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# Assessing the Airborne Survival of Bacteria in Populations of Aerosol Droplets with a Novel Technology

Mara Otero Fernandez,<sup>1</sup> Richard J. Thomas,<sup>2</sup> Natalie J. Garton,<sup>3</sup> Andrew Hudson,<sup>4</sup> Allen Haddrell,<sup>1,\*</sup> and Jonathan P. Reid<sup>1,\*</sup>

<sup>1</sup> School of Chemistry, University of Bristol, Bristol, BS8 1TS, UK

<sup>2</sup> Defence Science Technology Laboratory (DSTL), Porton Down, Salisbury, SP4 0JQ, UK

<sup>3</sup> Department of Infection, Immunity and Inflammation, University of Leicester, Leicester, LE1 7RH, UK

<sup>4</sup> Department of Chemistry and the Leicester Institute of Structural & Chemical Biology, University of Leicester, Leicester, LE1 7RH, UK

\* - Corresponding authors, e-mails: [a.haddrell@bristol.ac.uk](mailto:a.haddrell@bristol.ac.uk) and [j.p.reid@bristol.ac.uk](mailto:j.p.reid@bristol.ac.uk)

## ABSTRACT

The airborne transmission of infection relies on the ability of pathogens to survive aerosol transport as they transit between hosts. Understanding the parameters that determine the survival of airborne microorganisms is critical to mitigating the impact of disease outbreaks. Conventional techniques for investigating bioaerosol longevity *in vitro* have systemic limitations that prevent the accurate representation of conditions that these particles would experience in the natural environment. Here, we report a new approach that enables the robust study of bioaerosol survival as a function of relevant environmental conditions. The methodology utilizes droplet-on-demand technology for the generation of bioaerosol droplets (1 to >100 per trial) with tailored chemical and biological composition. These arrays of droplets are captured in an electrodynamic trap and levitated within a controlled environmental chamber. Droplets are then deposited on a substrate after a desired levitation period (<5 seconds

to >24 hours). The response of bacteria to aerosolisation can subsequently be determined by counting colony forming units, 24 hours after deposition. In a first study, droplets formed from a suspension of *Escherichia coli* MRE162 cells ( $10^8$  mL<sup>-1</sup>) with initial radii of  $27.8 \pm 0.08$   $\mu\text{m}$  were created and levitated for extended periods of time at 30% relative humidity. The time-dependence of the survival rate was measured over a time period extending to 1 hour. We demonstrate that this approach can enable direct studies at the interface between aerobiology, atmospheric chemistry and aerosol physics to identify the factors that may affect the survival of airborne pathogens with the aim of developing infection control strategies for public health and biodefence applications.

**Keywords:** airborne transmission, survival, bioaerosol, infection, aerosol transport.

## 1. INTRODUCTION

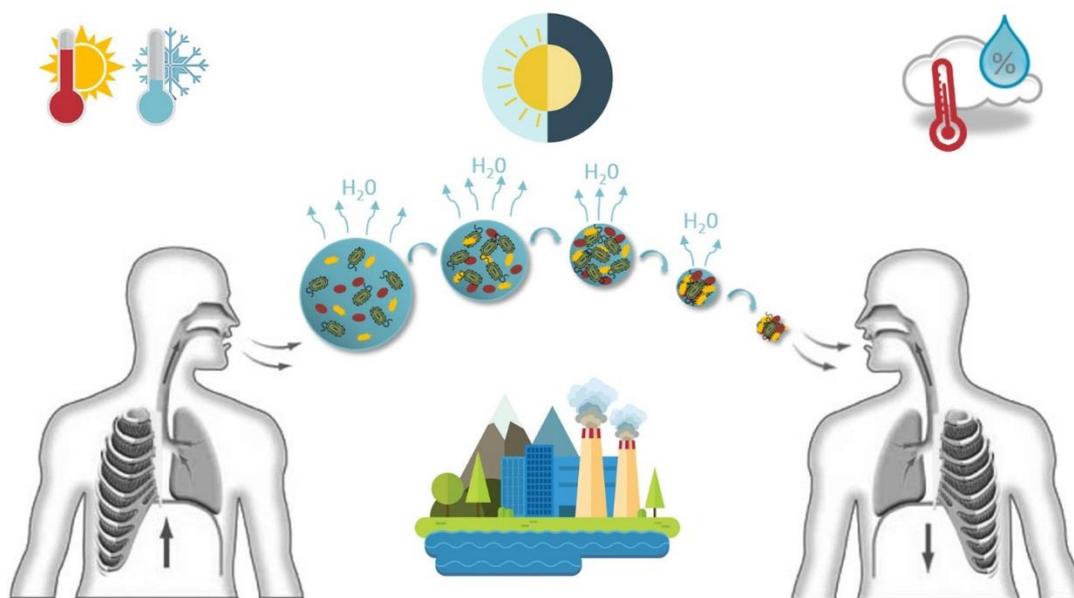
Bioaerosols are a suspension of atmospheric particles of biological origin containing living and/or dead organisms (e.g. bacteria, viruses, pollen etc.) and their derivatives (e.g. allergens, endotoxins, etc.). Their study requires an interdisciplinary approach encompassing atmospheric chemistry, microbiology, aerosol microphysics, climate and medical sciences, and an understanding of diverse physical processes including human inhalation, ice nucleation, cloud formation and aerial dispersal. Bioaerosol sources can be natural (e.g. human sneeze, pollen) or anthropogenic (e.g. through agricultural practices, waste management sites) with the source influencing the bioaerosol particle size, composition and concentration in the atmosphere.<sup>1</sup>

Bioaerosols have been studied since the late nineteenth century to determine the sources of epidemic diseases. Interest in bioaerosol has increased in recent decades due in part to a high number of airborne disease outbreaks and concern about the potential roles that airborne microorganisms play in atmospheric processes.<sup>2,3</sup> The multitude of adverse health effects derived from human exposure to bioaerosols particles are not yet fully understood despite their

impact in public health and national defence.<sup>4,5</sup> This is mainly due to the present limitations in the current techniques used for bioaerosol studies for exploring aspects of atmospheric transport.<sup>6</sup>

The dynamics involved in the transmission of airborne pathogens of concern to human, animal or plant health, depend on the ability of the microorganisms to cause infection and, subsequently, disease when interacting with a host. This ability is a function of a wide range of factors (e.g. environmental, microbiological, etc.) that affect the integrity of the airborne microbes and can lead to a reduction of their biological activity which decreases their infectious potential.<sup>7</sup> The length of time airborne pathogens remain viable/infectious while suspended in the atmosphere impacts on dissemination of the disease outbreak.

Viability has already been shown to be influenced by aerosol particle size, the presence of air pollutants, solar radiation, ambient temperature and environmental relative humidity, summarised in Figure 1.<sup>8,9</sup> During atmospheric transport, bioaerosol droplets undergo a series of evaporative and rehydration processes which result in changes in their metabolism and physiology. The conditions of atmospheric transport cannot be simulated under bulk conditions in bacterial cultures as aerosol droplets may exist in a state of metastable solute supersaturation not accessible in the bulk phase.<sup>10,11</sup> Further, chemical reaction rates in the aerosol phase can be several orders of magnitude higher than in the bulk state.<sup>12-14</sup> It is, therefore, more than conceivable that the microbial physiology is quite different in the aerosol phase. Thus, a “bottom-up” approach to measuring the role of atmospheric process on bioaerosol survival is key to improving the representation of these processes in the true aerosol state extending from the individual cell to the population scale. Understanding the interplay of all the processes that determine microbial responses is key to develop more accurate predictive models of infection transmission and control strategies.



**Figure 1.** Representation of the interplay between biological aerosols and atmospheric factors during aerosol transport. Examples of factors include environmental conditions such as the temperature and relative humidity, day and night-time atmospheric chemistry, and mixing with anthropogenic and other natural aerosols found in the atmosphere.

Historically, the study of bioaerosol survival *in vitro* has been limited to two main different methodologies: the rotating drum and the use of microthreads. The rotating drum, referred to as an environmental chamber, is the most established approach, based on the aerosol chamber developed by Goldberg et al in 1958.<sup>15</sup> These systems have been used to generate longevity decay rates for bacteria and viruses by suspending the bioaerosol using centrifugal forces to counteract gravity.<sup>16–20</sup> Several improvements have allowed the levitation of particles larger than 1-2  $\mu\text{m}$  in diameter for longer suspension periods under a wider range of environmental parameters.<sup>7,19</sup> However, limitations in the suspension times and particle sizes persist due to the gravitational deposition of particles on the walls of the vessel. For instance, the suspension of particles more representative of initial droplet sizes ( $\sim 360 \mu\text{m}$ -diameter) produced during coughing and sneezing is difficult in these systems.<sup>22</sup> In the case of microthread techniques, the presence of turbulence can result in a loss of particles on the surfaces of the instrument and

antimicrobial compounds on the spider silk can result in a reduction in viability.<sup>23,24</sup> Further disadvantages of these techniques are the stresses to which the bacteria are subjected during aerosol generation and sampling. Nebulization is typically the preferred method for aerosol generation, but this technique has been proven to cause loss of culturability in some bacterial species<sup>25–27</sup> and structural damage.<sup>23,28–30</sup> These techniques also lead to polydisperse aerosol droplets, subjecting the contained microorganisms to different surface-to-volume ratios at equilibrium size and potentially produces different biological responses. Hence, the reported results reflect the average behaviour encompassing a range of initial droplet sizes. Finally, the sampling methods used with these techniques involve the use of prolonged sampling periods (i.e. combination of loading, mixing and extraction times) and high collection velocities, a proven cause of reduced viability.<sup>31–34</sup>

The aim of this study is to adapt an electrodynamic trap (EDT)<sup>35</sup> into a next-generation tool for investigating the decay dynamics of bioaerosols. Utilizing this approach minimizes generation and sampling stresses and reduces the influence of droplet polydispersity. Environmental conditions are readily controlled and timescales of bacteria in the aerosol phase are accurately known and can be varied from seconds to days. We first introduce the new approach, referred to as Controlled Electrodynamic Levitation and Extraction of Bioaerosol onto a Substrate (CELEBS), before presenting contrasting measurements of the viability of *Escherichia coli* MRE162 cells and *B. atrophaeus* spores.

## **2. MATERIAL AND METHODS**

The CELEBS technique is described first, followed by the methods for determining particle concentration and bacterial viability in bioaerosol droplets together with a corresponding statistical analysis. Details of culture preparation, staining and microscopic analysis are described in the Supplementary Information.

## **2.1 Controlled Electrodynamic Levitation and Extraction of Bioaerosol onto a Substrate (CELEBS) Instrument**

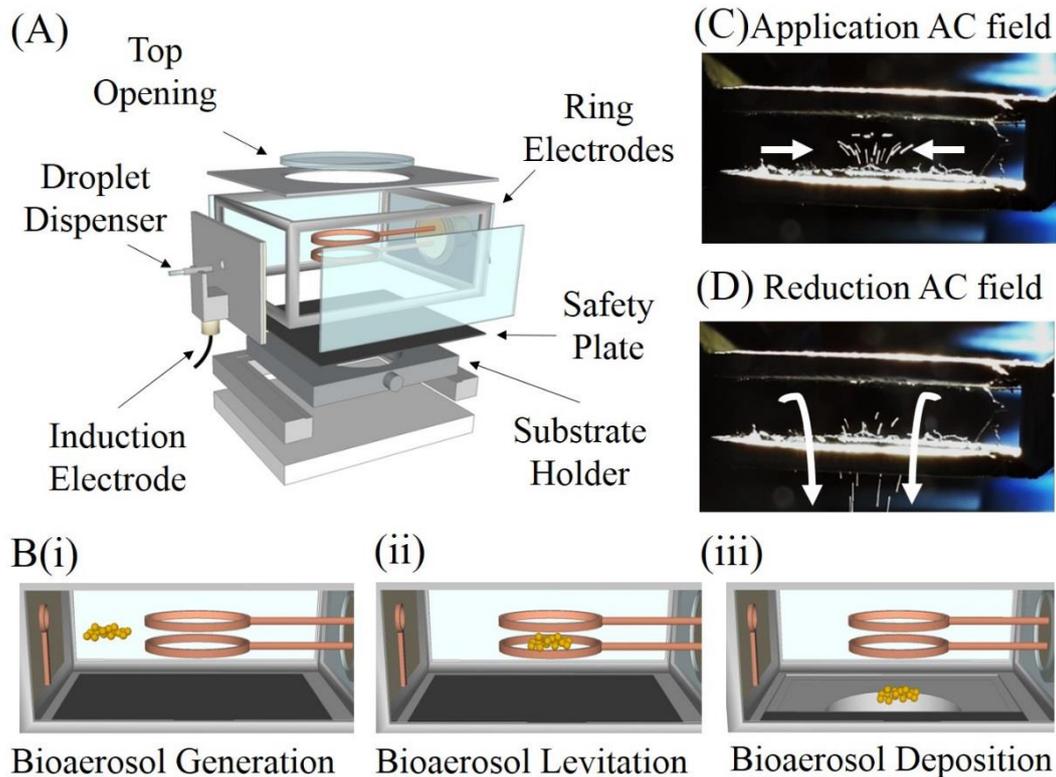
### ***2.1.1 Overview of CELEBS instrument***

The CELEBS instrument is shown in **Figure 2(A)** and allows routine capture and levitation of single or multiple bioaerosol droplets of monodisperse size in the aerosol phase under controlled environmental conditions for an indefinite time, and subsequent deposition onto a substrate for off-line analysis. A grounded glass-metal chamber confines all the components of the EDT to avoid disturbance of the suspended droplets within a controlled atmosphere. Bioaerosol droplets containing bacterial species are generated on-demand using a commercial droplet-on-demand (DoD) dispenser (Microfab MJ-ABP-01 with 30 $\mu$ m orifice) fixed outside one of the sidewalls of the chamber and facing a small aperture which leads to the EDT. A DC electrode is located 2-3 mm away from the nozzle of the DoD dispenser to induce a charge on bioaerosol particles during formation. The EDT located in the interior of the chamber is composed of two horizontal ring electrodes (30 mm diameter) set in parallel with an intermediate distance of 20 mm where the droplets are suspended. A safety plate separates the EDT volume from the substrate holder to prevent premature exposure of the substrate to the bioaerosol particles. The positional arrangement between a CCD camera, an LED light and the top opening of the chamber facilitates imaging of the EDT from above. The image recorded by the CCD is analysed to count the number of levitated particles in the EDT using LabView program developed in-house. The LED light (White LED, 580 nm, RS Components, UK) was tested in the bulk phase to ensure no impact on the viability of bacteria as assessed by CFU determination (Table 1). Exposure to the LED light did not show any bactericidal effect.

The whole CELEBS instrument resides on a small 20 cm × 20 cm metal plate, allowing its safe operation in a microbiological safety cabinet (MSC) (LabGard model NU-425 Class II Type A2 Biosafety Cabinet, NuAir, UK).

**Table 1. Comparison of recovered CFU between a bacterial culture exposed to the 580nm LED and a non-exposed culture located in a dark area under the same atmospheric conditions. No significant difference in culturability was observed.**

Time (hours)	Exposed Culture	Non-Exposed Culture
	Mean value (CFU mL <sup>-1</sup> )	
0	2.18±0.17×10 <sup>9</sup>	2.26±0.16×10 <sup>9</sup>
1	2.29±0.57×10 <sup>9</sup>	1.92±0.26×10 <sup>9</sup>
2	2.68±0.29×10 <sup>9</sup>	2.35±0.22×10 <sup>9</sup>
3	2.47±0.13×10 <sup>9</sup>	2.25±0.10×10 <sup>9</sup>
4	2.93±0.20×10 <sup>9</sup>	2.75±0.24×10 <sup>9</sup>
5	2.59±0.22×10 <sup>9</sup>	2.33±0.92×10 <sup>9</sup>
6	2.53±0.17×10 <sup>9</sup>	2.15±0.91×10 <sup>9</sup>
24	2.52±0.26×10 <sup>9</sup>	2.14±0.21×10 <sup>9</sup>



**Figure 2.** (A) Expanded view of the main components of the CELEBS apparatus. (B) Schematic diagram of CELEBS operation. (C) and (D) Consecutive close-up images for levitation and initial deposition of the same bioaerosol population. The levitated droplets appear as lines due to the slower shutter speed of the camera compared to the oscillatory motion of the droplets driven by the AC waveform applied to the ring electrodes.

### 2.1.2 *Bioaerosol generation*

Bacterial culture (10  $\mu\text{L}$  aliquot) is pipetted into the reservoir of the DoD dispenser. A square waveform is applied to the piezoelectric crystal of the micro-dispenser tip, propelling a small volume of fluid out through the dispenser orifice as a jet that divides into an individual micro-droplet with a high reproducible size ( $27.8 \pm 0.08 \mu\text{m}$  radii), Figure 2B(i). The waveform parameters together with the composition of the loaded suspension determine the characteristics of the drop generation process such as size and speed.<sup>36</sup>

To enable the suspension of droplets in the EDT, a net charge is induced to every droplet by the DC potential applied to the induction electrode (-100 to -500 V). During formation of micro-droplets, the induction electrode produces an ion imbalance in the liquid jet formed at the tip of the DoD dispenser, resulting in a net charge on the droplet of opposed polarity to the induction electrode. The magnitude of the net charge induced to the droplets has been reported previously ( $<5$  fC)<sup>37</sup> producing a chemically insignificant shift in the original ion concentration of the droplets ( $\sim 7 \times 10^{-6}$  % more sodium than chloride ions), but sufficient for the droplets to be confined by the electrodynamic potential in the centre of the EDT.

### **2.1.3 Bioaerosol levitation**

The fundamentals of micro-particle levitation in the EDT have been previously described.<sup>38–41</sup> The electrodynamic fields used for particle levitation in the EDT is similar to those of the electrodynamic balance<sup>42</sup> or quadrupole ion trap<sup>28</sup>. However, no DC potential is applied directly to the ring electrodes or any of the EDT components in this study.

Dispensed droplets travel horizontally about 30mm towards the interior of the chamber, before getting trapped (Figure 2B(ii)). Oscillating forces from the electrodynamic field, created by applying an AC potential (1,000-2,700V) to the ring electrodes, enable the stable confinement of charged particles in the centre of the EDT. Additionally, the electrostatic repulsions among the population of positively charged droplets (up to  $>200$  droplets) prevent their coalescence (**Figure 2C**). The population of trapped droplets reside in or near the null point of the trap.<sup>43</sup>

The glass-metal chamber isolates the trapping region from surrounding air currents and ambient laboratory conditions. The droplets are suspended while a gas inlet enables control of atmospheric conditions in the EDT. The accessible RH range in the system is  $>10$  to  $<90$  % RH and can be readily controlled by adjustment of the ratio of humidified and dry air flows delivered by an air purifier (Precision Air Compressor, Peak Scientific, UK) using two flow

valves. The airflow mixture enters the EDT from above the electrodynamic trap where the droplets are levitated. Accurate RH and temperature values are registered by a probe (Humidity and Temperature Meter HMT331, Vaisala, UK) immediately before entering the EDT chamber.

#### **2.1.4 Bioaerosol sampling**

After the desired suspension period, the safety plate between the EDT and the substrate holder is removed connecting the trapping and sampling areas. By lowering the amplitude of the waveform applied to the ring electrodes, the levitated droplets are extracted (Figure 2B(iii)) from the EDT onto the substrate (i.e. LB broth) in a short period of time (1-3 sec, **Figure 2D**). Collection velocities onto the substrate can be controlled and are typically 0.01 - 0.05 m s<sup>-1</sup>, avoiding damage to sensitive microorganisms. Calculated velocities (determined by measuring the distance between the EDT and the substrate holder, and the time taken for the droplet to fall at different deposition rates) are equivalent to the velocities of an electrostatic sampler. These sampling methods based on electrostatic precipitation have shown particle velocities between 2 and 4 order of magnitude lower than velocities in inertial samplers reducing the impact on cell viability while providing high collection efficiency.<sup>44-46</sup>

In rotating drums studies, liquid impingers with collection velocities reaching 265 m s<sup>-1</sup> are used.<sup>46</sup> CELEBS methodology presents 3×10<sup>4</sup> times slower sampling velocities and, consequently, a gentler collection process. A smooth deposition is critical as different sampling techniques have been reported to reduce microbial viability due to high impaction velocities and reduce the sensitivity of measurements to the parameters under study.<sup>23,33,47,48</sup>

Moreover, the CELEBS collection process provides 100% sampling efficiency (see section 3.4): every droplet trapped in the electric field is sampled on the collection medium (which can be any substrate, including liquid, gel, glass, and cell culture). This 100% sampling efficiency

is unique to CELEBS. Finally, the substrate can be removed from the apparatus and the viability and infectivity of bacteria assessed.

## 2.2 Offline Viability Assessment

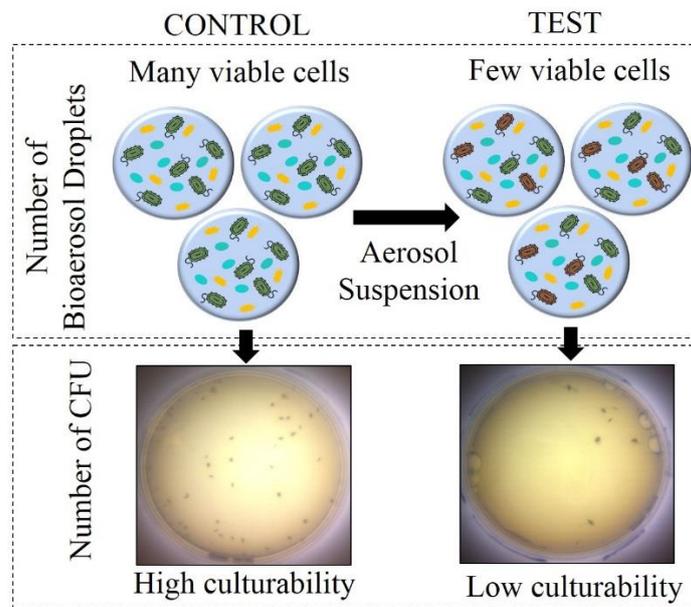
For determination of viability, the population of bioaerosol droplets is collected onto a plastic 35mm Petri dish containing 1 mL of liquid LB broth. Bacterial aggregation is reduced by vigorous pipetting before solidifying the suspension by adding 4 mL of LB agar at a temperature below 45°C to avoid bacterial inactivation. The mixture of bioaerosol particles, LB broth and LB agar is stirred to ensure blending and solidification. This method enables the enumeration of single colonies in the same Petri dish where the bioaerosol sample is collected, without transferring to a separate plating media. Plates are air dried before incubation for 24 h at 37°C. The number of colonies which develop is taken as a measure of the number of viable cells (colony forming unit, CFU) after specific aerosol suspension times, enabling calculation of the biological decay rate (BD).

## 2.3 Quantitative Characterization of Bioaerosol Decay

Biological decay (BD) in the aerosol phase as a function of time is usually represented by reduction of CFU.<sup>49</sup> **Figure 3** shows a schematic diagram for the method used in this work to assess biological decay, presenting the relationship between the concentrations of viable bacteria within the droplets over time spent in the aerosol phase. Decline in culturability due to aerosolization can be determined by comparing recoverable CFU in bioaerosol harvested immediately after production (control) and after specific times in aerosol suspension (test). Thus, loss of culturability serves to quantify the BD over different time intervals during aerosol suspensions:

$$\% \text{ BD} = \frac{C_{\text{culturable (TEST)}}}{C_{\text{culturable (CONTROL)}}} \times 100 \quad \text{Equation 1}$$

$C_{\text{culturable}}(\text{TEST})$  and  $C_{\text{culturable}}(\text{CONTROL})$  are the culture concentrations of microorganisms expressed as CFU for the harvested bioaerosol droplets. We assume that the length of time that the bacteria spend in the aerosol during the control measurement is too short (30 seconds) to impact the CFU recovery of microbes contained in the deposited particles and is treated as a non-exposure measurement.



**Figure 3.** Schematic diagram for determination of BD. In the bioaerosol droplets, green bacteria represent viable cells and red bacteria represent dead cells. Yellow and blue components in the droplets represent media constituents and other organic and inorganic compounds.

## 2.4 Statistical Analysis of Microbial Concentration and Bacterial Viability in Bioaerosol Droplets

For the statistical analysis of the viability of bacterial cells (assessed as those with detected Syto9 fluorescence) enclosed in aerosol droplets, at least 200 cells from five different field of views were analysed following deposition onto slides. The percentage of viable cells with an

intact cell membrane was calculated by dividing the green-stained cells by the total number of cells for each field of view. The average and standard deviations were calculated for each parameter under evaluation.

For determination of number of particles enclosed in the bioaerosol droplets, the particle concentration of at least 20 different droplets was determined. For each concentration of cell suspension pipetted in the DoD, the average and standard deviation values of cells in the droplet were calculated. The Probability Distribution Function (PDF) curves for cell concentration in bioaerosol droplets were produced by using the Poisson Distribution Equation (**Equation 2**) where  $\lambda$  represents the Poisson coefficient (average of cells per droplet for the culture concentration loaded in the micro-dispenser) and  $k$  the number of cells contained in a droplet.

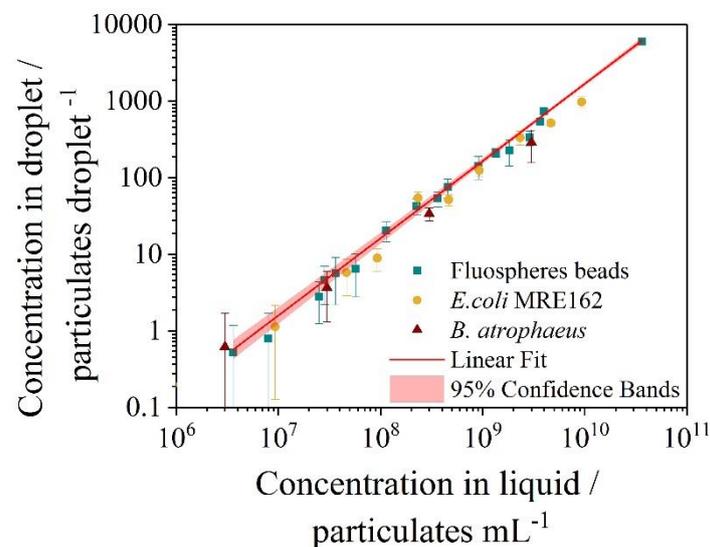
$$PDF = \frac{e^{-\lambda} \lambda^k}{k!} \quad \text{Equation 2}$$

### 3. RESULTS & DISCUSSION

#### 3.1 Establishing the number of bacteria cells contained within bioaerosol droplets

Aerosol generation using the DoD dispenser enables the microbial concentration in aerosol droplets to be varied across several orders of magnitude by modifying the concentration of particulates (i.e. bacteria) in the spray suspension (Figure 4). Droplets containing three different types of particles (yellow-green fluorescent beads, 1  $\mu\text{m}$  diameter; *E. coli* MRE612; *B. atrophaeus* spores) were generated from bulk suspensions at specific concentration ranges by using a DoD dispenser. All solutions (whose concentrations/dilutions are described in the Supplemental Section 3) were aerosolized using a DoD dispenser with aerosol droplets collected on gelatine coated microscope slides and visualised by confocal microscopy (see Supplemental Section 4).

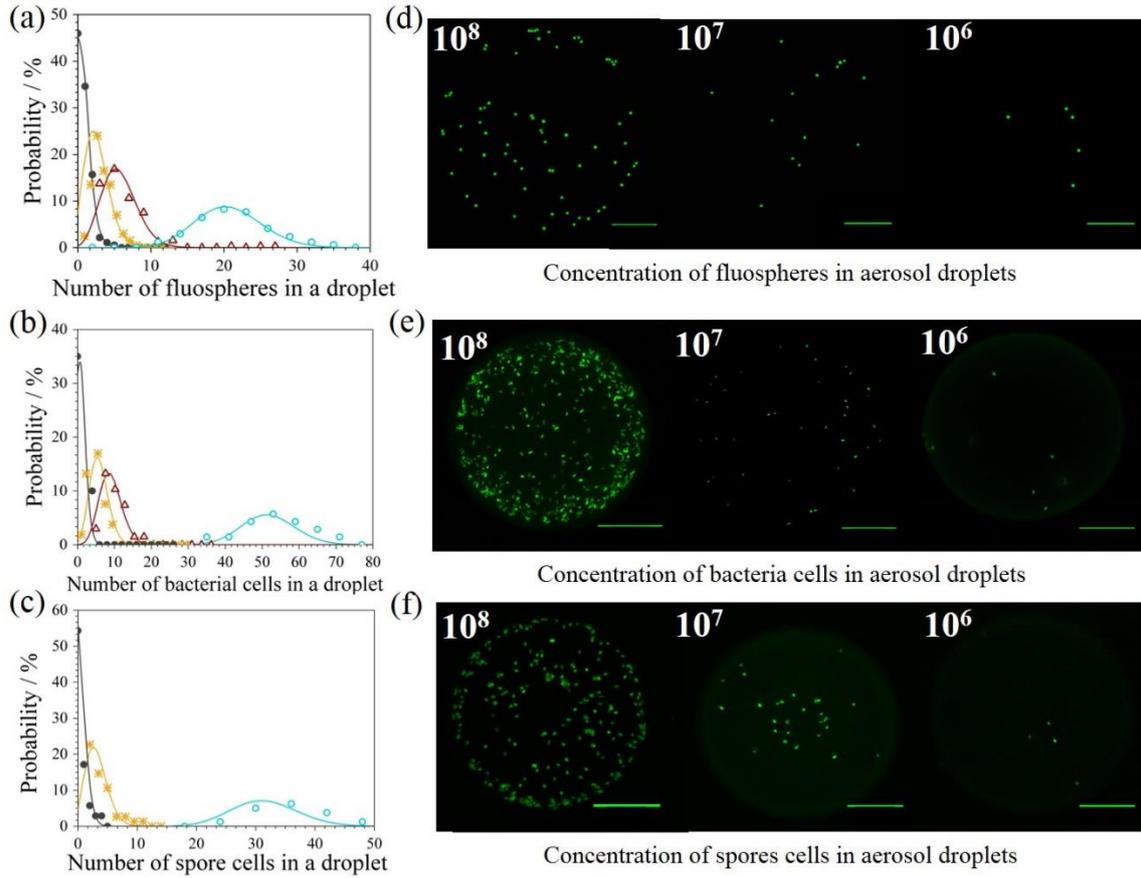
Independent of particulate type (fluorescent bead, bacteria or spore), the correlational data in Figure 4 between the number of particulates in the bulk solution and the number delivered in each aerosol droplet indicate that the droplet composition can be varied reliably over a wide range in concentration. Such a capability makes it possible to explore the role microbial concentration in bioaerosol droplets plays in the airborne transmission of infection. The effect of droplet size and microbial concentration in bioaerosol droplets have been previously investigated showing a significant impact on airborne survival.<sup>50</sup> Additionally, the monodispersity (i.e. reproducibility) of the aerosol generated by the DoD dispenser allows investigation of solute stresses on micro-organisms incorporated in the droplets. Contrary to polydisperse bioaerosols, monodisperse droplets achieve the same microbial concentration, and are therefore expected to create a homogeneous biological response whose average represents the behaviour of all aerosolised microorganisms across the population.



**Figure 4.** Correlation between the number of cells per droplet (i.e. fluospheres, bacteria and spores) and the cell concentration of the suspension loaded in the DoD dispenser.

### ***3.1.1 Probability Distribution Function for Low Microbial Cell Concentration in Bioaerosol Droplets***

The number of particulates (i.e. 1  $\mu\text{m}$  yellow-green fluospheres, *E. coli* MRE-162 cells and *B. atrophaeus* spores) within a bioaerosol droplets must be described by the Poisson distribution (**Equation 2**) for loaded suspensions with particle concentrations less than  $10^8$  CFU  $\text{mL}^{-1}$ . In this case, the volume fraction of the particulates within a droplet generated by the DoD is very small and, indeed, the presences of cells can even be a rare event at sufficiently low concentrations. As particle concentration increases, the probability that aerosol droplets contain a larger number of particulates increases proportionally, and the PDF curves move towards a Gaussian distribution. We illustrate this transition for the three types of particles (i.e. fluospheres, *E. coli* MRE-162 cells and *B. atrophaeus* spores) in Figure 5, with the curves indicating the fitted the Poisson distributions.



**Figure 5.** PDF curves, experimental results and confocal microscopy images for particle concentration in aerosol droplets. Scale bar is 30  $\mu\text{m}$ . Diameters of the deposited droplets are larger than the initial droplet sizes due to impaction on the gelatine used to coat the microscope slides. **(a)** Modelled curves and experimental results for the number of fluospheres per aerosol droplet. The PDFs for the averages of fluospheres per droplet,  $\lambda=0.795$ ,  $\lambda= 2.62$ ,  $\lambda=5.70$  and  $\lambda= 20.6$ , are shown by the black, yellow, maroon and turquoise curves, respectively. Experimental values for the number of beads per droplet are (●), (★), (△) and (○) at solution concentrations of  $8.0\times 10^6$ ,  $2.5\times 10^7$ ,  $3.64\times 10^7$  and  $1.14\times 10^8$  cells  $\text{ml}^{-1}$ , respectively. **(b)** Modelled curves and experimental results for the number of *E. coli* MRE-162 cells per aerosol droplet. The PDFs for  $\lambda=1.14$ ,  $\lambda= 5.83$ ,  $\lambda=8.96$  and  $\lambda= 51.3$  are shown by the black, yellow, maroon and turquoise curves, respectively. Experimental values for the number of bacteria cells per droplet are (●), (★), (△) and (○) at solution concentrations of  $9.32\times 10^6$ ,  $4.66\times 10^7$ ,

$9.32 \times 10^7$  and  $4.66 \times 10^8$  CFU ml<sup>-1</sup>, respectively. (c) Modelled curves and experimental results for the number of *B. atrophaeus* spores per aerosol droplet. The PDFs for  $\lambda=0.54$ ,  $\lambda=3.09$  and  $\lambda=31.49$  are shown by the black, yellow and turquoise curves, respectively. Experimental values for the number of spores per droplet (●), (★) and (○) at solution concentrations of  $3.0 \times 10^6$ ,  $3.0 \times 10^7$  and  $3.0 \times 10^8$  cells ml<sup>-1</sup>, respectively. (d), (e) and (f) show confocal microscopy images for different particle concentrations in aerosol droplets containing fluospheres beads, *E. coli* MRE-162 cells and *B. atrophaeus* spores, respectively.

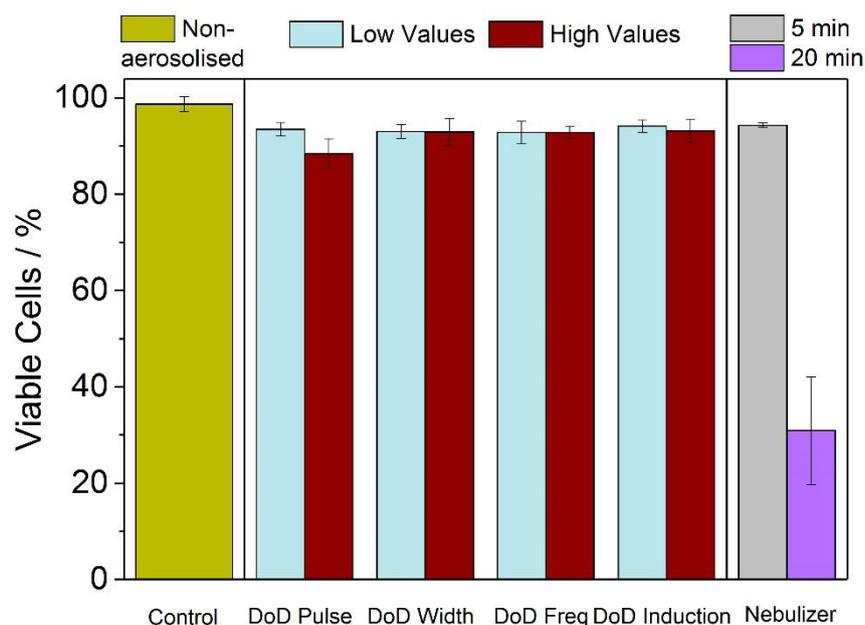
### 3.2 Determining the Effect of Aerosolization on Bacteria Viability

Aerosolization may cause damage to bacterial cell structure.<sup>30</sup> The percentages of *E. coli* MRE162 cells possessing intact membranes were obtained for cultures subjected to two methods of aerosolization (the DoD and the 1-jet refluxing nebulizer), as well as for the non-aerosolised control sample was measured. A stationary phase culture of *E. coli* MRE162 ( $1.7 \pm 0.7 \times 10^9$  CFU ml<sup>-1</sup>), was split into two samples for aerosolization using the two different aerosol generators to demonstrate any effect of aerosolization on bacterial membrane integrity. Controls included untreated stationary phase and ethanol-killed non-aerosolized *E. coli*. The aerosolised bacteria and control were stained immediately after collection following the procedure described in the Supplemental Section 2. The control showed a high percentage of green-fluorescing viable cells ( $99 \pm 1\%$ ).

Firstly, measurements examined the dependence of bacterial viability on the waveform parameters applied to the DoD required to generate droplets (i.e. pulse voltage, frequency and width) and the DC voltage applied to the induction electrode (**Figure 6**). A comparative study was performed by examining the influence of standard (low) and magnified (high) values of all parameters involved in droplet generation. Droplets were collected into an Eppendorf tube containing 10  $\mu$ L of LB broth and the dye mixture described below for viability analysis.

Secondly, bioaerosol droplets were generated from 150 mL of the bacterial culture using a 1-jet refluxing nebulizer for 20 min at 30 psi pressure to assess the effect of nebulization on bacterial viability. Samples were collected from the refluxed bacterial culture remaining in the liquid reservoir of the nebulizer at 5 and 20 min.

No significant difference between control cells and those aerosolised using the low and high values of the waveform and induction electrode parameters was observed. In contrast, bacteria experiencing conditions within the 1-jet refluxing nebuliser demonstrated significant effects on membrane integrity. Membrane integrity decreased markedly as a function of time, from  $100\% \pm 1\%$  to  $33\% \pm 12\%$  at 5 and 20 min nebulization times respectively. Assuming the aerosol generated with the 1-jet refluxing nebulizer is a direct sample of the culture contained in the reservoir, then the aerosolised bacteria would show the same proportion of adversely affected cells. This difference is a result of fundamental differences between the aerosolization mechanisms. Piezoelectric aerosolization using the DoD dispenser does not involve high pressures or recirculation of the sample contained in the reservoir, reducing stresses associated with shear forces and wall impaction, characteristic of reflux nebulization systems. In addition, the larger volume of the droplets generated by the DoD in comparison with the size of the enclosed bacterial cells may mitigate shear forces providing a greater proportion of bacterial cells assessed as having intact membranes.<sup>51</sup>

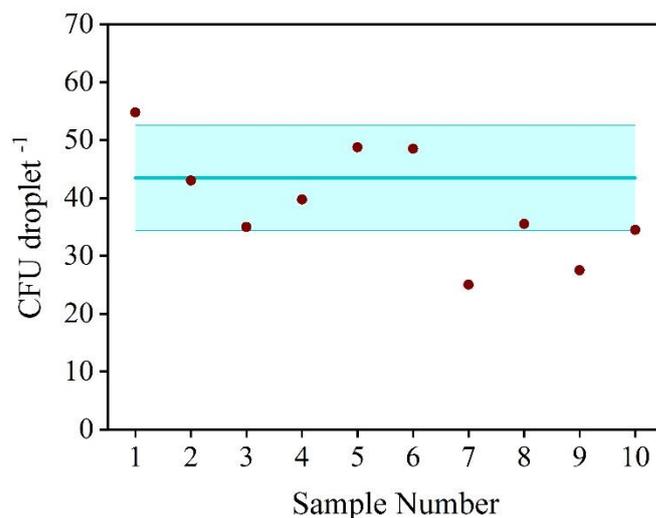


**Figure 6.** Percentage of cells with intact cell membranes obtained by using different aerosolization devices. In consecutive order, bars represent for each set of values: the non-aerosolised control (green) bacterial culture, the bacterial culture aerosolized by using the DoD with a pulse voltage of 3.5 and 8 V (blue), a frequency of 10 and 1000 Hz (pink), a width of 25 and 45  $\mu$ sec (yellow) and an induction voltage of 250 and 1050 V (grey), respectively. Finally, the refluxed bacterial culture after 5- and 20-minutes nebulization by using the 1-jet refluxing Nebulizer respectively are shown (maroon). The average and standard deviation for each parameter was calculated by counting at least 200 cells from five different fields of view.

### 3.3 Determining the Effect of Electrodynamic Levitation on Bacterial Viability

The effect of the AC field on the viability of bacteria contained in droplets and suspended in the EDT chamber was investigated. Droplets were initially generated with a size of  $27.8 \pm 0.08$   $\mu$ m in radius, determined from measurements with the Comparative Kinetics Electrodynamic Balance (CK-EDB) system.<sup>52</sup>, An example of the size measurement made using the CK-EDB is provided in the SI in Section 5 and Figure S1. The CFUs per droplet generated from a *E.*

*coli* culture ( $1.7 \pm 0.9 \times 10^8$  CFU ml<sup>-1</sup>) aerosolised and levitated for 5 seconds in the AC field were compared with the estimated value of the number of bacteria cells per droplet for that culture concentration (following the linear correlation reported in Fig. 4). Assuming that aerosol generation, 5-second suspension and sampling would not impact the microbial viability when using the CELEBS system, the experimental and estimated values of bacterial cells/CFUs in the droplets should be equivalent. Ten replicates of brief levitation (<5 secs) were performed consecutively under the same conditions ( $50 \pm 2$  % RH and  $24 \pm 1$  °C temperature). The number of CFUs per droplet obtained after levitation and incubation ( $39.2 \pm 24.4$ ) compares well with the calculated number of bacterial cells per droplet ( $43.5 \pm 20.8$ ). The concurrence between both bacterial concentrations shows that the culturability of *E. coli* cells in solid media was not significantly affected by short suspension periods in the AC field (2 kV) (**Figure 7**). The impact of electric fields on microbial viability has been previously shown to not reduce the culturability of at least three different bacterial species exposed to an electric field of 4.2 kV as long as 2 hours.<sup>46</sup>

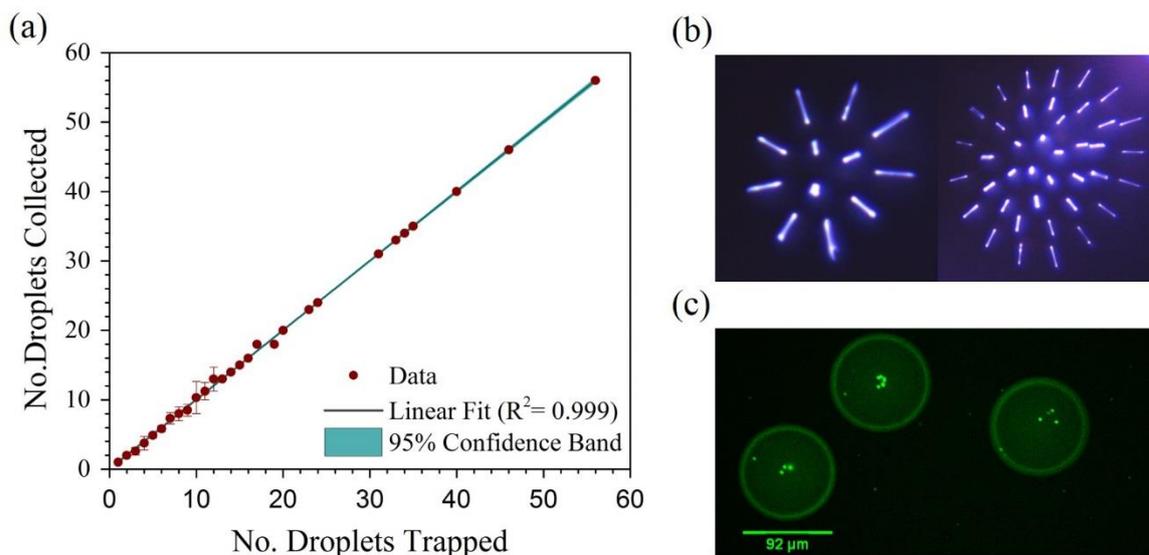


**Figure 7.** Effect of suspension in the AC field (2 kV) on the viability of *E. coli* incorporated in droplets of  $27.8 \pm 0.08$   $\mu\text{m}$  radii. The graph shows the relationship between the predicted

number of CFU per droplet ( $\equiv$ ) (mean  $\pm$  Std dev) and the number of CFU per droplet formed after the incubation of bioaerosol populations levitated in the EDT for 5 seconds ( $\bullet$ ).

### 3.4 Evaluation of Sampling Efficiency

The correlation between the number of droplets trapped in the EDT and the number of droplets ejected from the AC field and collected in an empty plastic Petri dish was measured (**Figure 8**). The populations of particles collected in each Petri dish were counted with a conventional microscope and compared to the number of droplets levitated. This relationship was determined for four different types of biological and non-biological particles: droplets made of a suspension of  $3.6 \times 10^9$  fluospheres  $\text{mL}^{-1}$  in LB broth; a FITC-labelled *E. coli* MRE-162 culture in stationary phase; a 20% NaCl solution in DI water and a 20% sucrose solution in DI water. The efficient particle collection of the CELEBS technology, together with the generation of droplets with high reproducibility in size and biological composition (i.e. number of microorganisms enclosed within the droplets), allows quantification of the absolute number of microorganisms probed in each experiment.



**Figure 8.** (a) Sampling efficiency of the CELEBS apparatus. Each data point represents a single experiment showing the correlation between the number of droplets levitated and the number of droplets collected. (b) Images of different sizes of bioaerosol populations levitated inside the EDT (left image 12 and right image 40 bioaerosol droplets). (c) Representative image of droplets containing fluospheres collected on the substrate immediately after aerosolization. The actual size of the particles at generation was measured with the CK-EDB system ( $27.8 \pm 0.08 \mu\text{m}$  radii).<sup>52</sup> The enlarged diameter of the impacted droplets provided by the image software is due to droplet spread at impaction on the coated gelatine slide.

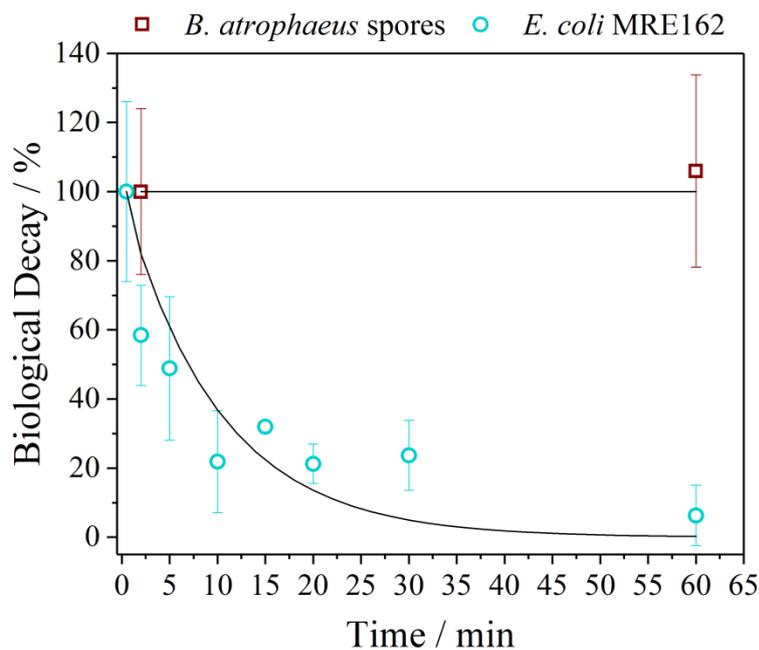
### 3.5 Bioaerosol Decay of Bacteria Exposed to 30% Relative Humidity

To measure the aerobiological decay rate, it is important to first confirm that negligible physical loss of particles occurs inside the EDT chamber during particle levitation. *Bacillus* spores are commonly used as physical tracers to distinguish between the biological decay and physical loss in aerosol systems since they remain viable under a wide range of environmental conditions.<sup>53-55</sup> Therefore, to evaluate the physical loss of particles during suspension, *B. atrophaeus* spores (triple washed in distilled water) were diluted ten-fold in PBS ( $6.5 \pm 2.5 \times 10^8$  spores  $\text{ml}^{-1}$ ), aerosolised and captured in the CELEBS for one hour ( $33 \pm 2$  % RH,  $23 \pm 2$  °C). The initial droplet size and spore concentration was  $25 \pm 0.25 \mu\text{m}$  radius and  $65 \pm 12$  spore cells per droplet respectively.

In addition, *E. coli* MRE162 was cultured to stationary phase in LB broth (24 h, 180 rpm, 37 °C) and diluted ten-fold ( $2.1 \pm 0.2 \times 10^8$  CFU  $\text{ml}^{-1}$ ) were aerosolised with the DoD and suspended for different time periods (i.e. 2, 5, 10, 15, 20, 30 and 60 minutes) under similar atmospheric conditions ( $33 \pm 0.91$  % RH,  $24 \pm 1$  °C) to measure airborne bacterial survival as the ability to form a CFU on collection. The initial particle size was  $27.8 \pm 0.08 \mu\text{m}$  radius and microbial concentration of  $23 \pm 11$  bacterial cells per droplet.

The biological decay rates of *B. atrophaeus* and *E. coli* MRE162 are referenced to initial control measurements at 2 minutes and 30 seconds, respectively, as shown in **Figure 9**. The physical loss of particles as a function of time is absent in the CELEBS system over the timescale of an hour since the number of spores does not decay; therefore, only the biological decay needs to be considered when performing ageing experiments. Consequently, it is possible to directly evaluate the microbial response to specific atmospheric conditions without comparing decay rates between the microorganism of interest and physical tracers.

The interpretation and comparison of data from aerosol longevity studies in the literature is not easy due to the diversity of the employed methodologies (generation and sampling), biological species, bioaerosol composition and atmospheric conditions used. Our data shows a 41.5% decrease in recovered *E. coli* MRE162 cells within the first 2 minutes of aerosol suspension, followed by a less-pronounced decay. The rapid 2-minute decline may be due to evaporative cooling and mass transfer processes experienced within the droplets during the early stages of the aerosol state until equilibrium is reached. Bi-phasic decay has been previously reported in the literature, demonstrating that the majority of decay occurs within the first 1-2 minutes of aerosol suspension.<sup>55,56</sup> Interestingly, previous studies spraying *E. coli* K12 from distilled water have compared survival between nitrogen and air atmospheres. Results reported 10% survival at 35% RH and 26 °C after 30 minutes of suspension and collection in PBS.<sup>57</sup> Our methodology reported 24% survival at the same aerosol age. Differences may be due to the presence of dissolved solids in the LB broth together with reduced impact of stresses during generation and sampling. Comparison between these results highlights the value in understanding methodology and validation in bioaerosol research which is critical to facilitate the interpretation of data and standardization between laboratories.



**Figure 9.** Bioaerosol decay for *E. coli* MRE162 and *B. atrophaeus* spores at 33% RH and 24°C temperature. All the longevity data are expressed as the average and standard deviation values for at least three biological replicates (samples from independent *E. coli* cultures) per experiment.

#### 4. CONCLUSIONS

We have presented new methodology for measuring biological decay rates in bioaerosol particles as a function of different atmospheric conditions and particle compositions (both biological and chemical). The technology couples a piezoelectric droplet dispenser with an electrodynamic trap to create highly monodisperse bioaerosol droplets with defined composition followed by their suspension in an electric field under controllable atmospheric conditions. CELEBS presents an alternative approach for understanding variables which impact natural transmission mechanisms by more accurately representing initial droplet sizes generated by sneezes/coughs,<sup>22</sup> and minimising stresses involved in the analysis. Ultimately, this will lead to more accurate epidemiological and risk analysis modelling.

The approach we report here presents significant advantages over more conventional approaches used in bioaerosol analysis:

- A quantifiable number of bioaerosol droplets containing bacteria, can be generated on-demand with a reproducibility in the initial droplet size of  $\pm 0.25 \mu\text{m}$  (1 standard deviation)<sup>52</sup> by means of a DoD micro-dispenser. Moreover, the complete chemical and biological composition of the bioaerosol droplets can be varied across several orders of magnitude (i.e. number of particulates per droplet). The DoD does not impact cell membrane integrity as measured by Syto9/PI staining and CFU determination, in contrast with standard methodology of bioaerosol generation.<sup>27,30,58</sup> The technology could be applied to other micro-organisms such as fungi or viruses.
- CELEBS represents a valuable alternative to the rotating drum and micro-thread techniques. Due to using an electric field to levitate droplets, CELEBS does not suffer from the same restrictions on droplet size and hence, airborne suspension times required to avoid physical loss of particles in rotating drums. Furthermore, the CELEBS holds the bioaerosol in the true airborne state in contrast with the micro-thread technique.<sup>7,16,59,60</sup> Short exposures (<5 secs) to the EDT did not impact the ability of levitated microorganisms to form colonies after sampling. Hence, CELEBS incorporates a less physically damaging approach. In addition, the glass design of EDT chamber enables the visualization and enumeration of the bioaerosol droplets during suspension. Future studies using CELEBS will explore its accessibility to a wider range of atmospheric parameters (i.e. relative humidity, temperature, gaseous species, UV light, etc.).
- High sampling flow rates and long sampling times can reduce the viability of collected microorganisms.<sup>47,61,62</sup> The sampling mechanism in CELEBS based on electrostatic

forces uses particle velocities perpendicular to the collection substrate similar to the ones involved in electrostatic precipitation, which are 2-4 orders of magnitude lower than collection velocities used in more standard aerosol samplers (i.e. impactors, filters and impingements).<sup>45</sup> This presents a new “gentle” alternative for microbial collection potentially more representative of the natural mechanisms in the environment. Moreover, the population of bioaerosol droplets can be sampled onto a platform containing any type of substrate (e.g. culture media, lung tissue cells, bacteria cells etc.) enabling numerous options for viability and infectivity analysis.

- The small and open design of the EDT trap offers other advantages in terms of flexibility and easy manipulation of the instrument, particularly for research in microbiological containment. The capability to study multiple types of bioaerosol concurrently by “daisy-chaining” multiple levitation chambers together is both advantageous and unique to this methodology.
- The small volume of sample required (~10µL) and the small number of the bioaerosol droplets generated, enable safely study airborne micro-organisms in a highly controlled fashion. The likelihood of being exposed to infectious doses of micro-organisms is dramatically reduced.
- We have demonstrated the utility of CELEBS to probe the longevity of bioaerosols using *E. coli* MRE162. Moving forward, the physicochemical properties and dynamic behaviour of the particles produced with a DoD dispenser can be probed via alternative methods, such as a comparative kinetic electrodynamic balance.<sup>52,63</sup> Understanding the processes that drive changes in the physicochemical properties of bioaerosols (i.e. hygroscopicity, surface tension, viscosity, etc.) will enable exploration of the impact of these properties on bioaerosol longevity. This will be a fundamentally new and comprehensive approach to studying the transmission of infectious micro-organisms in

the aerosol phase.<sup>63,64</sup> Indeed, we also anticipate that this device will be ideally suited to studying the influence of atmospheric oxidants on the viability of bacteria in the aerosol phase.

In conclusion, CELEBS represents a new tool for bioaerosol longevity studies with the potential to elucidate the fundamentals of airborne disease dynamics by implementing several benefits to existing technologies.

### **AUTHOR CONTRIBUTIONS**

MO, RJT and AEH carried out the laboratory work, participated in data analysis, contributed to the design of the study and drafted the manuscript; NJG and AH contributed to the design of the study and drafted the manuscript; AEH and JPR are joint corresponding authors, they conceived the study, designed and coordinated the study and finalised the manuscript for publication.

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### **DATA AVAILABILITY**

Data are available at the University of Bristol data repository, data.bris, at <https://doi.org/10.5523/bris.1hdewkfoo17yh291d2y5c2sye6>.

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