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Prenatal, early-life and childhood exposure to air pollution and lung function: the ALSPAC cohort

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AUTHOR'S CONTRIBUTION

P.E. and A.J.H. conceived the study idea and design. J.G. and D.F. provided input on exposure modelling. M.B. and R.G. provided input on the methodology for analysing the data. Y.C. and A.L.H. did the main data analyses and wrote the manuscript. M.Z. provided input on the profile regression analysis. All authors (i) provided substantial contributions to the conception or design of the work, or the acquisition, analysis, or interpretation of data for the work, (ii) revised the manuscript for important intellectual content, (iii) approved the final version, and (iv) agreed to be accountable for all aspects of the work. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

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SHORT RUNNING TITLE

Air pollution and children lung function

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1.17 Epidemiology (Pediatric): Risk Factors

AT A GLANCE COMMENTARY

Scientific Knowledge on the Subject

Few studies to date in children aged between 4-11 years have investigated the role of air pollution in each trimester on lung function and these had sample sizes ranging from 171 to 788 individuals. Some studies suggested that exposure to traffic-related air pollutants, particularly during the second trimester, was associated with reduced lung function, although correlations between these trimester-specific exposures were high. Evidence was inconsistent regarding the relative importance of early-life or more recent air pollution exposure on childhood lung function levels. Also, some studies suggested that the negative associations between early life air pollution exposure and childhood lung function became absent or diminished by adolescence.

What This Study Adds to the Field

This is the largest study to investigate source-specific particulates by each pregnancy trimester as well as infancy and childhood to identify potential susceptibility periods during lung development and growth. We found that particulate exposures in each time period in pregnancy and early life were associated with reduced lung function at age 8 years. The third trimester

may be a potential susceptible period for PM₁₀ from all sources but for PM₁₀ from road traffic, no especially susceptible periods could be identified.

ONLINE DATA SUPPLEMENT

This article has an online data supplement, which is accessible from this issue's table of content online at www.atsjournals.org .

WORD COUNT

3,636 words

2 **ABSTRACT**

3 **Rationale:** Exposure to air pollution during intrauterine development and through childhood
4 may have lasting effects on respiratory health.

5 **Objectives:** To investigate lung function at ages 8 and 15 years in relation to air pollution
6 exposures during pregnancy, infancy and childhood in a UK population-based birth cohort.

7 **Methods:** Individual exposures to source-specific particulate matter with diameter $\leq 10\mu\text{m}$
8 (PM_{10}) during each trimester, 0-6 months, 7-12 months (1990-1993) and up to age 15 years
9 (1991-2008) were examined in relation to %predicted Forced expiratory volume in one second
10 (FEV_1) and forced vital capacity (FVC) at ages 8($N=5,276$) and 15($N=3,446$) years, using linear
11 regression models adjusted for potential confounders. A profile regression model was used to
12 identify sensitive time periods.

13 **Measurements and Main Results:** We did not find clear evidence for a sensitive exposure
14 period for PM_{10} from road-traffic: at age 8 years, $1\mu\text{g}/\text{m}^3$ higher exposure during the first
15 trimester was associated with lower %predicted of FEV_1 (-0.826, 95%CI: -1.357 to -0.296) and
16 FVC (-0.817, 95%CI: -1.357 to -0.276), but similar associations were seen for exposures for
17 other trimesters, 0-6 months, 7-12 months, and 0-7 years. Associations were stronger among
18 boys, children whose mother had a lower education level or smoked during pregnancy. For
19 PM_{10} from all sources, the third trimester was associated with lower %predicted of FVC (-
20 1.312, 95%CI: -2.100 to -0.525). At age 15 years, no adverse associations were seen with lung
21 function.

22 **Conclusions:** Exposure to road-traffic PM_{10} during pregnancy may result in small but
23 significant reductions in lung function at age 8 years.

24

25 Word count: 246 words

26 Key words: ALSPAC, children, traffic, air pollution, respiratory health

27 INTRODUCTION

28 There is a growing awareness that early-life exposure to air pollution potentially has
29 detrimental effects on the future respiratory health of both children and adults, with impacts on
30 lung function trajectories throughout life(1, 2). In the developing fetus, the bronchial tree is
31 formed by 16 weeks of gestation while alveolarization begins at approximately 28 weeks, and
32 by age two years almost the final adult number of alveoli have been formed, although the
33 development process could continue throughout adolescence to early adulthood(3). It is
34 biologically plausible that exposure to air pollution in early life could lead to impaired lung
35 function growth and reduced maximal levels of forced expiratory volume in one second (FEV₁)
36 attained in early adulthood, which is associated with long term respiratory morbidity even with
37 physiological levels of subsequent decline(4).

38 Limited information still exists about whether exposure to air pollution during periods of rapid
39 pulmonary development in pregnancy and infancy is independently related to long-term lung
40 function compared with exposures throughout whole life. Most previous studies have reported
41 negative associations between air pollution exposures after birth at various life stages and
42 childhood lung function(5-19), although which time period of exposure (early-life vs. most
43 recent) has the strongest associations is debated. Notably, relatively few studies(20-24) to date,
44 have specifically investigated prenatal air pollution exposures, also with mixed findings in
45 terms of susceptibility periods.

46 To address these gaps in knowledge, we used a large, population-based birth cohort, the Avon
47 Longitudinal Study of Parents and Children (ALSPAC) cohort(25, 26), to investigate lung
48 function at ages 8 and 15 years in relation to modelled source-specific and all-sources air
49 pollution exposures at residential addresses during each trimester of pregnancy, whole
50 pregnancy, early infancy, late infancy and during childhood. Some of the results of this study

51 have been previously presented at the International Society of Environmental Epidemiology
52 2018 annual meeting(27).

53 **METHODS**

54 Study participants

55 Pregnant women residing in the former administrative county of Avon in south-west England,
56 whose estimated delivery date fell between 1 April 1991 and 31 December 1992 were recruited
57 before birth, resulting in a cohort of 14,541 pregnancies(25, 26) with 13,963 children eligible
58 for this study(Figure S1). The cohort has been followed with longitudinal assessment of
59 exposures and outcomes from pregnancy to adulthood via questionnaires and clinic visits(25).
60 Ethical approval was obtained from the ALSPAC Ethics and Law Committee and Local
61 Research Ethics Committees. Informed consent for the use of data collected via questionnaires
62 and clinics was obtained from participants following the recommendations of the ALSPAC
63 Ethics and Law Committee at the time. The study website contains details of all the data that
64 is available through a fully searchable data dictionary and variable search tool:
65 <http://www.bristol.ac.uk/alspac/researchers/our-data/>.

66 Data sources and measurements

67 Lung function was measured by spirometry (Vitalograph 2120; Vitalograph, Maids Moreton,
68 United Kingdom) at age 8 (median 8.6, range 7.5 to 10.5 years) and 15 years (median 15.4,
69 range 14.2 to 17.7 years) according to American Thoracic Society standards(28). Both pre- and
70 post-bronchodilation measures at age 15 years were obtained whilst at age 8 years only pre-
71 bronchodilation measures were available. At both ages, children who had oral steroids or chest
72 infection in the past three weeks, used either short-acting bronchodilator in the past six hours,
73 or long-acting bronchodilator in the 24 hours before the lung function tests, were excluded

74 from the analysis (Figure S1). Using measures of post-bronchodilation at age 15 years and pre-
75 bronchodilation at age 8 years, we derived %predicted values of FEV₁, Forced Vital Capacity
76 (FVC), and FEV₁/FVC ratio for each age using the Global Lung Function Initiative (GLI)
77 equations ([https://www.ers-education.org/guidelines/global-lung-function-](https://www.ers-education.org/guidelines/global-lung-function-initiative/spirometry-tools.aspx)
78 [initiative/spirometry-tools.aspx](https://www.ers-education.org/guidelines/global-lung-function-initiative/spirometry-tools.aspx), accessed February 2020). We also calculated rate of lung
79 function growth from age 8 to 15 years (pre-bronchodilation measures at 15 years - pre-
80 bronchodilation measures at 8 years/time of follow-up in years)(31).

81 Sex and gestational age categorised as preterm (<37 weeks) and non-preterm (≥37 weeks) were
82 obtained from birth records. Questionnaires completed by mothers provided information on:
83 maternal education level (low: educated at school to 16 years or lower vs. high: educated to
84 above 16 years); maternal smoking in the first three months of pregnancy (yes/no); home
85 ownership (rented vs. owned/mortgaged) and presence of damp and mould in the home
86 (yes/no) when the study child was 8 months old; study child ever exposed to passive smoking
87 up to age six and half years (yes/no); study child ever had breastfeeding (yes/no). Current
88 asthma was defined at ages 8 and 15 years as reported doctor's diagnosis of asthma and reported
89 wheezing, or use of asthma medication in the previous 12 months.

90 A full residential address history was constructed through the Algorithm for Generating
91 Address-history and Exposures (ALGAE;
92 <https://smallareahealthstatisticsunit.github.io/algae/index.html>, accessed in February 2020)
93 and was geocoded for each trimester (T1, T2, T3), early infancy (0-6 months), late infancy (7-
94 12 months), and every year up to age 15 years(32). For geocoding, individuals for each
95 exposure period must have had valid addresses for at least 90% of days in trimesters and
96 infancy, and at least 75% of days within each year of life up to age 15 years, thus number of
97 individuals retained for each exposure period was different. We used dispersion modelling to

98 estimate residential air pollution exposures to particulate matter (PM) from different sources
99 during each trimester and infancy (1990-1993) through childhood to adolescence up to age 15
100 years (1991-2008), taking account of residential mobility(33). Modelled daily estimates of PM
101 with an aerodynamic diameter $\leq 10\mu\text{m}$ (PM_{10}) from local major road traffic (PM_{10_road} ,
102 hereafter) were based on information on traffic flows, composition, speed, tail-pipe and non-
103 tailpipe emission rates, road width, building heights for street canyons and meteorological
104 variables; whilst PM_{10} emissions on a 1x1km grid from other local combined sources such as
105 industrial, domestic or minor roads (PM_{10_other} , hereafter) were obtained by subtracting
106 summed emission rates from main roads from total PM_{10} emission using the National
107 Atmospheric Emissions Inventory (<http://naei.beis.gov.uk/data/data-selector>,
108 [February 2020](#)); and total PM_{10} (PM_{10_total} , hereafter) was a combination of PM_{10_road} ,
109 PM_{10_other} , anthropogenic sources outside the study area and secondary formation of PM from
110 UK and western Europe from the Met. Office's NAME model(34), and a constant to represent
111 local non-anthropogenic sources (e.g. wind-blown soil, dust). Each source-specific PM_{10}
112 estimate was averaged for each trimester, whole pregnancy and infancy period (T1, T2, T3,
113 WP, 0-6 months, 7-12 months) for each study child. In addition, for each child annual average
114 source-specific PM_{10} concentrations (PM_{10_road} ; PM_{10_other}) and total sources (PM_{10_road} ,
115 PM_{10_other} , anthropogenic, and non-anthropogenic background concentrations) were
116 estimated every year after birth up to age 15 years.

117 Statistical analyses

118 Average daily PM_{10} exposures for each trimester, whole pregnancy, 0-6 months, 7-12 months
119 and annual PM_{10} exposures for ages 0-7, 8-15 and 0-15 years were analysed as continuous
120 measures. Linear regression models were fitted to assess associations with %predicted of lung
121 function (FEV_1 , FVC, FEV_1/FVC) at both ages 8 and 15 years. Effect estimates were presented

122 as per $10\mu\text{g}/\text{m}^3$ increment in PM_{10_total} exposure and per $1\mu\text{g}/\text{m}^3$ increment in PM_{10_road} and
123 PM_{10_other} . All analyses were undertaken using Stata v12.1 (College Station, Texas, USA).

124 Main model was adjusted *a priori* for sex, gestational age, maternal education, home
125 ownership, maternal smoking during pregnancy, passive smoking in childhood, damp and
126 mould presence at home, and season of clinic visit. To correct for multiple testing, we used the
127 Simes procedure and generated q-values using the ‘qqvalue’ package in Stata.

128 Sensitivity analyses were conducted by adding each of the following variables into the main
129 model: current asthma, current body mass index, ever had breastfeeding and small for
130 gestational age (SGA) (i.e. birth weight $<10^{\text{th}}$ percentile for gestational age vs. 10^{th} + percentile).

131 Potential effect modifications by child’s sex, maternal education and maternal smoking were
132 examined by adding into the models an interaction term between PM_{10} exposure and each of
133 these variables.

134 Missing data of PM_{10} exposures, covariates and outcomes in the main model were imputed by
135 using multiple imputations. Ten new datasets were created by means of imputation, achieving
136 a complete sample size of 13,963 for each dataset. All datasets were analysed separately, after
137 which results were combined using Rubin’s rule(35). Complete-case analysis was conducted
138 in which children were only included if information on PM_{10} exposures, covariates and
139 outcomes at both ages 8 and 15 years were all available.

140 Spearman correlations between PM_{10} for different time periods were calculated. To investigate
141 the independent effects of PM_{10_total} exposure in each time period on childhood lung function,
142 exposures from different time periods (i.e. trimesters and infancy; trimesters and childhood)
143 were mutually adjusted in the main model, for which potential multicollinearity was assessed
144 by the variance inflation factor (VIF). As high correlations were seen between PM_{10_road} for

145 different time periods, a Bayesian profile regression model (supplementary text) was fitted(36)
146 via the R package PReMiuM(37), which enables assessment between potentially collinear
147 variables and an outcome through cluster membership.

148 Lung function growth rates (litre/year) from ages 8 to 15 years in relation to air pollution
149 exposure were examined using linear regression by additionally adjusting age and height at 15
150 years in the main model.

151 **RESULTS**

152 Among the 13,963 children, %predicted of lung function were calculated for 5,276 and 3,446
153 children at age 8 and 15 years respectively(Table1). Mean PM₁₀_total exposures were
154 33.45 µg/m³ in the first trimester and declined in each subsequent period to 30.96 µg/m³ by 7-
155 12 months of age (Table 2). The annual average PM₁₀_total exposures for 0-7 years and 8-15
156 years was 32.99 µg/m³ and 22.98 µg/m³ respectively. Average PM exposure in each time period
157 by child's sex, maternal education and maternal smoking is presented in Table S1.

158 Spearman correlations between PM₁₀_road for different time periods were highly
159 correlated(TableS2.1), as also seen for PM₁₀_other(TableS2.2). In contrast, correlations
160 between PM₁₀_total across trimesters, 0-6 months and 7-12 months were low to moderate
161 (ranging from -0.16 to 0.22 except between first trimester and 0-6 months of age (r=0.44) and
162 between second trimester and 7-12 months (r=0.72))(TableS2.3). For the same period,
163 correlations between each source of PM₁₀ exposure ranged from 0.48 to 0.96(TableS3).

164 For PM₁₀_road, each 1µg/m³ higher exposure during the first trimester was associated with
165 lower %predicted of FEV₁ (-0.826, 95%CI: -1.357 to -0.296)(Figure1a) and of FVC (-0.817,
166 95%CI: -1.357 to -0.276)(Figure1b) at age 8 years. Significant negative associations of similar
167 effect size were also seen for the other time periods. In contrast, at age 15 years, all associations

168 were consistently positive but non-significant except for the first and second trimester and the
169 whole pregnancy, for example, for the whole pregnancy, %predicted of FEV₁ and FVC was
170 higher by 1.317 (95%CI: 0.377 to 2.256) and 1.166 (95%CI: 0.269 to 2.063) respectively. No
171 associations with FEV₁/FVC ratio at either age were seen(Figure1c).

172 When analyses were restricted to the 1,501 participants with lung function measurements at
173 both ages 8 and 15 years, directions of the associations between PM₁₀ exposures and lung
174 function measures at both ages were generally in line with the main analyses, although the
175 effect sizes were much smaller and most associations were non-significant as expected given
176 the smaller sample(Table S4).

177 The profile regression model for analyses of PM_{10_road} at age 8 years revealed a ‘best’
178 partition made of three clusters of participants. The first cluster was characterised by children
179 (n=41) having consistently high exposure to PM_{10_road} throughout the *in-utero* and early-life
180 period, whilst the third cluster was characterised by children (n=3607) having low exposure
181 for the same period, and the exposure profile for the second cluster (n=566) was somewhat in
182 between. Overall, while the second and third cluster did not show substantial differences in the
183 mean values of lung function outcomes, the first cluster was characterised by having lower
184 mean values of FEV₁ (Figure S2) or FVC (Figure S3), although the uncertainty was large
185 because only a small number of participants were included in this cluster. At age 15 years, there
186 was no clear association with either lung function outcome.

187 For PM_{10_other}, directions of associations at both ages were similar to those of PM_{10_road},
188 although the effect sizes were smaller and most significant associations did not persist after
189 multiple test correction(FigureS4 a-c).

190 For PM₁₀_total, associations with both %predicted of FEV₁ and FVC at age 8 years were all
191 negative but with varied strength across time periods. Exposure during the second trimester,
192 whole pregnancy, 7-12 months and 0-7 years was significantly associated with lower %
193 predicted of FEV₁(Figure2a) while significant negative associations were observed for
194 %predicted of FVC in relation to third trimester, whole pregnancy and 7-12 months exposure
195 (Figure2b). The negative association with FVC observed at the third trimester persisted in
196 models mutually adjusted for exposures in other trimesters and infancy periods(TableS5) while
197 multicollinearity was not detected (VIF<10). A significant positive association (0.641, 95%CI:
198 0.168 to 1.113) in the third trimester was seen for %predicted of FEV₁/FVC ratio at age 8
199 years(Figure2c), which persisted in models mutually adjusted for exposures in all other periods
200 (TableS5).

201 At age 15 years, there were significant positive associations between the first trimester
202 exposure and %predicted of FEV₁ or FVC, and between the third trimester exposure and
203 %predicted of FEV₁/FVC ratio, although none of these persisted after multiple test corrections.

204 At age 8 years, for the associations between PM₁₀_road and %predicted of FVC at all time-
205 periods, negative associations were larger among boys, children whose mother had a lower
206 education level or smoked during pregnancy(Figure3). Similar patterns were also observed for
207 PM₁₀_total(FigureS5).

208 Sensitivity analyses and analyses using imputed datasets (TableS6.1 & S6.2) did not materially
209 change the main findings. Most associations between air pollution exposure of different periods
210 and lung function growth from age 8 to 15 years were non-significant, except that positive
211 associations were found between PM₁₀ exposure of each source during the first trimester and
212 FEV₁ growth(Table S7).

213 **DISCUSSION**

214 In this large cohort followed from antenatal period to age 15+ years, PM₁₀ exposures during
215 each pregnancy trimester, infancy and childhood were modelled specifically for road traffic
216 and non-road traffic sources. We found that road-traffic PM₁₀ appears to be particularly
217 harmful for mid-childhood lung function. Specific susceptibility time periods in pregnancy and
218 early life were not identified, possibly because exposures to road-traffic PM₁₀ were highly
219 correlated across time periods. Associations were larger among boys, children whose mother
220 had a lower education level or who smoked during pregnancy. Associations with total PM₁₀
221 (i.e. from all sources) were less consistent, but pointed to susceptibility periods during the third
222 trimester in relation to lower FVC levels in mid-childhood. However, these negative
223 associations were not observed at age 15 years.

224 The observed reduction in our study of 0.8% of predicted lung function levels in relation to
225 early-life road-traffic PM₁₀ exposure equates to an average decrement of about 14mL FEV₁
226 and 16mL FVC loss at age 8 years. The deficits, although small for individuals, may
227 unfavourably shift the distribution of average lung function in the population (i.e. numbers of
228 individuals with lower lung function will increase) and this may have long-term implications
229 for lung health(1).

230 It is difficult to make direct comparisons with the previous studies due to different exposure
231 assessments (e.g. nitrogen dioxide (NO₂) or PM with an aerodynamic diameter
232 $\leq 2.5\mu\text{m}$ (PM_{2.5})), small numbers and different characteristics of the study children (e.g.
233 asthmatics, pre-schooler). PM_{2.5} estimates were not modelled in our study as PM_{2.5} monitoring
234 data only became available from 2008 in Bristol and the surrounding areas(33). Nevertheless,
235 our modelled estimates of PM_{10_road} should be qualitatively comparable to NO₂, NO_x or
236 PM_{2.5}, as all are likely to be primarily derived from road traffic sources.

237 The negative association of PM₁₀ derived from road traffic on mid-childhood lung function in
238 our study is consistent with results of previous studies that investigated trimester-specific or
239 prenatal exposures to NO₂ or PM_{2.5}. In the Spanish INMA birth cohort of 620 pre-school
240 children age 4.5 years an IQR higher exposure in the second trimester to Land Use Regression
241 (LUR)-derived NO₂ was significantly associated with a 28 ml and 33 ml reduction of FEV₁
242 and FVC respectively(22). Similarly, an earlier study in California of 232 asthmatic children
243 age 6-11 years reported that FEV₁ and FVC was reduced by 1.2% and 7.1% for each IQR
244 higher second trimester exposure to NO₂, assigned from the nearest monitoring station(21).
245 Whilst these two studies pointed to susceptibility periods during the second trimester, another
246 study (n=171) from Boston, USA found that prenatal exposure to modelled PM_{2.5} in late
247 pregnancy (≥ 35 weeks gestation) was significantly associated with impaired lung function at
248 age seven years, particularly among boys(24). More recently, the PARIS cohort of 788 children
249 with an average age of 8.5 years did not report such significant negative associations with either
250 FEV₁ or FVC in relation to monitoring-based nitrogen oxides (NO_x) exposures in each
251 pregnancy trimester(23).

252 Unlike previous studies(21, 22, 24), we did not observe an effect from PM_{10_road} limited only
253 to the second trimester or late pregnancy but, given the very high correlations across time
254 periods in these other studies (an issue also encountered in our study for PM_{10_road}), it is
255 difficult to be certain of a critical time window. We did not identify a specific sensitive
256 trimester in relation to PM_{10_road} in the profile regression, but found lower mean FEV₁ and
257 FVC in children with consistently high exposure to PM_{10_road} throughout the in-utero and
258 early life period. Given that our and the other studies discussed above do not consistently
259 identify a pregnancy trimester or early life period particularly susceptible to effects of road
260 traffic air pollution on the developing lung (as measured by lung function), there may not be a

261 truly trimester specific effect. The public health implications of this are that reduction of road
262 traffic air pollution exposure is important throughout pregnancy and early childhood.

263 Our findings are also consistent with results of the Swedish BAMSE cohort (2,278
264 children)(15) and the GINIplus and LISAprus cohorts in Germany (2,266 children)(17) which
265 reported negative associations with air pollution exposures in infancy and lung function at age
266 8 years, but no significant or even positive associations at 15 years. Two other studies however
267 did not find associations between exposures at birth or first year of life and lung function levels
268 at 6-11 years(7, 12), partly due to uncertainty in modelling historical exposures or a lack of
269 power. Our findings complement those from the Southern California Children's Health Study,
270 which observed that children living closer to a freeway had significant deficits in lung function
271 growth from 10 to 18 years, based on a 'within-community' analysis(5). Recently, the PIAMA
272 birth cohort reported that higher exposure to PM₁₀, PM_{2.5} or NO₂ at birth and age four years
273 was significantly associated with reduced growth in FEV₁ but not FVC from age 8 to 16
274 years(19). We however did not replicate this finding in the longitudinal analysis using lung
275 function at both ages 8 and 15 years.

276 As with a previous study(12), effect sizes of air pollutants on both FEV₁ and FVC were similar
277 in our study, indicating that traffic-related air pollution exposure during pregnancy may
278 potentially result in a restrictive pattern of lung function in mid-childhood, but not necessarily
279 predispose children for chronic airflow obstruction.

280 We found that PM₁₀ exposure from all sources, in particular during the third trimester, was
281 negatively associated with FVC at age 8 years. During late fetal life and infancy, the developing
282 lungs undergo structural and functional growth, mainly in formation of small airways which
283 range from terminal bronchioles to alveolar sac(38). It is plausible that during this period
284 exposure to particulate air pollution, associated with oxidative stress and pro-inflammatory

285 activities, may have impact on development of small airways such as slower growth and/or
286 closure(12), which may lead to a fall in FVC in childhood.

287 However, as decrements in lung function did not persist to age 15 years, possible effects on the
288 lung of early exposure to PM (especially from road traffic) may be transitory or reflect toxicity
289 of the air pollution mix in the fetal and early life period.

290 General air quality improved over our study period(33), and the proportional contribution of
291 diesel emissions became progressively less. Diesel emissions, believed to be particularly toxic
292 to the developing lungs(5), are likely to have contributed largely to PM concentrations in our
293 study area during the pregnancy periods because regulations on PM emissions from diesel
294 vehicles were first introduced in the UK in July 1992 (i.e. Euro 1). Prior to Euro 1 regulations,
295 PM₁₀ emissions from diesel vehicles were about 0.17 gram per kilometre driven. This reduced
296 by many orders of magnitude (i.e. <0.01 g/km) by 2009 with progressively tightening of
297 regulations, for example, through the fitting of diesel particulate filters(39). Thus it is possible
298 that negative effects of early-life exposure to higher, more toxic air pollution may have been
299 offset by increased lung growth up to age 15 years in the absence of continued cumulative high
300 exposure. This is supported by results of the Southern California Children's Health Study, in
301 which long-term air quality improvements were associated with improvements in growth of
302 both FEV₁ and FVC from aged 11 to 15 years(6).

303 Mechanisms of air pollution effects, particularly during pregnancy, on childhood lung function
304 remain unclear. One likely central pathway is through oxidative stress (i.e. over-production of
305 reactive oxygen species). It is believed that particles inhaled by the mother during pregnancy
306 could cross the placental barrier(40) and directly disturb *in-utero* lung development via
307 promotion of oxidative stress(9). Some recent studies have suggested that epigenetic changes
308 induced by prenatal air pollution exposure may also play an important role(24, 41).

309 We found increased susceptibility to the effects of air pollution on lung function at mid-
310 childhood among boys, consistent with several studies(6, 7, 14, 24) but not others that reported
311 no differences between sexes(12, 22). Another finding of public health concern is that children
312 from a lower socioeconomic background tended to be more susceptible, possibly as they were
313 likely exposed to some other unfavourable exposures related to their socioeconomic
314 disadvantage.

315 Our study has limitations. We relied on modelling of air pollution exposures at residential
316 address and did not make allowance for travel patterns, or indoor pollution sources. The
317 downward time trends in PM₁₀ levels during follow-up may have potentially affected our
318 results; however, as we previously documented that declines in PM₁₀ levels during
319 measurement of lung function at both 1999-2002 and 2006-2008 have been relatively small(33)
320 and therefore substantial confounding due to time trends seems unlikely. As expected in any
321 long-running birth cohort, there is loss to follow-up which may be differential. For example,
322 children who were more socially deprived were more likely to drop out than socially
323 advantaged children, although PM_{10_road} exposures in pregnancy and infancy as well as lung
324 function measures at age 8 years were similar between groups(Table S8). This potential
325 selection bias may in part explain the non-significant findings at age 15 years and affects
326 generalizability of the observed findings.

327 We used separate models for each trimester-averaged exposure, but estimates may be biased if
328 exposure in other trimesters act as unmeasured confounders, e.g. through seasonal trends in
329 particulate exposure(42). This can be reduced by including all trimester-averaged exposures in
330 a single model (not possible if there are high correlations between trimesters, e.g. PM_{10_road}
331 and PM_{10_other}), or by using other statistical models. Wilson et al (42) used a distributed lag
332 model considering exposures in each week of pregnancy but we were unable to implement this

333 model in this current analysis because we had too few data points and because the model
334 requires data points to be of equal temporal length. Therefore we used a profile regression
335 model designed to deal with correlated data points, which does not have a requirement about
336 temporal spacing of data. This did not identify a specific susceptible trimester.

337 Only two time points of lung function measurements were available for our analyses.
338 Compared to mid-childhood, it is difficult to study the effects of early-life air pollution
339 exposure on lung function in adolescence. Throughout adolescence the rapid growth of lung
340 function may follow a non-linear pattern and is dependent on sex as puberty plays a major role.
341 Generally, lung volume growth in boys tends to occur rapidly towards the end of puberty whilst
342 in girls this process starts earlier in puberty(43). Tobacco smoking is also an important factor
343 impacting lung function growth; however our results did not change after further adjusting for
344 smoking at age 15 years. We did not adjust dietary patterns during pregnancy or throughout
345 childhood which might also have impacts on lung function.

346 In conclusion, exposure to road-traffic PM₁₀ in pregnancy and early life was associated with
347 small but significant reductions in lung function in mid-childhood. Although PM₁₀ emissions
348 from diesel vehicles have been declining over the years in the UK, other traffic-derived
349 pollutants, such as NO₂, a good proxy of diesel emissions, are still found in high concentrations
350 among many UK cities(44). A stringent control policy on road traffic-related air pollution is
351 required to protect respiratory health of children with potential for long-term benefits on lung
352 health across the life-course(1).

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List of Figures

Figure 1a-1c Mean changes in percent predicted of lung function at both age 8 and 15 years in relation to per $1\mu\text{g}/\text{m}^3$ higher PM_{10_road} at different time periods, fully adjusted model*

*adjusted for sex, gestational age, maternal education, home ownership, maternal smoking during pregnancy, passive smoking in childhood, damp and mould presence at home, and season of clinic visit; WP-whole pregnancy

Figure 2a-2c Mean changes in percent predicted of lung function at both aged 8 and 15 years in relation to per $10\mu\text{g}/\text{m}^3$ higher PM_{10_total} at different time periods, fully adjusted model*

*adjusted for sex, gestational age, maternal education, home ownership, maternal smoking during pregnancy, passive smoking in childhood, damp and mould presence at home, and season of clinic visit; WP-whole pregnancy

Figure 3 Mean changes in percent predicted of FVC at aged 8 years in relation to per $1\mu\text{g}/\text{m}^3$ higher PM_{10_road} at different time periods by maternal education, smoking and sex, fully adjusted model*

*adjusted for sex, gestational age, maternal education, home ownership, maternal smoking during pregnancy, passive smoking in childhood, damp and mould presence at home, and season of clinic visit; WP-whole pregnancy

Table 1 Summary statistics of the study populations

Characteristic	Entire cohort (N=13963)		Age 8 years (N=5276†)		Age 15 years (N=3446†)	
	N	%	N	% or Mean(SD)	N	% or Mean(SD)
male sex	7213/13963	52%	2664/5276	50%	1682/3446	49%
preterm birth (<37 weeks)	844/13963	6%	305/5276	6%	173/3446	5%
lower maternal education	8017/12403	65%	2843/5082	56%	1802/3348	54%
maternal smoking in pregnancy	3293/13144	25%	944/5174	18%	531/3385	16%
rented a home in the first 8 months	2290/10939	21%	630/4833	13%	378/3151	12%
presence of damp/mould at home in the first 8 months	5352/11226	48%	2357/4911	48%	1616/3214	50%
ever exposed to passive smoking in childhood	6654/10188	65%	2587/4662	55%	1574/3044	52%
never had breastfeeding	2755/11313	24%	905/4959	18%	496/3247	15%
current asthma			464/4460	10%	230/2299	10%
current body mass index (BMI), kg/m ²			4979	17.1 (2.4)	3441	21.5 (3.5)
FEV ₁ , litre			5276	1.69(0.26)	3446	3.45(0.78)
FEV ₁ , %predicted			5276	99.22%(11.67)	3446	93.66%(15.65)
FVC, litre			5276	1.92(0.31)	3446	3.76(0.88)
FVC, %predicted			5276	99.04%(11.92)	3446	89.05%(14.88)
FEV ₁ /FVC			5276	0.88(0.06)	3446	0.92(0.07)

FEV ₁ /FVC, %predicted	5276	99.71%(7.08)	3446	104.83%(7.72)
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†participants had data on at least FEV₁ or FVC %predicted values at the current age; SD=standard deviation

Table 2 Distributions of air pollutants ($\mu\text{g}/\text{m}^3$) at different time periods

PM ₁₀ component	Exposure period	N	Mean	SD	Median	IQR	Range
PM _{10_road}	T1, <i>daily average</i>	12,445	0.96	0.71	0.80	0.72	0.07-7.80
	T2, <i>daily average</i>	12,726	0.96	0.71	0.80	0.71	0.10-8.37
	T3, <i>daily average</i>	12,670	0.94	0.69	0.78	0.70	0.05-7.26
	Whole pregnancy, <i>daily average</i>	12,315	0.96	0.69	0.81	0.72	0.13-7.72
	0-6months, <i>daily average</i>	12,538	0.94	0.68	0.79	0.73	0.14-8.33
	7-12months, <i>daily average</i>	12,440	0.89	0.65	0.75	0.68	0.13-7.17
	0-7 years, <i>annual average</i>	10,974	0.97	0.46	0.93	0.54	0.23-4.78
	8-15 years, <i>annual average</i>	11,181	0.65	0.28	0.67	0.33	0.13-3.75
	0-15 years, <i>annual average</i>	10,309	0.80	0.35	0.80	0.41	0.18-3.63
PM _{10_other}	T1, <i>daily average</i>	12,445	5.24	1.97	5.10	2.42	0.78-18.36
	T2, <i>daily average</i>	12,726	5.25	1.96	5.08	2.41	0.79-19.07
	T3, <i>daily average</i>	12,670	5.17	1.94	5.06	2.42	0.86-19.32

	Whole pregnancy, <i>daily average</i>	12,315	5.24	1.85	5.20	2.30	1.12-17.14
	0-6months, <i>daily average</i>	12,538	5.19	1.88	5.14	2.37	1.05-19.47
	7-12months, <i>daily average</i>	12,440	4.87	1.76	4.83	2.23	1.08-18.07
	0-7 years, <i>annual average</i>	10,974	4.62	1.35	4.77	1.83	1.30-13.07
	8-15 years, <i>annual average</i>	11,181	2.70	0.80	2.93	1.04	0.66-6.41
	0-15 years, <i>annual average</i>	10,309	3.60	1.01	3.78	1.35	0.97-9.39
PM ₁₀ _total	T1, <i>daily average</i>	12,445	33.45	5.31	32.88	7.90	20.38-54.21
	T2, <i>daily average</i>	12,726	32.97	5.35	31.97	7.86	20.65-56.78
	T3, <i>daily average</i>	12,670	31.49	5.26	30.40	6.87	17.08-67.83
	Whole pregnancy, <i>daily average</i>	12,315	32.58	2.98	32.60	3.79	22.82-48.52
	0-6months, <i>daily average</i>	12,538	31.82	3.74	31.57	5.08	22.37-51.76
	7-12months, <i>daily average</i>	12,440	30.96	3.12	30.83	4.29	22.51-49.72
	0-7 years, <i>annual average</i>	10,974	32.99	1.83	33.08	2.50	28.20-42.91
	8-15 years, <i>annual average</i>	11,181	22.98	1.05	23.19	1.44	20.19-27.25
	0-15 years, <i>annual average</i>	10,309	27.66	1.36	27.82	1.85	24.03-34.54

T1 – first trimester; T2- second trimester; T3 – third trimester; IQR – Interquartile range; SD: standard deviation

