤4200 mm; 37.5 mm ≤f\( _w \) ≤300 mm.

Seven specimens featured central openings in the panel, while none of the tests were performed on infilled frames with eccentric openings. For most of the specimens, standard mortar joints were used to connect the panel to the frame; in 12 of the non-ductile frames with solid bricks specimens, steel plates were placed at the infill-frame interface and in one specimen of the non-ductile frames with solid bricks the connection was realized with dowel rebars.

De Luca et al. (2016) provided a piecewise linear fit of the positive and negative envelope of each test by assuming the optimization algorithm provided by De Luca et al. (2013). The fits obtained provided the global response of the frame and infill panel. Aimed at identifying the values of \( F_{cr} \) and \( F_m \) for the sole panel, the response of the frame is identified analytically and subtracted from the global piecewise linear fit. This approach does not depend on the assumption of the number of trusses employed to model the infill and is considered suitable to verify the trends in the overstrength observed from the numerical-experimental matching.

Referring to the presence of openings, several formulations are available in literature to modify the width of the equivalent strut depending on the presence of openings (Al-Chaar 2002; Furtado et al. 2018), resulting in a homothetic reduction to the main backbone. Consequently, this parameter does not influence the overstrength ratio. On the other hand, in case of eccentric openings, the stress distribution in the infill can significantly change (Kakaletsis and Karayannis 2007; Anić et al. 2017) and different modeling approaches are generally adopted to simulate the presence of the infill (FEMA 356 2000). For this reason, the results obtained from the present study do not cover the case of infilled frames with eccentric openings; further investigations need to be conducted, considering a wider database.

The lateral response of the frame is defined considering a bilinear hardening model. The lateral strength at the yielding point is defined as \( V_u = 4 \cdot M_y/L \), where \( M_y \) and \( L \) are the yielding moment and the height of the column, respectively. The displacement at yielding \( D_y \) is obtained by multiplying the height of the column \( L \) and the chord rotation \( \theta_y \), evaluated according to Eurocode 8 Part 3 (EN 1998-3). The ultimate lateral strength \( V_u \) is equal to \( 4 \cdot M_y/L \), where \( M_y \) is the ultimate moment evaluated from a fibre analysis of the section, while the corresponding ultimate displacement is evaluated as \( D_u = L \cdot \theta_u \), where \( \theta_u \) is the ultimate rotation, evaluated according to Eurocode 8 Part 3 (EN 1998-3).

### Table 3. Database of infilled frame tests.

<table>
<thead>
<tr>
<th>Author</th>
<th>n. of tests</th>
<th>Frame typ.</th>
<th>Masonry bricks typ.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kakaletsis et al. (2011)</td>
<td>12</td>
<td>Ductile</td>
<td>Hollow</td>
</tr>
<tr>
<td>Haris and Hortobágyi (2012)</td>
<td>11</td>
<td>Non-Ductile</td>
<td>Solid</td>
</tr>
<tr>
<td>Mehrabi et al. (1996)</td>
<td>13</td>
<td>Ductile (2) and Non-Ductile (10)</td>
<td>Hollow (7) and Solid (5)</td>
</tr>
<tr>
<td>Crisafulli et al. (2005)</td>
<td>2</td>
<td>Ductile (1) and Non-Ductile (1)</td>
<td>Solid</td>
</tr>
<tr>
<td>Colangelo (2003)</td>
<td>6</td>
<td>Ductile (4) and Non-Ductile (2)</td>
<td>Hollow</td>
</tr>
<tr>
<td>Colangelo (1996)</td>
<td>1</td>
<td>Ductile</td>
<td>Hollow</td>
</tr>
<tr>
<td>Colangelo (2005)</td>
<td>5</td>
<td>Non-Ductile</td>
<td>Hollow</td>
</tr>
<tr>
<td>Al-Chaar et al. (2002)</td>
<td>4</td>
<td>Non-Ductile</td>
<td>Hollow</td>
</tr>
<tr>
<td>Baran and Sevil (2010)</td>
<td>8</td>
<td>Non-Ductile</td>
<td>Hollow</td>
</tr>
<tr>
<td>Calvi and Bolognini (2001)</td>
<td>7</td>
<td>Ductile</td>
<td>Hollow</td>
</tr>
<tr>
<td>Al-Nimry (2014)</td>
<td>5</td>
<td>Non-Ductile</td>
<td>Solid</td>
</tr>
<tr>
<td>Di Trapani (2014)</td>
<td>12</td>
<td>Non-Ductile</td>
<td>Hollow (8) and Solid (4)</td>
</tr>
<tr>
<td>Basha and Kaushik (2016)</td>
<td>6</td>
<td>Ductile (2) and Non-Ductile (3)</td>
<td>Solid</td>
</tr>
<tr>
<td>Zovkić et al. (2013)</td>
<td>3</td>
<td>Ductile</td>
<td>Hollow</td>
</tr>
<tr>
<td>Piries and Carvalho (1992)</td>
<td>3</td>
<td>Ductile</td>
<td>Hollow</td>
</tr>
<tr>
<td>Skafida et al. (2014)</td>
<td>1</td>
<td>Non-Ductile</td>
<td>Hollow</td>
</tr>
</tbody>
</table>
The peak strength of the global backbone $F_m$ is obtained before the failure (either flexural or shear) of the frame; thus, the simple bilinear model adopted is considered suitable for evaluating the overstrength ratio of the sole panel.

![Diagram](image)

**Figure 11.** Evaluation of the panel-only backbone curve.

As shown in Figure 11, the strength at cracking $F_{m,cr}$ and the maximum strength $F_{m,m}$, characterizing the lateral response of the infill panel (herein referred to as the panel-only behaviour) are obtained by subtracting to the global strengths $F_c$ and $F_m$ from the lateral strength of the frame at the displacements $D_c$ and $D_m$. Referring to the global piecewise linear fit (i.e., the green line in Figure 11), the 98 values of overstrength are evaluated, and 84 usable values are obtained for the panel-only behaviour according to the procedure shown in Figure 11; in some cases, the evaluation of the overstrength of the single panel leads to non-convex shapes that are excluded considering that the subtracting procedure is unreliable for these tests.

To remove outliers, the data outside the range $(Q_1-(Q_3-Q_1), Q_3+(Q_3-Q_1))$ are excluded, where $Q_1$ and $Q_3$ are the first and third quartiles of the sample, respectively. This final filtering resulted in 80 usable $F_m/F_c$ ratios. The 80 values of $F_m/F_c$ for the panel are fitted according to a truncated log-normal distribution (Figure 12), considering 1.0 as the lower truncation limit. The basis for the truncation is the fact that the ratio between peak strength and the cracking strength of the infill has a physical limit to one as per definition of $F_m$ and $F_c$. The median is 1.42, and standard deviation of the logarithm ($\beta$) is 0.20. This median value is higher than the results of the model by Panagiotakos and Fardis, confirming the observations of the numerical results, both for ductile and non-ductile frame as well as solid and hollow bricks. The median value obtained in this work is in line with the results by Burton and Deierlein (2013), who obtained a mean of 1.4 with a COV of 0.09. The overstrength distribution obtained emphasized that adapting the overstrength value of ±0.1 is significant given the $\beta$ of the distribution. By comparing the probability density functions obtained for the non-ductile frames with hollow and solid bricks (Figure 13), a noticeable difference in terms of the median value of the ratio $F_m/F_c$ is observed between the two distributions.

![Diagram](image)

**Figure 12.** Log-normal distribution of the overstrength factors referring to the panel-only backbone.
Figure 13. Probability density function of $F_m/F_{cr}$ for non-ductile frames with hollow (red) and solid (blue) infills referring to panel-only backbone.

In both cases, the distributions of $F_m/F_{cr}$ have higher mean values than the original model by Panagiotakos and Fardis. A total of 29 data points represents the case of non-ductile frames with hollow bricks (i.e., HN sample); these data have a median of 1.44, and $\beta = 0.18$. Additionally, 24 data points refer to the case of non-ductile frames with solid bricks (i.e., SN sample); for these data, the median is 1.55, and $\beta = 0.25$ (Figure 13). The case of ductile frames with solid bricks (i.e., SD sample) had only one value, 1.94; therefore, no distribution is available for this case.

Finally, a comparison between the probability density function obtained for the global system (infill + frame) and for the panel is provided in Figure 14. Referring to the global backbone curve, higher values of overstrength are generally obtained, with a mean value equal to 1.55, and $\beta = 0.20$. This overstrength value is expected to be higher because it also includes the RC frame contribution that, in many of the cases, is still in the increasing pre-yielding phase. For consistency, the same probability density function is adopted in the global backbone. It is worth noting that peak strength and cracking strength in panel-only and global do not necessarily correspond to the same loading step in the force-displacement envelope.

Figure 14. Comparison between the overstrength probability density function considering the global and panel-only backbone.

The results obtained through the metadata of the tests considered in the database are in accordance with the conclusions made in section 3, where for each test selected, the overstrength rate was increased to obtain the best matching with the experimental results and to capture the brittle failure. According to the results obtained in this section, the median value of the overstrength obtained for the solid bricks is 1.55, which is close to 1.51. The value 1.51 is the average of 1.45 and 1.60, which are the overstrength employed in section 3 to match the two solid tests SD and SN (i.e., Basha and Kaushik 2016 and Mehrabi et al. 1996), respectively. The test SD is compared with the SN sample distribution, given the lack of data in the SD sample, considering that the type of infill (solid or hollow) is more influential on the evaluation of the overstrength factor. The values of 1.45 and 1.60, obtained in the numerical modelling in section 3, are the 39% and 55% percentiles of the SN distribution.
5. CONCLUSIONS

The analysis of the local interaction between RC frames and masonry infills requires an accurate evaluation of the properties of both the panel and the frame members, through the adoption of models sufficiently accurate for simulating the complex nonlinear behaviour of the RC-infill system. A novel combination of the consolidated three-strut macro-model for the panel with the recently developed Pinching Limit State Material for the RC members was adapted and proposed herein for analytically capturing the brittle failure of columns due to local interaction. Experimental tests that exhibit brittle failure of a column were selected from the literature, considering specimens with hollow or solid infills and non-ductile or ductile RC frames. Two bare experimental tests (for the validation of the RC model) and three infilled tests were simulated through the novel modelling approach proposed. The cyclic behaviour of four of the five tests considered was numerically modelled for the first time. The numerical-experimental matching was optimized by adjusting the overstrength factor of the piecewise linear backbone of the infills (i.e., the ratio between the peak and cracking shear strength of the infill panel) and the hysteretic parameters of the infill model. The starting value was the well-consolidated and widely employed assumption of 1.3 suggested by Panagiotakos and Fardis. Aimed at capturing the brittle failure of the column, the optimal overstrength rate was increased, assuming values of 1.55, 1.45 and 1.60 for the hollow non-ductile, the solid non-ductile and the solid ductile tests, respectively. The trend of a higher overstrength
value from the model was also observed in other recent studies that did not focus on tests showing brittle failure.

The limited number of matching numerical-experimental simulations required a comparison with more general results related to a wider number of tests, regardless of the number of struts used in the numerical modelling. Therefore, a previously compiled database of 98 tests was considered and the overstrength factors of the panel were evaluated for each test and included as metadata through a simplified procedure. The distributions of the overstrength factor for the cases of hollow infills with non-ductile frames and solid infills with non-ductile frames were obtained and compared with the optimal values determined from the cyclic numerical simulations. The distribution for the case of solid infills with ductile frames was not evaluated, given the scarcity of the data for this category. The optimal values from the detailed numerical simulations corresponded to the 39%, 55% and 58% percentiles of the relevant distributions.

The results of the overstrength factor, provided by both the numerical simulations and the database comparison, suggested that the medians of the two distributions (namely, 1.44 for hollow non-ductile and 1.55 for solid non-ductile) can be confidently utilized in numerical modelling approaches aimed at the prediction of the occurrence of brittle failure in columns due to their local interaction with masonry infills.

Given the increasing availability of experimental tests, these results are not conclusive but represent a solid basis for improving the calibration of ad hoc overstrength coefficients for hollow and solid infills in numerical modelling aimed at the prediction of brittle failure caused by local interaction between infill and RC members. Further enhancements are needed to consider different infill materials and, in particular, two-layer hybrid configurations, which are currently more frequently used in the construction industry in compliance with building energy requirements.

ACKNOWLEDGEMENTS

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