



Berghuijs, W. R., Woods, R. A., & Hrachowitz, M. (2014). A precipitation shift from snow towards rain leads to a decrease in streamflow. *Nature Climate Change*, 4, 583-586.  
<https://doi.org/10.1038/nclimate2246>

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# 1 A precipitation shift from snow towards 2 rain leads to a decrease in streamflow

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6 **In a warming climate, precipitation is less likely to occur as snowfall**  
7 **(Solomon 2007, Krasting 2013). A shift from a snow- towards a rain-**  
8 **dominated regime is currently assumed not to influence the mean**  
9 **streamflow significantly (Barnett 2005, Regonda 2005, Stewart 2005,**  
10 **Solomon 2007, Gentine 2012, Godsey 2013). Contradicting the current**  
11 **paradigm, we argue that mean streamflow is likely to reduce for**  
12 **catchments that have significant reductions in the fraction of**  
13 **precipitation falling as snowfall. With more than one-sixth of the Earth's**  
14 **population depending on meltwater for their water supply (Barnett 2005)**  
15 **and ecosystems that can be sensitive to streamflow alterations (Bunn**  
16 **2002), the consequences of a reduction in streamflow can be**  
17 **substantial. By applying the Budyko water balance framework (Budyko**  
18 **1974) to catchments located throughout the contiguous United States**  
19 **we demonstrate that a higher fraction of precipitation falling as snow is**  
20 **associated with higher mean streamflow, compared to catchments with**  
21 **marginal or no snowfall. Additionally, we show that the fraction of each**  
22 **year's precipitation falling as snowfall has a significant influence on the**  
23 **annual streamflow within individual catchments. This study is limited to**  
24 **introducing these observations; process-based understanding at the**  
25 **catchment scale is not yet available. Given the importance of streamflow**  
26 **for society, new studies are required to respond to the consequences of**  
27 **a temperature-induced precipitation shift from snow to rain.**

28  
29 Natural and anthropogenic influences such as climate and land-cover change  
30 or long-term fluctuations of the system undermine the assumption that the  
31 hydrological cycle can be considered stationary (Milly 2008; Koutsoyiannis  
32 2010). One of the most profound and widely-anticipated changes in the  
33 hydrological cycle is the temperature-induced shift of winter precipitation from  
34 snow towards rain and earlier melt of the winter snowpack (Laternser 2003,  
35 Hamlet 2005, Mote 2005, Solomon 2007, Pierce 2008, Barnett 2008). A shift  
36 from a snow towards a rain regime leads to changes in the within-year  
37 distribution of streamflow (Regonda 2005, Stewart 2005, Solomon 2007,  
38 Molini 2011, Godsey 2013), which are associated with a significant impact on  
39 human freshwater resources (Barnett 2005, Solomon 2007) and disruptions of  
40 ecosystem functioning (Vaganov 1999, Cayan 2001, Westerling 2006,  
41 Solomon 2007). The projected global temperature increase (Solomon 2007) is  
42 expected to affect future snowfall (Solomon 2007, Krasting 2013) and  
43 consequently the temporal distribution of river water availability will continue  
44 to change. Though these impacts of warming on temporal streamflow  
45 distribution are acknowledged, the influence of the change in form of  
46 precipitation on the long-term mean streamflow is generally either assumed to

47 be negligible (Barnett 2005, Regonda 2005, Stewart 2005, Solomon 2007,  
48 Gentine 2012, Godsey 2013), or found to be insignificant using FLUXNET  
49 data (Williams 2012), or not included in simulations (e.g. Milly 2005).  
50 However, this assumption that the long-term water balance is not significantly  
51 affected by a precipitation shift from snow towards rain is not yet  
52 substantiated by empirical findings at the catchment scale.

53

54 Here, we study the role of snowfall for the mean-annual and inter-annual  
55 streamflow using data from 420 catchments located across the contiguous  
56 United States. The mean-annual streamflow of catchments is studied by a  
57 between-catchment comparison of the long-term (16-54 year, mean 47 year)  
58 partitioning of incoming precipitation into evaporation or streamflow. These  
59 observations are put in context of the Budyko hypothesis (Budyko 1974). This  
60 hypothesis assumes that the long-term water balance is primarily a function of  
61 the atmospheric supply and demand of water, expressed as the ratio of mean  
62 potential evaporation ( $\bar{E}_p$ ) to the mean precipitation ( $\bar{P}$ ). The Budyko  
63 hypothesis is a widely used tool to normalize observations among a wide  
64 range of climatic settings; it enables the effects of secondary controls on a  
65 catchment's water balance to be identified (Dooge 1992, Zhang 2004). We  
66 examine the influence of the mean fraction of precipitation that falls as snow  
67 ( $\bar{f}_s$ ) on the mean streamflow ( $\bar{Q}$ ). Since between-catchment differences in the  
68 water balance can be caused by many factors which are correlated with the  
69 long-term average snow fraction, we also analyze the inter-annual streamflow  
70 of catchments to estimate the annual streamflow variation due to variations in  
71 the snow fraction. To conclude, we quantify the sensitivity of streamflow to  
72 potential changes in  $\bar{f}_s$  that may result from temperature rise.

73

74 Figure 1a displays the long-term streamflow measurements of the 420 study  
75 catchments in the context of the Budyko hypothesis, and stratified by long-  
76 term mean snow fraction ( $\bar{f}_s$ ). Overall, the pattern of observations is consistent  
77 with the Budyko curve, with a mean overestimation of the normalized  
78 streamflow ( $\bar{Q}/\bar{P}$ ) by just 0.02. Figure 1a also shows that, in general, larger  
79 values of  $\bar{f}_s$  are associated with lower normalized evaporation ( $\bar{E}/\bar{P}$ ) and  
80 higher normalized mean streamflow ( $\bar{Q}/\bar{P}$ ). Figure 1b clarifies this effect by  
81 displaying the observed streamflow anomaly from the Budyko curve as a  
82 function of snow fraction, ( $\bar{f}_s$ ). A linear regression ( $p < 0.01$ ) indicates an  
83 average increase in normalized streamflow ( $\bar{Q}/\bar{P}$ ) of 0.37 per unit increase in  
84 snow fraction, ( $\bar{f}_s$ ).

85 We have assessed the uncertainties in these data and their interpretation.  
86 Precipitation measurements are sensitive to undercatch, especially for solid  
87 precipitation (Groisman 1994) and so have been corrected here according to  
88 Groisman (1994). Changes in soil and groundwater storage are orders of  
89 magnitude smaller than the other fluxes and thus considered negligible over a  
90 multi-annual period. Inter-annual changes of snow storage are minimal due to  
91 absence of large areas with perennial snow cover in any of the 420  
92 catchments. Streamflow measurement errors and exchanges with aquifers  
93 can bias results of individual catchments, but are unlikely to be strongly  
94 correlated to the snow fraction. The above uncertainties are thus unlikely to

95 result in a misinterpretation of the observed patterns in context of the Budyko  
96 hypothesis.

97 Given that the mean partitioning of precipitation into streamflow and  
98 evaporation is partly governed by physiographic controls that may be spatially  
99 correlated with the long-term snow fraction (e.g. topography, soil, landcover,  
100 etc.), and since the Budyko framework does not examine between-year  
101 variations in streamflow, we extend the analysis with a study of the inter-  
102 annual streamflow. We selected catchments with a significant amount of  
103 snowfall, while maintaining a large number of catchments (97 catchments with  
104  $\bar{f}_s > 0.15$ ). For each catchment, we use linear regressions to investigate  
105 whether year-to-year variations in normalized annual streamflow ( $Q/\bar{P}$ ) can be  
106 linked to the corresponding variations in snow fraction between years.

107 Figure 2 displays the sensitivity of normalized annual streamflow to annual  
108 snow fraction for the 97 catchments. Sensitivity is defined as the change in  
109 normalized annual streamflow ( $Q/\bar{P}$ ) per change in the annual fraction of  
110 precipitation falling as snowfall ( $f_s$ ) (see Methods). The mean increase of  $Q/\bar{P}$   
111 per unit of  $f_s$  is 0.29 (standard deviation 0.21) and 94 of the 97 catchments  
112 display a positive value of this sensitivity. This indicates that an increase in  
113 the annual  $f_s$  is almost always associated with an increase in the annual  
114 streamflow, but sensitivities differ per catchment. The results are not  
115 significantly influenced by changes in soil-water and groundwater storage  
116 variation between years; we established this by repeating the analysis using  
117 5-year averages in place of annual averages; the conclusions were  
118 unaffected.

119 Variations in  $f_s$  between years are caused both by fluctuations of the mean  
120 winter temperature and the fraction of precipitation falling during the winter  
121 period. An identical sensitivity analysis using temperature instead of  $f_s$   
122 indicates that, on average, the annual streamflow decreases when the mean  
123 winter temperature (1 Nov. – 1 Apr.) increases. This holds solely for the set of  
124 catchments with high  $\bar{f}_s$  values, and is not applicable for summer  
125 temperatures (1 May. – 1 Oct.) or catchments with marginal snowfall ( $\bar{f}_s \leq$   
126 0.15). The results therefore suggest that mean stream flow is not merely  
127 related to the timing of precipitation or the associated temperature, but that  
128 the form of precipitation also is a determining factor.

129 To provide a context for the streamflow sensitivity to annual snow fraction, we  
130 consider the effect of temperature warming, under the assumption that the  
131 observed historical climate series are representative for future scenarios. The  
132 historical climate series for the 97 snow-affected MOPEX catchments indicate  
133 that a large fraction of precipitation that now falls under the temperature  
134 threshold will in future fall at temperatures above that threshold. A 2°C  
135 temperature rise for the 97 studied catchments leads to an average 35%  
136 decrease in  $\bar{f}_s$  (standard deviation 11%). For a 4°C temperature increase,  $\bar{f}_s$   
137 reduces by 60% (standard deviation 15%). As shown above, the average  
138 change in normalized streamflow is 0.29 per unit of  $f_s$ , but varies by  
139 catchment. Under the simplifying assumption of a system otherwise at steady-  
140 state, this implies that a 2°C temperature rise, on average, could potentially

141 lead to a decrease of normalized streamflow ( $Q/\bar{P}$ ) in the order of 0.1 times  
142 the historical  $f_s$ . Given that mean streamflow is in general significantly lower  
143 than the mean precipitation the proportional change in actual mean  
144 streamflow can be much higher. Although other factors, such as changes in  
145 precipitation patterns (Groisman 2004, Dore 2005), can locally compensate  
146 for changes in the annual streamflow, clearly temperature rise will alter the  
147 hydrological cycle. This will require an understanding of catchment function  
148 that goes beyond the assumption that systems fluctuate within an unchanging  
149 envelope of variability (Milly 2008), including the need to more  
150 comprehensively acknowledge the role of snow for the long-term streamflow  
151 patterns.

152 The observation that a lower  $f_s$  is associated with lower streamflow on the  
153 annual and mean-annual timescales is restricted here to empirical evidence,  
154 and does not reveal the physical processes behind these observations. The  
155 processes underlying the sensitivity of mean streamflow to snowfall may be  
156 related to differences in: the infiltration capacity of soils, the duration of  
157 infiltration periods, the timing of infiltration periods, the evaporation from  
158 snow-covered and snow-free soils, the growing season length, the soil  
159 moisture regime, the potential evaporation, amongst other factors. Given the  
160 diversity of catchments in our sample, each with its own internal  
161 heterogeneity, the mechanisms connecting snow to mean streamflow are  
162 likely to result from combinations of factors and may vary from site to site.  
163 Further work is needed to clarify which hydrological processes are the main  
164 contributors to the sensitivity we have presented.

165 In summary, this study uses historical data from a wide range of catchments  
166 to investigate the role of the fraction of precipitation falling as snow for the  
167 long-term and the inter-annual mean streamflow of catchments. There is  
168 evidence that, in context of the Budyko hypothesis (Budyko 1974),  
169 catchments with a high fraction of long-term precipitation falling as snowfall  
170 are characterized by significantly higher long-term mean streamflow than  
171 catchments with little or no snowfall. In addition, analysis of inter-annual  
172 variability indicates that the annual fraction of precipitation falling as snow has  
173 a significant influence on the mean annual streamflow, independent of  
174 precipitation amount. Both results indicate that a change in phase of  
175 precipitation from snow towards rain significantly decreases the mean  
176 streamflow. Although the study catchments are restricted to the contiguous  
177 United States, the diversity of physiographic and climatic settings, and the  
178 number of catchments used, suggest that snowfall may affect the mean  
179 streamflow in other regions as well. This finding has significant implications for  
180 water resource planning, as the projected global temperature rise is expected  
181 to lead to significant reductions in  $f_s$  in many regions around the world, and  
182 our results indicate that this would decrease mean streamflow in these  
183 regions, unless other factors compensate (Groisman 2004, Dore 2005). It is  
184 particularly relevant to “water towers” (Viviroli 2007) where societally  
185 important functions, such as ecosystem stability, hydropower, irrigation, and  
186 industrial or domestic supply are derived from snowmelt. Associated process  
187 explanations are not yet available and need to be understood if society is to

188 respond adequately to the consequences of a temperature-induced  
189 precipitation shift from snow to rain.

## 190 **Methods**

191

### 192 **Data**

193 Data are from 420 catchments belonging to the Model Parameter Estimation Experiment  
194 (MOPEX) (Schaake 2006). Catchments are located throughout the contiguous United States  
195 and span four of the five main climate types of the Köppen-Geiger climate classification.  
196 Drainage areas of the catchments vary between 67 and 10,329 km<sup>2</sup>. Daily time series of  
197 precipitation, temperature, potential evaporation and streamflow are all available for up to 54  
198 years (1948-2001). Potential evaporation is calculated based on the NOAA Pan Evaporation  
199 Atlas (Farnsworth 1983), using the Penman method (Penman 1948). The PRISM method  
200 (Daly 2008) was applied for interpolation of the temperature and precipitation values to  
201 account for topographic effects when estimating catchment mean precipitation and  
202 temperature. Streamflow values were sourced from the United States Geological Survey. The  
203 catchments were selected to have a limited anthropogenic influence, and their decadal water  
204 balance is not significantly influenced by changes in glacier storage: the perennial snow-  
205 covered area does not exceed 3% for individual catchments and is for most catchments non-  
206 existent. Annual values used in the analysis are from 1 Sep. to 31 Aug. to minimize effects of  
207 carry over storage of snowfall. The dataset is available online:  
208 [www.nws.noaa.gov/oh/mopex/mo\\_datasets.htm](http://www.nws.noaa.gov/oh/mopex/mo_datasets.htm)

### 209 **Snowfall estimation**

210 The fraction of precipitation falling as snow ( $f_s$ ) is approximated using a simple temperature  
211 threshold on each day of recorded data (e.g., Hock 2003). Precipitation on days with an  
212 average temperature below 1°C is considered to be entirely snowfall, while on days with  
213 temperature above 1°C, precipitation is considered to be entirely rainfall. The conclusions of  
214 the paper are robust to changes in the method of snowfall estimation within reasonable  
215 bounds (e.g. other temperature thresholds, or more complex schemes that linearly partition  
216 precipitation between snow and rain for temperatures between two thresholds).

### 217 **Undercatch correction**

218 In the context of the Budyko framework, the precipitation measurements are corrected for  
219 mean monthly undercatch. Corrections for undercatch are made according to Groisman  
220 (1994).

### 221 **Budyko framework**

222 The Budyko curve used for the normalization of the long-term water balances of catchments  
223 is as follows:

$$224 \quad \frac{1 - \bar{Q}/\bar{P}}{\bar{P}} = \sqrt{\frac{\bar{E}_p}{\bar{P}} \tanh\left(\frac{\bar{P}}{\bar{E}_p}\right) \left(1 - \exp\left(-\frac{\bar{E}_p}{\bar{P}}\right)\right)}$$

225 where  $\bar{Q}$ ,  $\bar{P}$  and  $\bar{E}_p$  are the long-term mean values for the streamflow [L/T], precipitation [L/T]  
226 and potential evaporation [L/T]. Similar equations proposed by others (e.g. Pike, 1964) will  
227 slightly alter the water balance anomalies for individual catchments but yield similar  
228 conclusions regarding the influence of snowfall.

### 229 **Sensitivity of inter-annual streamflow**

230 Sensitivity is defined below as the change in normalized annual streamflow ( $Q/\bar{P}$ ) per change  
231 in the annual fraction of precipitation falling as snowfall ( $f_s$ ). It is well known that annual  
232 streamflow often depends strongly on annual precipitation; if precipitation were correlated  
233 with snow fraction then a naive approach would result in a spurious sensitivity of streamflow  
234 to snow fraction. In the equation below we make the required correction for the effects of  
235 correlation between  $P$  and  $f_s$ . Therefore, sensitivity is approximated by:

236

$$\frac{\partial(Q/\bar{P})}{\partial f_s} = \frac{dF}{df_s} - \frac{\partial F}{\partial(P/\bar{P})} \frac{dG}{df_s}$$

237

where

238

$$Q/\bar{P} = F(f_s, P/\bar{P}) \text{ and } P/\bar{P} = G(f_s)$$

239

240

241

242

243

and where  $Q$  is the annual streamflow [L/T],  $\bar{P}$  is the mean annual precipitation [L/T],  $f_s$  is the annual fraction of precipitation falling as snowfall [-], and  $G(f_s)$  and  $F(f_s, P/\bar{P})$  are approximated as functions linearly dependent on their variables. The derivatives are approximated by the slope terms of least squares estimators.

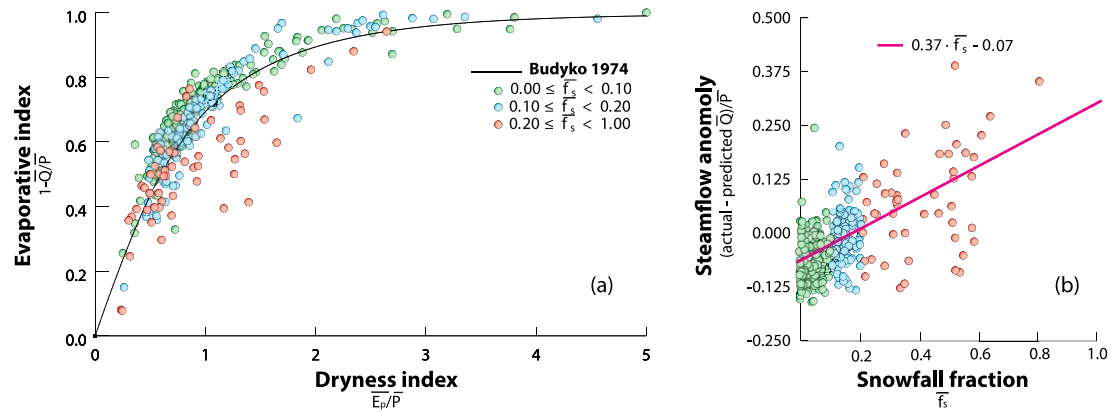
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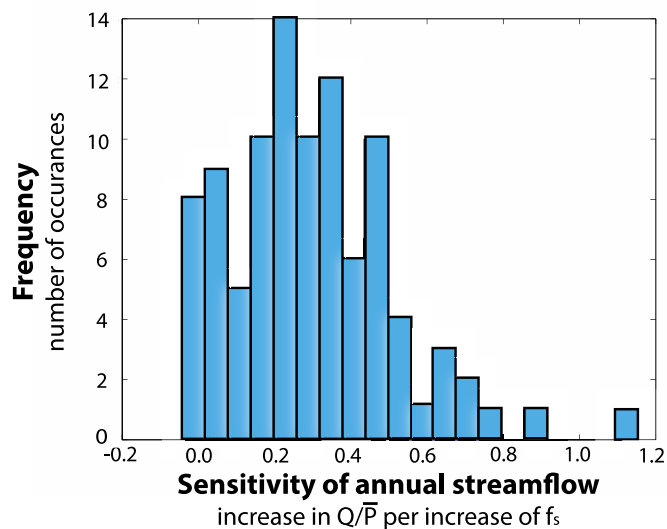
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349

350 **Figure 1: Mean annual streamflow and streamflow anomaly in the**  
351 **context of the Budyko hypothesis, stratified by snow fraction.** The  
352 observed long-term streamflow and precipitation measurements are placed in  
353 context of the Budyko hypothesis. The Budyko hypothesis states the mean  
354 streamflow is primarily a function of the catchment's annual precipitation and  
355 potential evaporation. Departures below the Budyko curve for catchments with  
356 a significant fraction of the precipitation falling as snow indicate that an  
357 increased fraction of precipitation as snowfall is associated with higher  
358 streamflow.

359



360

361 **Figure 2: Sensitivity of annual streamflow to the fraction of annual**  
362 **precipitation falling as snowfall.** The histogram displays the change in  
363 normalized streamflow ( $Q/\bar{P}$ ) per unit of change of the annual snow fraction  
364 ( $f_s$ ) for 97 snow-affected catchments ( $\bar{f}_s > 0.15$ ). Positive values of sensitivity  
365 indicate that the annual streamflow of catchments varies (between years)  
366 directly with the annual  $f_s$ . Years with higher snow fraction,  $f_s$ , tend to have  
367 higher values of annual streamflow.

368