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1 **The effect of post-surgical pain on attentional processing in horses**

2

3 Attentional processing in horses

4

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13 Attentional processing in horses

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16

17

18 **Abstract**

19

20 **Objective:** Investigate the effect of post-surgical pain on the performance of horses in a  
21 novel object and auditory startle task

22

23 **Study design:** Prospective clinical study

24

25 **Animals:** 20 horses undergoing different types of surgery and 16 control horses that did  
26 not undergo surgery were studied

27

28 **Methods:** The interaction of 36 horses with novel objects and a response to an auditory  
29 stimulus was measured at two time points; the day before surgery (T1) and the day after  
30 surgery (T2) for surgical horses (G1), and at a similar time interval for control horses  
31 (G2). Pain and sedation were measured using Simple Descriptive Scales (SDSs) at the  
32 time the tests were carried out. Total time or score attributed to each of the behavioural  
33 categories was compared between groups (G1 and G2) for each test and between tests  
34 (T1 and T2) for each group.

35

36 **Results:** The median (range) time spent interacting with novel objects was significantly  
37 reduced in G1 from 57.5 (367) seconds in T1 to 12.4 (495) seconds in T2. In G2 the  
38 change in interaction time between T1 and T2 was not statistically significant. Median  
39 (range) Total Auditory Score was 7 (9) and 10 (11) in G1 and G2 respectively at T1,  
40 decreasing to 6 (10) in G1 after surgery and at 9.5 (11) in G2. Similarly, there was a  
41 significant decrease in Total Auditory Score within G1 between T1 and T2 ( $p=0.003$ ).

42 There was a significant difference in Total Auditory Score between G1 and G2 at T2  
43 ( $p=0.0169$ ), with the score being lower in G1 than G2.

44

45 **Conclusions and clinical relevance:** Post-surgical pain negatively impacts attention  
46 towards novel objects and causes a decreased responsiveness to an auditory startle test.  
47 Attention demanding tasks in horses and may be useful as a biomarker of pain.

48

49 Key words: Pain, attention, horse, novel object, surgery

50

51

52 **Introduction**

53 The experience of pain is multidimensional and comprises sensory and affective-  
54 motivational elements. The sensory element represents pain intensity and quality, while  
55 the affective element encompasses unpleasantness, emotions and cognition. These  
56 elements are strongly correlated; in human infants, as pain intensity increases, the more  
57 unpleasant it becomes with a greater effect on cognition and emotions (Slater et al.  
58 2008). This has also been demonstrated in rats, whereby pain negatively affected  
59 awareness in attention demanding tasks (Boyette Davis et al. 2008; Pais-Vieira et al.  
60 2009). Similar studies of pain in humans (Eccleston et al. 1997; Lorenz et al. 1997)  
61 provide evidence that pain and cognition are strongly related (Eccleston et al. 1997;  
62 Millecamps et al. 2004), and it is widely accepted that attention can modulate pain and  
63 vice versa. Distraction from pain can result in reduced pain perception (Boyette-Davis  
64 et al. 2008), while pain can have a negative affect on attention demanding tasks  
65 (Millecamps et al. 2004; Pais-Vieira et al. 2009). Recent studies (Moore et al. 2013;  
66 Keogh et al. 2014) report preliminary findings that common conditions such as acute  
67 headache and menstrual pain lead to an overall dampening of attention, which results in  
68 decreased task performance. This is of particular interest as altered performance in  
69 experimental tasks is a valid alternative to verbal assessment of pain (Jensen et al. 1992;  
70 Rosenfeld et al. 1993) and attention has been indicated as one of the ‘pain-affected  
71 complex behaviours’ by which pain may be judged (Mogil 2009). Attention could  
72 therefore be used as an indicator of pain, especially in cases where self-reporting is not  
73 possible, for example in animals or non-verbal human infants.

74

75 Unlike in man, there is currently no ‘gold-standard’ for pain assessment in horses. This  
76 is mainly attributed to the difficulties of interspecies communication but also due to the  
77 limited knowledge of pain related behaviours in horses. Horses are stoic by nature,  
78 having evolved to mask signs of pain from predators, and are reluctant to show signs of  
79 pain that humans are able to recognise (Ashley et al. 2005). Although some generic  
80 behavioural responses to pain displayed by horses are a useful aid for pain detection  
81 (Moloney and kent 1997), those recovering from surgery are least able to display them  
82 (Hansen et al. 1997). Despite this, like human infants (Büttner et al. 2000), behavioural  
83 and physiological indicators of pain are heavily relied upon to assess pain. There is also  
84 evidence to suggest that physiological parameters such as respiration rate and heart rate  
85 lack sensitivity for pain (Moloney and Kent 1997; Hansen et al. 1997; Büttner et al.  
86 2000; Price et al. 2003).

87

88 Two studies (Price et al. 2003; Ashley et al. 2005) have reported changes in attention  
89 type behaviours (decreased exploratory behaviour, distracted demeanour) in post-  
90 surgical horses. However, to our knowledge, the direct effect of acute pain on attention  
91 in horses has not been previously investigated. However very recently the effect of  
92 chronic lower back pain on attention to the environment was investigated in horses  
93 (Rochais et al. 2016). This study found that lower attentional engagement and the level  
94 of back disorders were correlated suggesting that attentional engagement could become  
95 a reliable indicator of chronic pain in the horse. The aim of this study was to investigate  
96 if post-surgical pain altered attentional processing in horses. We hypothesized that  
97 horses recovering from surgery would have a decreased response to test stimuli

98 compared to control horses that were free from pain. If correct, attention may provide  
99 insight into affective state and have the potential as a new biomarker of pain in horses.

100

## 101 **Materials and methods**

### 102 *Animals*

103 Thirty six, healthy (ASA I-II) mixed breed horses were included in the study which was  
104 carried out at the XXXXXX, XXXX, between August 2013 and March 2014. Twenty  
105 horses (3 mares, 15 geldings, 2 stallions) undergoing elective surgery, with minimal or  
106 no pre-surgical pain were included in the “Surgery” group (G1). Sixteen horses (10  
107 mares, 6 geldings) admitted for non-painful procedures, such as treadmill evaluation of  
108 poor athletic performance, were included in the “Control” group (G2). A power  
109 calculation was not carried out prior to the start of the study as there were no  
110 preliminary data on which to base such analysis and data collection was bound by the  
111 number of eligible horses that presented to the clinic during the time that the study  
112 could be carried out.

113 All horses were stabled individually in standard stables (4m x 3m), bedded with  
114 cardboard or shavings. A minimum of three hours post-admittance to the clinic was  
115 allowed for the horse to acclimatize to the new environment before the first  
116 experimental test session (T1) was carried out. All food was removed from G1 horses a  
117 minimum of 6 hours prior to surgery. Control horses had full rations of food  
118 during the study. The study was approved by the XXXXX and owner or agent consent  
119 was obtained prior to inclusion of horses in the study.

120

### 121 *Anaesthesia and surgery (G1 horses)*

122 The anaesthetic protocol for G1 was similar for each horse but was not standardised  
123 between animals. Pre-anaesthetic medication comprised 0.03 mg kg<sup>-1</sup> IV acepromazine  
124 (ACP Injection, Elanco, UK) administered at least 30 minutes before induction of  
125 anaesthesia. Immediately prior to induction of anaesthesia further sedation was provided  
126 with an alpha 2 adrenergic agonist (romifidine (Sedivet, Boehringer Ingelheim, UK) 80  
127 µg kg<sup>-1</sup> or detomidine (Domidine, Dechra Veterinary Products, UK) 10 µg kg<sup>-1</sup>)  
128 administered IV. Anaesthesia was induced with a combination of midazolam  
129 (Hypnovel, Roche Products Limited, UK) (30 mg) and ketamine (Narketan, Vetoquinol  
130 UK Ltd., UK) (2.2 mg kg<sup>-1</sup>) IV. Following orotracheal or nasotracheal intubation with a  
131 suitably sized cuffed endotracheal tube, anaesthesia was maintained with isoflurane  
132 (IsoFlo, Zoetis UK Ltd, UK) vaporised in oxygen delivered via a large animal circle  
133 system (Tafonius, Vetronic Services and Hallowell EMC), the concentration of  
134 isoflurane was adjusted to maintain an adequate depth of anaesthesia for surgery.  
135 Respiration was supported with Intermittent Positive Pressure Ventilation (IPPV).  
136 Episodes of inadequate anaesthesia, signalled by gross purposeful movement, were  
137 treated either with an IV bolus of ketamine (100 mg) and midazolam (10 mg) or  
138 thiopental (Thiopental sodium, Archimedes Pharma UK Ltd., UK) (500 mg). Standard  
139 monitoring during anaesthesia included pulse rate, electrocardiogram (ECG), direct  
140 arterial blood pressure measured using a catheter placed in the facial artery, end tidal  
141 carbon dioxide and isoflurane concentrations and SpO<sub>2</sub>, using a multiparameter monitor  
142 (Tafonius, Vetronic Services and Hallowell EMC). On the day of surgery analgesia was  
143 provided with a single dose of either morphine (Morphine Sulphate, Martindale  
144 Pharmaceuticals Ltd., UK) (0.2 mg kg<sup>-1</sup>) or buprenorphine (Buprenodale, Dechra  
145 Veterinary Products Ltd, UK) (10 µg kg<sup>-1</sup>) administered intravenously at the time of



146 induction of anaesthesia. In addition a non-steroidal anti-inflammatory drug (NSAID)  
147 was administered prior to surgery and for a minimum of two days after surgery at the  
148 licensed dose. Control horses did not undergo anaesthesia and surgery and no animal  
149 experienced anaesthesia or surgery purely for the purpose of this study.

150

### 151 *Conduct of the study*

152 Interaction of horses with novel objects and response to an auditory stimulus were  
153 assessed at two time points in all horses; In G1 horses test 1 (T1) was the day before  
154 surgery and the second experimental test session (T2) was the day after surgery. No  
155 tests were carried out on the day of anaesthesia and surgery itself. A similar time  
156 interval was used for control horses. All tests were carried out by one of two  
157 investigators who were not blinded to treatment group.

158 The same protocol was followed for the sequence of tests carried out at T1 and T2 with  
159 all tests carried out while the horse was in it's own stable. At the start of each test  
160 session (T1, T2) sedation was scored using a Simple descriptive Scale (SDS) (Table 1)  
161 (Love et al. 2013), the horse's personality was scored using an SDS (Table 2) (adapted  
162 from Wulf 2103) and pain was scored using a composite pain scale (CPS (Bussieres et  
163 al. 2008)), Table 3. Subsequently two cameras (Legria HFM406, Canon Inc, UK) were  
164 mounted in the stable to ensure that the whole stable was under surveillance during  
165 video recording of the novel object test.

166

### 167 *Novel object test*

168 The novel objects, a swimming noodle, approximately 1 m long and 10 cm in diameter,  
169 (Kandytoys, 892026, Figure 1) and a diving flipper, approximately 50 cm long, 20 cm

170 wide and 5 cm deep (Hot Tuna, 881008) (Figure 1) were placed in the stable at the  
171 positions shown in Figure 2. The investigator then left the stable, the lower door of the  
172 stable was closed and ten minutes was timed from the moment the observer exited the  
173 stable. The observer remained out of visual contact with the horse during this 10 minute  
174 period. For the second test session (T2) the position of the novel objects was switched,  
175 so that the noodle was placed where the flipper had been positioned and vice versa to  
176 maintain novelty (Figure 2). The video footage recorded during the novel object test  
177 was analysed after the end of experiment and interactive attention and non interactive  
178 attention with the novel objects was recorded (see tables 5a,b,c).

179

#### 180 *Auditory test*

181 The auditory test was conducted immediately after the novel object test before the novel  
182 objects had been removed from the stable. The investigator stood directly outside the  
183 stable door in the middle, facing the horse. The lower half of the stable door was closed,  
184 and the upper half of the stable door was open so that the investigator was in direct  
185 visual and auditory contact with the horse. A hairdryer was then blown at the horse for  
186 five seconds at each power setting; low (98 dB), medium (112 dB) and high (116 dB)  
187 with a 40 second break between each stimulus. The noise levels produced by the  
188 hairdryer was confirmed once using a sound level meter positioned close to a horse  
189 while the hairdryer was held outside the stable in the same position as during testing.  
190 The horse's reaction to each setting was recorded using a SDS (Table 4). The score  
191 from each setting was added to give a total score ranging from 0 to 12. Video recording  
192 was stopped at the end of the auditory test and the objects were removed from the  
193 stable.

194

195 *Data analysis*

196 A single non-blinded researcher analysed the video recordings using The Observer XT  
197 11 software (Noldus Information Technology bv, The Netherlands). Footage from  
198 camera 1 was coded first and adjusted accordingly using camera 2 footage. The total  
199 time each horse spent performing the behaviours defined in Tables 5 a,b,c were  
200 calculated for each 10 minute period. For the auditory test the “total auditory score” (the  
201 sum of the auditory scores from each setting of the hairdryer) was compared within and  
202 between groups. The “average personality score” (average score during T1 and T2) was  
203 used to investigate correlations between novel object test and auditory score data and  
204 horse personality.

205

206 *Statistical analyses*

207 Statistical analysis was performed using the statistical package SPSS for Windows  
208 (IBM, Version 21.0). Behaviours were divided into two different categories:  
209 “interactive attention” (total time spent interacting with objects) and “non-interactive  
210 attention” total time looking at, but not interacting with, the objects). Total time  
211 attributed to each of these behavioural categories was compared between groups (G1  
212 and G2) for each test and between tests (T1 and T2) for each group. Data were found to  
213 be non-normally distributed, therefore nonparametric tests were used throughout. A  
214 Mann-Whitney U test was used to evaluate the statistical significance of differences in  
215 scores between groups and a Wilcoxon Signed Rank test was performed for within  
216 group comparisons. A 2-tailed Spearman’s Coefficient of Rank was used to assess  
217 correlations. The level of significance was set at  $P < 0.05$ .

218

## 219 **Results**

### 220 *Demographics*

221 There was a significant difference ( $U=47$ ,  $p=0.002$ ) in age between groups; G1 horses  
222 had a mean age of 6 years (range 0.5-20 years) compared to the mean age of 10.8 years  
223 (range 5-21 years) in G2. Thoroughbreds (TB) and thoroughbred cross (TB x) horses  
224 were over represented within the study ( $n=16$ ), due to the nature of the hospital  
225 caseload. The surgical procedures that G1 horses underwent are described in Table 6a,  
226 as are the reasons for admitting the G2 horses to the clinic (Table 6b).

227

228 Horses were stabled for between 0 and 15 days (median = 0) prior to the start of Test 1  
229 and there was no significant difference in this time period between groups. All testing  
230 was carried out between the hours of 07.30 - 15.30, or 19.30 -21.30. There was a  
231 significant difference ( $p<0.001$ ) between the time of day of T1 and T2 for the surgery  
232 group, with more testing performed in the evening for T1 and in the morning for T2.  
233 This can be explained by the arrival and departure times for horses in G1. There was no  
234 significant timing variation within G2.

235

### 236 *Sedation and Composite Pain Scores*

237 With the exception of one horse, all horses scored 0 for sedation score, indicating that  
238 they were not sedated during T1 and T2. One horse in G1 was awarded a sedation score  
239 of 1, indicating that it was mildly sedated during T1. Composite Pain Scale scores for  
240 T1, ranged from 0 to 3 (median = 0) in groups G1 and G2, with no horses scoring  
241 greater than 0 in G2. There was no significant difference in CPS between each group at

242 timepoint T1. Composite Pain Scale scores for T2 ranged 0 to 14 (median = 3) in G1  
243 and from 0 to 4 (median = 0) in G2. There was a significant increase in CPS score  
244 within G1 between T1 and T2 ( $p < 0.001$ ).

245

#### 246 *Novel object test*

247 The median (range) time that horses spent interacting with novel objects was 57.5 (367)  
248 seconds in G1 and 30 (246.05) seconds in G2 at T1. The median time was significantly  
249 decreased in G1 at T2 (12.4 (495) seconds) ( $p = 0.0005$ ), (Figure 3), but remained the  
250 same in G2 (G2 T2 24 (452) seconds) ( $p = 0.532$ ). No statistically significant differences  
251 in total interaction time between groups for either T1 or T2 were found.

252 Similarly, G1 horses spent less time looking at the objects in T2 compared with T1  
253 ( $p = 0.0006$ ); 103.6 (407.6) and 28.3 (540) seconds for T1 and T2 respectively (Figure 4).  
254 No difference between tests was found for the G2 and no significant differences were  
255 found between groups for T1 or T2. Point behaviours were rarely observed during the  
256 novel object test and were not analysed statistically between or within groups.

257

#### 258 *Auditory test*

259 Overall the behavioural reaction to the auditory stimulus was mixed and specific to the  
260 individual horse. Median (range) Total Auditory Score was 7 (9) and 10 (11) in G1 and  
261 G2 respectively at T1, decreasing to 6 (10) in G1 after surgery and at 9.5 (11) in G2.

262 There was a significant decrease in Total Auditory Score within G1 between T1 and T2  
263 ( $p = 0.003$ ). There was a significant difference in Total Auditory Score between G1 and  
264 G2 at T2 ( $p = 0.0169$ ), with the score being lower in G1 than G2.

265

266 *Relative change in total interaction time and CPS between T1 and T2*

267 The relative change in interactive attention, CPS score and auditory score were  
268 calculated to account for individual variance, by subtracting the values at T2 from the  
269 values at T1. A significant difference, in the individual relative change, between the G1  
270 and G2 was found for change in interaction time ( $p=0.004$ ), change in CPS score  
271 ( $p<0.001$ ) and difference in auditory score ( $p=0.007$ ). A significant negative correlation  
272 was found between the difference in CPS and difference in interactive attention  
273 ( $p=0.006$ ) implying, the greater the increase in CPS the greater the decrease in attention  
274 (Figure 6).

275

276 *Effect of horse personality*

277 Most horses were easily approachable with average personality score ranging between 0  
278 and 3.5 (median = 1), there was no significant difference in score between groups  
279 ( $p=0.683$ ) or tests. There was no correlation between average personality score and non-  
280 interactive attention ( $p=0.510$ ), interactive attention ( $p=0.655$ ), or auditory score  
281 ( $p=0.065$ ).

282

283 **Discussion**

284 This study investigated the effects of post-surgical pain on attention modulation, using  
285 two experimental paradigms in which attention was measured. There are no recognized  
286 standardized tests of attention in horses therefore the novel object test was adapted from  
287 similar types of test described in the laboratory animal literature (e.g. Aloisi et al. 1995).

288 The auditory startle test was developed following discussions with experts in animal  
289 behavior and was loosely based on the principle of the acoustic startle test that is

290 commonly used in laboratory animals (Crawley 1999). The results indicate that post-  
291 surgical pain negatively impacts non-sustained, non-selective attention towards novel  
292 objects. As predicted, post-surgical pain also decreased responsiveness to an auditory  
293 startle test. Together, these results demonstrate that post-surgical pain has an  
294 interruptive effect on attention demanding tasks in horses.

295

### 296 *Novel object paradigm*

297 In horses undergoing surgery a significant overall reduction in both interactive and non-  
298 interactive attention towards novel objects was found after surgery compared with  
299 before surgery. This is consistent with the findings of similar studies investigating the  
300 effect of pain on attention in rats and humans. It is also noteworthy that although all  
301 surgical horses experienced some degree of post-surgical pain, the CPS scores were not  
302 particularly high, yet an effect on attention was still apparent. Pain is intrinsically  
303 threatening, thereby disrupting attention (Johansen et al. 2001) and leading to  
304 prioritisation of behavioural actions that are important for escape or avoidance (Fields  
305 2000). The mechanisms behind attentional modulation of pain are not fully understood  
306 and are likely to involve several areas of the central nervous system (CNS) (Villemure  
307 and Bushnell 2002). For example the frontal cortex, amygdala, periaqueductal gray  
308 (PAG), rostral ventral medulla, spinal cord dorsal horn, anterior cingulate cortex (ACC)  
309 and the thalamus, have all been shown to be associated with pain in man and other  
310 mammals (Villemure and Bushnell 2002) and are also involved in control of attention  
311 (Eccleston and Crombez 1999; Tracey et al. 2002; Gatzounis et al. 2014).

312 Responses to the novel object tests did not differ in G2 horses at the two time points  
313 supporting the contention that the observed decrease in attention in horses undergoing

314 surgery was due to pain rather than habituation to the novel objects alone. As predicted  
315 there was no significant difference between G1 and G2 horses in attention times before  
316 surgery, which probably reflects the very low or no pain levels in G1 before surgery.  
317 However it was predicted that there would be a difference in attention times between  
318 groups after surgery. The lack of a statistically significant difference between groups  
319 after surgery may be attributed to the great variability between individual horses in  
320 attention levels. Contrary to expectations no relationship between horse personality  
321 score and attention to the novel objects was found. A personality test was included in  
322 the study as it is suggested (Lansade et al. 2008) that the response to a novel object may  
323 be affected by a horse's general temperament. However, this was not proven to be the  
324 case in this study. It is possible that the sample size included in the study was too small  
325 to detect any correlations between personality score and attention to novel objects,  
326 particularly because the range of personality scores was narrow in the test population of  
327 horses.

328

### 329 *Auditory startle test*

330 Reinforcing the findings of the novel object test, there was also a statistically significant  
331 decrease in response to the auditory scores, between tests, for horses undergoing  
332 surgery (G1) but not in the control (G2) horses. However, in contrast to the novel object  
333 test, there was also a significant difference between groups at T2. It is reasonable to  
334 attribute this decrease in responsiveness to the mechanisms outlined above, assuming  
335 that pain is an attention-demanding modality. If pain is distracting from the auditory  
336 stimulus, the sound may appear less startling than for those animals free from pain and  
337 thus able to fully attend to the stimuli and react with more vigor. In pain free humans, a



338 similar decreased responsiveness to auditory stimuli has been demonstrated when  
339 attention was diverted to other cognitively demanding tasks (Valls-Solé et al. 1997).  
340 The startle reflex consists of a rapid response with the likely purpose of facilitating the  
341 flight reaction in a threatening environment. This reflex is a cross-species response to  
342 sudden and intense stimulation (Grillon and Baas 2003).

343 Previous studies investigating auditory startle reflexes and pain have shown mixed  
344 results; from no comparable difference between painful and non-painful subjects, to a  
345 hyper-vigilant response in painful subjects. For example, Crombez et al. (Crombez et al.  
346 1996, Crombez et al. 1997) found startle intensification associated with phasic pain in  
347 people. Whereas, Horn et al. (Horn et al. 2012a,b), also in man, failed to find an  
348 association between potentiation of the startle response and tonic pain. The main  
349 differences between these studies lies in the predictability of the painful stimuli.

350 Crombez et al. (1996) applied short (5 seconds) phasic heat pulses of different (painful  
351 and non-painful) intensities, in a random order, so subjects were unable to predict the  
352 painfulness of the impending stimulus. In contrast Horn et al. (Horn et al. 2012 a,b)  
353 delivered tonic, predictable stimulation with regards to intensity and time course. These  
354 results could suggest that phasic pain elicits a rapid flight response to enable immediate  
355 escape from threat, therefore amplifying a startle response. In contrast, tonic pain,  
356 which can be defined as a continuous challenge of bodily function managed by  
357 persisting stress responses (Horn et al. 2012a), was associated with an unchanged or  
358 decreased startle. The latter fitting with the results of the present study.

359 In a more recent study, Horn and Lautenbacher (2014) suggested that the threat level  
360 associated with a painful stimulus, which is also determined by previous experiences, is  
361 critical for triggering startle intensification. This theory provides a rational explanation

362 of why a hyper-vigilant state was not detected in G1 after surgery in the present study.  
363 During T1 horses encountered the auditory stimulus free from any pain-associated  
364 threat. Therefore during T2, G1 horses did not experience any anticipatory fear response  
365 to provoke a startle potentiation. Some reduction in response to the second auditory  
366 stimulus attributable to habituation cannot be discounted as a similar, but not  
367 statistically significant decrease was also found between T1 and T2 for the Control (G2)  
368 group.

369

### 370 *Study limitations*

371 There are several limitations to this study. First, observer bias during video analysis and  
372 assessment of response to the auditory stimulus cannot be totally excluded as the  
373 observer was not blinded to treatment group. However, descriptions of each scoring  
374 system and definitions of each behaviour dictating both interactive and non interactive  
375 attention were clearly specified to minimise any potential bias. It would have been  
376 preferable to recruit a new researcher to analyse the videos who was blinded to  
377 treatment group but this was not possible for the present study. Horses in G1 and G2  
378 were also not individually matched for age, sex, breed, or test time, due to the limited  
379 numbers of cases available. There have been reports of gender differences in  
380 nociception in rodents (Mogil et al. 1993) and humans (Fillingim et al. 2009), with  
381 women reported to have lower pain thresholds and less pain tolerance than men  
382 (Berkley 1997) due to multiple factors including genetics and hormonal influences  
383 (Craft et al. 2004). Similar studies in horses have not been carried out, but it is plausible  
384 that stallions, geldings and mares could all have differing sensitivities to pain and this is  
385 an important consideration if further research is carried out in this area. Hormones that

386 contribute to both pain perception and alertness also fluctuate throughout the day. For  
387 example, melatonin, a hormone that has been shown to contribute to nociceptive  
388 responses in animals and humans (Wilhelmsen et al. 2011) has a circadian rhythm of  
389 secretion. Cortisol also has the potential to suppress pain responses, which is attributed  
390 to its involvement with endogenous opioids and activity of the proopiomelanocortin  
391 peptide, which enhance analgesia (Flier et al. 1995). Therefore it would have been  
392 preferable to standardize the times that T1 and T2 were carried out for both G1 and G2  
393 horses so that there were no differences in the times of the tests both within and  
394 between groups. Unfortunately due to the times of arrival at the hospital and discharge  
395 of the horses this was not possible and should be considered a potential confounder in  
396 the study. Six of the G2 horses were ‘teaching horses’ that reside at the study location  
397 and are exposed to a variety of situations and stimuli. It is therefore impossible to  
398 exclude the possibility of a reduced response to testing procedures due to desensitisation  
399 in this cohort of horses, limiting the likelihood of detecting differences in responses  
400 between the two testing time points T1 and T2. Ideally horses in G1 and G2 would have  
401 come from similar backgrounds with similar experiences of the yard environment  
402 before testing. However, probably the most important limitation of the study was that  
403 the G2 horses did not undergo anaesthesia; therefore the confounding effect of  
404 anaesthetic agents on attentional processing in G1 cannot be excluded. For this reason  
405 sedation was scored before testing and the second test was carried out on the day after  
406 surgery when any sedative effects of anaesthetic agents would have likely waned (Price  
407 et al. 2003). This was confirmed by average sedation scores of zero, which were  
408 constant between groups and time points. However it must be considered that detection  
409 of residual sedation can be challenging and a possible carry over effect of sedation to

410 influence the results of T2 in the G1 horses cannot be ruled out. Future investigation  
411 could ensure that the control group undergoes anaesthesia without any surgical  
412 procedure, to rule out the effects of anaesthesia more confidently. Another potential  
413 limitation of the study was that the data were very variable between individual horses  
414 and non parametric statistics were used to analyse the data, therefore there is a risk of a  
415 Type 1 error in the statistical analysis. Finally, due to the caseload of the hospital it was  
416 not possible for all of the horses in G1 to undergo the same surgical procedure.  
417 However, a CPS was performed on each animal before each test, and a positive  
418 correlation between change in CPS and change in interaction was found. This suggests  
419 that despite the lack of a standardised surgical procedure, and analgesia, surgical horses  
420 did experience a similar level of pain, which affected attention.

421

#### 422 *Significance and future directions*

423 The present results have important implications for the study of attention modulation  
424 associated with post-surgical pain in horses. For instance, the linear correlation between  
425 the difference in attention and difference in pain scores, suggests that attention  
426 modulation is a sensitive method of pain assessment. Further, the correlation also  
427 displayed a graduated difference in the change in interaction associated with the degree  
428 of pain at an individual level, instead of just an overall decrease at a population level.  
429 With further refinement, this change in attentional behaviour may potentially be used as  
430 the basis for a novel multidimensional approach to evaluating pain in horses, which  
431 incorporates cognitive and sensory aspects of discomfort. In conclusion, the current  
432 study is one of the first to show that post-surgical pain interrupts attention in horses.  
433 Testing of a control group confirmed that the decreased attention observed within the

434 horses undergoing surgery were not due to habituation. While the findings of this  
435 preliminary study are exciting and offer the potential as a future biomarker of pain in  
436 equines, further research is required.

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594 **List of tables**

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620 Table 1: Simple descriptive scale used to score sedation in horses

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Behavioural response	Score
Fully conscious	0
Reduced response to local activity	1
Standing, ataxic and uncaring about stimulation from handling	2
Very ataxic, would fall if moved, oblivious to local surroundings	3

622

623

624 Table 2: Simple descriptive scale used to score horse personality; the passive test  
 625 involved the observer entering the stable and standing motionless by the door. The  
 626 active test involved approaching the horse with arm outstretched and hand flat.  
 627

Passive Observer - Behavioural Response	Score
Approaches readily, constant sniffing and nibbling	0
Shows interest, some interaction	1
No interest in interacting	2
Fear or anxiety response	3
Active observer - Behavioural response	Score
Accepts touching, moves towards observer	0
Accepts touching, some reluctance to remain in contact	1
Moves away from observer, eventually allows contact	2
Moves away from observer, cannot make contact	3

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629

630 Table 3: Composite pain score used to quantify pain in horses (Bussieres et al. 2008)

Behaviour	Criteria	Score
Heart Rate	Normal compared to baseline value (increase < 10%)	0
	11-20% increase	1
	31-50% increase	2
	>50% increase	3
Respiration rate	Normal compared to baseline value (increase < 10%)	0
	11-20% increase	1
	31-50% increase	2
	>50% increase	3
Digestive sounds	Normal motility	0
	Decreased motility	1
	No motility	2
	Hypermotility	3
Rectal temperature	Normal compared to baseline value (<0.5°C variation)	0
	Variation < 1°C	1
	Variation <1.5°C	2
	Variation <2°C	3



Response to treatment	Criteria	Score
Interactive behaviour	Pays attention to people	0
	Exaggerated response to an auditory stimulus	1
	Excessive to aggressive response to an auditory stimulus	2
	Stupor, prostration, no response to auditory stimulus	3
Response to palpation	No reaction	0
	Mild reaction	1
	Resistance	2
	Violent reaction	3
Appearance	Criteria	Score
Reluctance to move/anxiety/agitation	Bright, lowered head and ears, no reluctance to move	0
	Bright and alert, occasional head movements, no reluctance to move	1
	Restlessness, pricked ears, abnormal facial expression, dilated pupils	2
	Excited, continuous body	3

	movement, abnormal facial expressions, dilated pupils	
Sweating	No obvious signs of sweat	0
	Damp to touch	1
	Wet to touch, beading apparent	2
	Excessive dripping	3
<hr/>		
Behaviour	Criteria	Score
<hr/>		
Kicking at abdomen	Quietly standing, no kicking	0
	Occasional (1-2 times / 5 mins)	1
	Frequent (3-4 times / 5 mins)	2
	Excessive (> 5 times / 5 mins)	3
Pawing at floor, hanging limbs	Quietly standing no kicking	0
	Occasional (1-2 times / 5 mins)	1
	Frequent (3-4 times / 5 mins)	2
	Excessive (> 5 times / 5 mins)	3

Posture, weight distribution, comfort	Stands quietly, normal walk	0
	Occasional weight shift, slight muscle tremor	1
	Non weight bearing abnormal weight distribution	2
	Analgesic posture, attempts to urinate, prostration, muscle tremor	3
Head movement	Head straight ahead, no discomfort	0
	Intermittent head movement, laterally or vertically, flank watching 1-2 times/5 mins, lip curling 1-2 times /5 mins	1
	Rapid head movement, laterally or vertically, flank watching 1-2 times/5 mins, lip curling 1-2 times /5 mins	2
	Continuous head movement laterally or	3

	vertically, flank watching	
	laterally or vertically, flank	
	watching >5 times/5 mins,	
	lip curling >5 times /5 mins	
Appetite	Eats hay readily	0
	Hesitates to eat hay	1
	Shows little interest, takes	2
	some in mouth	
	Neither shows interest in	3
	nor eats hay	

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631

632 Table 4: Simple descriptive scale used to measure the startle response to a novel  
633 auditory stimulus in horses

Reaction –low/medium/high setting	Score
No reaction	0
Ear movements (turned one or both ears)	1
Lateral head movement (turned head to look to sound's origin)	2
Head straightened in a vigilance position	3
Startled – move/jump away	4

634

635 Table 5a: Interactive behaviours recorded during the observation period for the novel  
 636 object test in horses  
 637

Behaviour	Definition
Sniffing flipper/noodle	No contact with objects, but with muzzle in close proximity, nostril movement seen
Nuzzling flipper/noodle	Muzzle in contact with the object, potentially moving the object around
Licking flipper/noodle	Tongue seen and/or licking noises heard
Biting flipper/noodle	Mouth opened and/or biting noises heard
Picking up flipper/noodle	Object lifted from the ground or moved around using teeth
Pawing flipper/noodle	Pawing directed towards an object or while the head was interacting with an object

638  
 639  
 640

641 Table 5b: Non-interactive behaviours recorded during the observation period for the  
642 novel object test in horses  
643

Behaviour	Definition
Direction of gaze	Looking at the flipper / noodle
Ignoring flipper / noodle	No interaction with the flipper or noodle

644

645

646 Table 5c: Point behaviours recorded during the observation period for the novel object  
647 test in horses  
648

Behaviour	Definition
Snort	Loud exhalation of air from the nostrils
Sniffing air	Nostrils flared or loud exhalation directed at any object
Flehmen	Lip curled upwards, head raised
Pawing ground	Pawing not directed towards or in association with any object

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650



651 Table 6a: Summary of surgery horses (G1)

Horse number	Breed	Sex	Age (years)	Type of surgery
1	TB	Gelding	4	Prosthetic Laryngoplasty
2	Irish Sportshorse	Gelding	9	Prosthetic Laryngoplasty
3	TB	Gelding	2	Prosthetic Laryngoplasty
4	Clydsedale	Mare	1	Tarso-Crural Arthroscopy
5	TB	Gelding	Unknown	Ventriculordecotomy
6	Irish Sportshorse	Stallion	0.5	Mass resection and Castrate
7	New Forest	Stallion	4	Castration
8	Warmblood x	Gelding	14	Penile amputation
9	TBx	Mare	5	Wound debridement
10	TBx	Gelding	5	Neurotomy and Fasciotomy
11	Welsh Section B	Gelding	6	Cystolith removal
12	TB	Gelding	4	Ventriculordecotomy
13	Cob x	Gelding	5	Sarcoid Removal
14	TB	Gelding	5	Soft Palate Cautery
15	Anglo Arab	Gelding	20	Mass removal

16	TB x	Gelding	14	Frontal Sinus Flap
17	TB		4	Soft Palate Cautery
18	TB	Gelding	4	Soft Palate Cautery
19	TB	Gelding	6	Soft Palate Cautery and Ventriculocordecotomy
20	TB	Gelding	8	Soft Palate Cautery

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652

653 TB = thoroughbred

654 x =cross breed

655

656 6b: Summary of control horses (G2)

Horse number	Breed	Sex	Age (years)	Procedure
1	Cob x Connemara	Mare	6	Diagnostic investigations for headshaking
2	Cob	Gelding	11	Diagnostic investigations for headshaking
3	TB	Gelding	7	Diagnostic investigations for poor athletic performance
4	TB	Gelding	5	Diagnostic investigations for poor athletic performance
5	Cob	Mare	17	Teaching horse
6	TB	Gelding	6	Diagnostic investigations for poor

				athletic performance
7	Irish Draught horse	Mare	19	Teaching horse
8	Unknown	Mare	5	Diagnostic investigations for poor athletic performance
9	Warmblood	Gelding	7	Boarding
10	Unknown	Mare	Unknown	Teaching horse
11	Appaloosa	Mare	21	Teaching horse
12	Cob x	Mare	17	Teaching horse
13	Irish Sportshorse	Mare	12	Re- examination
14	TB	Gelding	9	Re- examination
15	Pony	Mare	Unknown	Teaching horse
16	Welsh mountain pony x	Mare	9	Boarding

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657

658 TB = Thoroughbred

659 x = cross breed

660

661 **List of figures**

662 Figure 1: Photo of the swimming noodle and flipper used as novel objects in the study

663 Figure 2: Schematic diagram to show the layout of stable for each test. Each camera  
664 recorded footage from the object in the opposite corner. Different sections of the stable  
665 used to code the position of the horse throughout the observation period are outlined.

666 Figure 3: Median, IQR and range of total time spent interacting with novel objects,  
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677 was a significant difference between groups, with G1 scoring lower than G2 ( $p=0.0169$ )  
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679 Figure 6: Analysis of relative change in interactive attention, CPS score and auditory  
680 score were calculated to account for individual variance, by subtracting the values in T2  
681 from the values in T1. Data show a negative correlation between the difference in CPS  
682 and difference in interactive attention ( $p= 0.006$ ,  $r_s = -0.451$ ), implying, the greater the  
683 increase in CPS the greater the decrease in attention.

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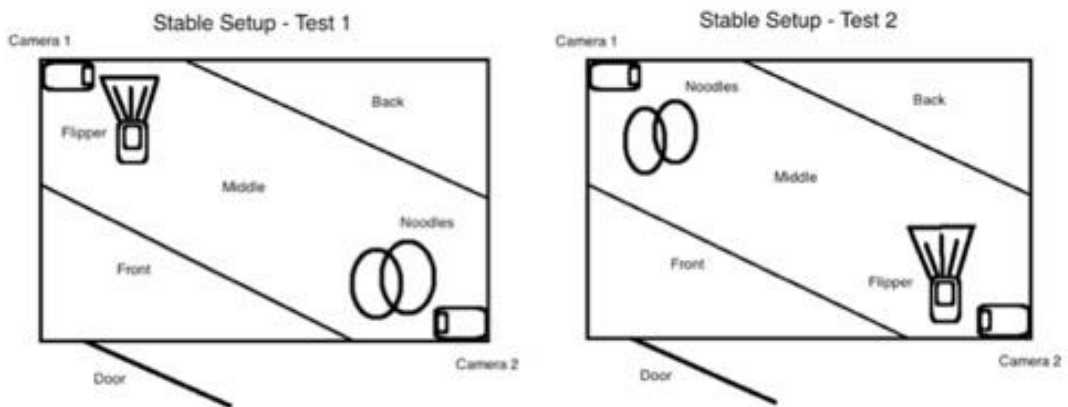
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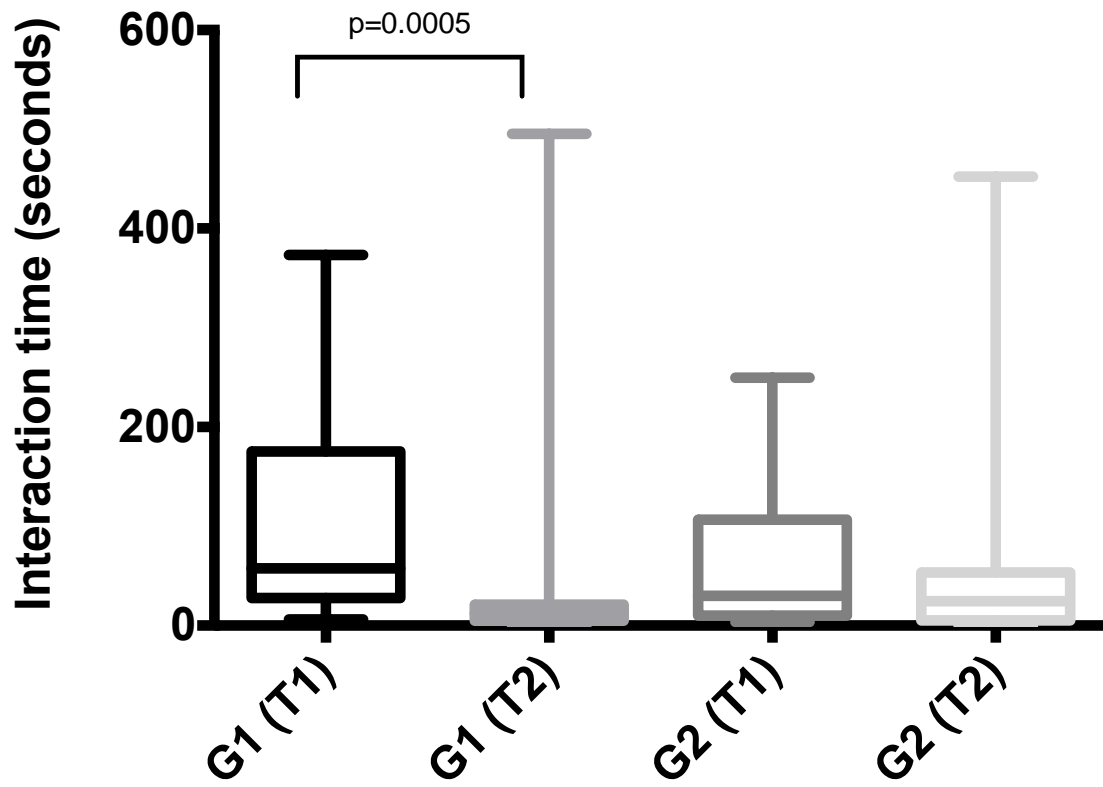
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700 Figure 3



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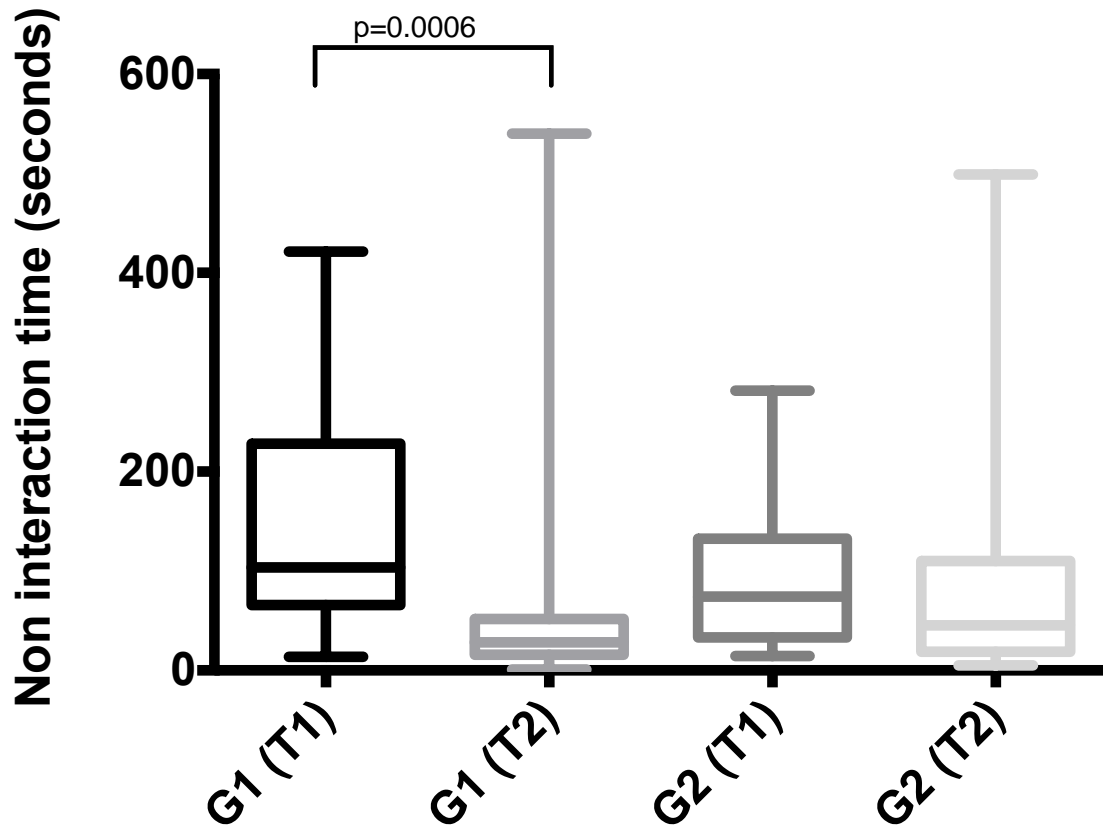
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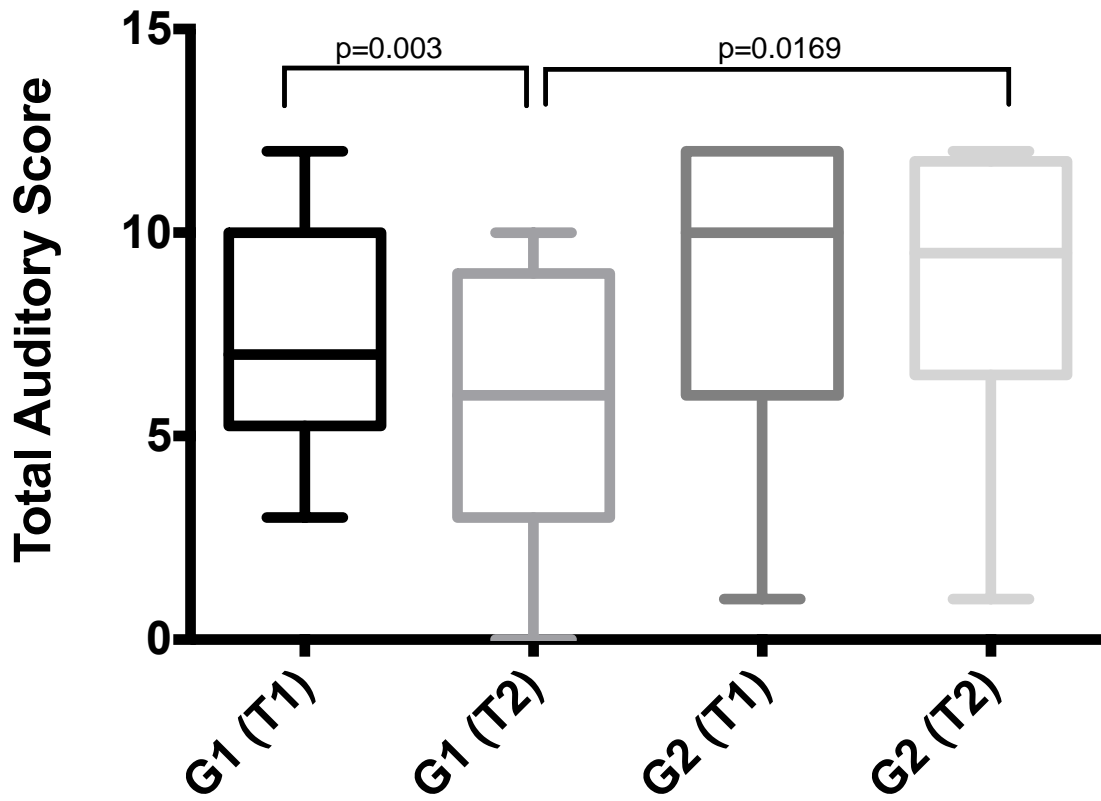
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727 Figure 5



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